

# The Dynamics of Molecular Interactions and Chemical Reactions at Metal Surfaces: Testing the Foundations of Theory

Kai Golibrzuch,<sup>1,2</sup> Nils Bartels,<sup>1,2</sup>  
Daniel J. Auerbach,<sup>1,2</sup> and Alec M. Wodtke<sup>1,2</sup>

<sup>1</sup>Institute for Physical Chemistry, University of Göttingen, D-37077 Göttingen, Germany

<sup>2</sup>Max Planck Institute for Biophysical Chemistry, D-37077 Göttingen, Germany;  
email: alec.wodtke@mpibpc.mpg.de

Annu. Rev. Phys. Chem. 2015. 66:399–425

First published online as a Review in Advance on  
January 12, 2015

The *Annual Review of Physical Chemistry* is online at  
physchem.annualreviews.org

This article's doi:  
10.1146/annurev-physchem-040214-121958

Copyright © 2015 by Annual Reviews.  
All rights reserved

## Keywords

catalysis, nonadiabatic theory, surface scattering, surface chemistry, surface dynamics

## Abstract

We review studies of molecular interactions and chemical reactions at metal surfaces, emphasizing progress toward a predictive theory of surface chemistry and catalysis. For chemistry at metal surfaces, a small number of central approximations are typically made: (*a*) the Born-Oppenheimer approximation of electronic adiabaticity, (*b*) the use of density functional theory at the generalized gradient approximation level, (*c*) the classical approximation for nuclear motion, and (*d*) various reduced-dimensionality approximations. Together, these approximations constitute a provisional model for surface chemical reactivity. We review work on some carefully studied examples of molecules interacting at metal surfaces that probe the validity of various aspects of the provisional model.

There's something to be said for a simple model that you know to be flawed, so long as you can point out when and where those flaws are likely to occur.

Nate Silver (<http://www.fivethirtyeight.com>)

---

**BOA:**

Born-Oppenheimer approximation

**PES:** potential energy surface

**DFT:** density functional theory

**GGA:** generalized gradient approximation

---

## 1. THE STANDARD MODEL OF CHEMICAL REACTIVITY

People have struggled to understand and control chemical transformations since at least the third millennium BCE when smelting copper and alloying with tin ushered in the Bronze Age. For chemists today, this struggle continues. Our most fundamental challenge is to develop predictive theories of chemistry rigorously grounded in the laws of physics. Referring to the implications of the discovery of quantum mechanics for chemistry, Dirac (1, p. 714) identified the problem famously in 1929: “The underlying physical laws necessary for the mathematical theory of . . . chemistry are . . . completely known, and the difficulty is only that the exact application of these laws leads to equations much too complicated to be soluble.” Notwithstanding advances in computational capability that Dirac could hardly have imagined, he is still right. The theory of chemistry requires approximate methods for practical computations.

Even for the simplest gas-phase chemical reactions, such as  $\text{H} + \text{HD} \rightarrow \text{H}_2 + \text{D}$ , approximations are needed, most notably that of Born & Oppenheimer (2). With the recognition that electrons move much faster than nuclei do, the Born-Oppenheimer approximation (BOA) solves the quantum equations of the electrons for stationary nuclei. Repeating this for many nuclear arrangements resembling reactants, products, the transition state, and structures in between, we obtain the electronically adiabatic potential energy surface (PES) (3) and, from the PES, the atomic-scale forces that control and drive the reaction. For simple gas-phase reactions, highly accurate PESs can now be computed, and converged calculations of the quantum motion of the nuclei on the PES can be performed (4). From the experimental side, crossed-molecular beam methods and Rydberg atom tagging (5) yield product-state-resolved differential cross sections, the most highly detailed observables for a simple gas-phase reaction that one can possibly imagine. Experiments and theory agree quantitatively (6–8).

The construction of a reaction's PES within the BOA using accurate wave-function-based electronic structure theory and the PES to carry out calculations of the nuclear motion with quantum mechanics, as was done for the  $\text{H} + \text{HD}$  reaction or, when appropriate, using the classical approximation, can rightly be called the standard model of chemical reactivity. Although it is not often practical to apply it at the highest level of rigor, we should not underestimate the generality of its impact. Many essential chemical concepts, such as the transition state, activation energy, steric effects, collision complex, and even our understanding of reaction mechanisms (e.g., abstraction versus insertion), make implicit reference to the nature of the PES and thus to the standard model.

### 1.1. The Central Assumptions of Computational Surface Chemistry

Theoretical surface chemistry deals with a class of complex problems in which additional approximations beyond those made in the standard model are needed. In this review, we focus on four approximations that are widely used in the description of surface chemistry: (a) the BOA or electronic adiabaticity, (b) the use of density functional theory (DFT) at the generalized gradient approximation (GGA) level, (c) the classical approximation for nuclear motion, and (d) various reduced-dimensionality approximations.

Surface chemistry involves such a large number of nuclear degrees of freedom that a reduced-dimensionality approach is unavoidable. This might involve neglecting the role of surface atom

motion (9), treating the dynamics of a reacting adsorbate in a restricted region of phase space (e.g., along its reaction path or restricting motion to specific surface sites) (10), or treating only a subset of the reactant molecules' degrees of freedom (11).

The large system size also makes it impossible to use the high-level quantum chemistry techniques employed for simple gas-phase problems. Instead, we use methods based on DFT (12–15) with exchange correlation functionals at the GGA level to treat the electronic states. Unlike quantum chemistry, DFT does not give us a hierarchy of approximate methods to test the accuracy of our results; thus, comparison with experiment is essential to test the validity of DFT results.

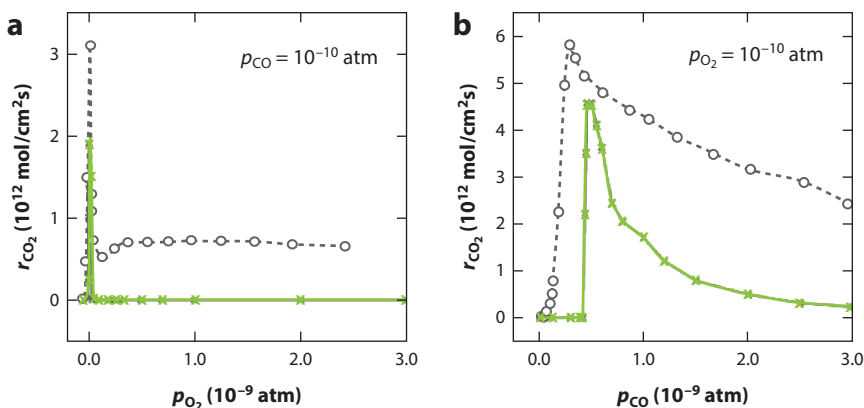
For many systems, a complete quantum mechanical description of the nuclear motion is not computationally feasible, and the nuclear motion must be treated in a classical approximation. Zero-point motion can be included by using the quasi-classical trajectory (QCT) method in which the zero-point energy is added to each vibrational mode.

Together with the BOA, these three approximations compose what one might call a provisional model of surface chemical reactivity. Along with improving computing power, the provisional model has made computations of remarkably complex problems in surface chemistry a technical reality. The potential for deep insights makes this line of research extremely attractive, and it is growing in importance and popularity.

**QCT:** quasi-classical trajectory

## 1.2. The Growing Importance of Computational Surface Chemistry: Two Examples

When combined with carefully thought-out logical strategies, DFT can be used to develop simple insights for remarkably complex chemical systems. For example, computations of binding energies and activation barrier heights were combined with kinetic Monte Carlo methods to produce first-principles simulations of catalytic CO oxidation at realistic pressures and temperatures (16). Surface structures and compositions occurring during catalytic steady state could be simulated from first principles (17), and their dependence on gas-phase partial pressures and surface temperature were investigated with ab initio atomistic thermodynamics (18) (see **Figure 1**).



**Figure 1**

Theoretical simulation and comparison to experiment of CO oxidation on a ruthenium catalyst, showing the rate of CO<sub>2</sub> formation at  $T = 350 \text{ K}$ . The experimental steady-state results are presented as dotted lines, and the theoretical results are shown as solid lines. Rates are given (a) as a function of  $p_{\text{O}_2}$  at  $p_{\text{CO}} = 10^{-10} \text{ atm}$  and (b) as a function of  $p_{\text{CO}}$  at  $p_{\text{O}_2} = 10^{-10} \text{ atm}$ . Figure reprinted from Reference 17.

In other work, binding-energy scaling laws and Brønsted-Evans-Polanyi activation energy scaling were calculated using DFT. With these DFT-derived scaling laws in hand (19), a small number of additional DFT calculations (sometimes just one) allowed the mapping of the energy landscape of complex, multistep catalytic reactions on a new metal or alloy (20–22). Remarkably, catalytic activity and selectivity are correlated with simple descriptors (e.g., specific DFT-derived binding energies). Using activity and selectivity volcano plots based on these descriptors, one can computationally screen new materials (23), providing an approach to the computational optimization of new catalysts (24, 25).

Such progress is breathtaking and naturally attracts increasing attention and new practitioners to the field. It also poses fascinating fundamental questions, which compose the topic of this review. Putting it most generally, does the provisional standard model of surface chemical reactivity work for all cases in surface chemistry? If not, why not? And how can it be modified to develop a better model of surface reactivity?

### 1.3. The Challenge of Testing Fundamental Assumptions

As an experimentalist considering the rapid advances in computational surface chemistry more closely, one finds it challenging to design experiments that test basic assumptions and approximations. This results partly from the complexity of the systems studied and partly from a lack of necessary experimental tools. This reminds us of an important lesson from the study of simple gas-phase reactions: Theoretical comparisons to well-defined experiments that do not average over many initial and final conditions—often called state-to-state dynamics experiments—can be highly useful to test theory. In short, we strive to perform experiments on surface reactions at the level of detail possible for simple gas-phase reactions, such as  $\text{H} + \text{HD} \rightarrow \text{H}_2 + \text{D}$ , and use those results to test sophisticated theories employing different approaches, assumptions, and approximations.

Computational chemistry is an intrinsically approximate undertaking, in which assumptions are made to reduce computational time. Understanding which assumptions are valid under what conditions is a prerequisite to developing predictive theory. Furthermore, if clever new approaches significantly shorten computations and nevertheless reproduce detailed state-to-state dynamics experiments, there is every reason to believe that these approaches are valid and have predictive value.

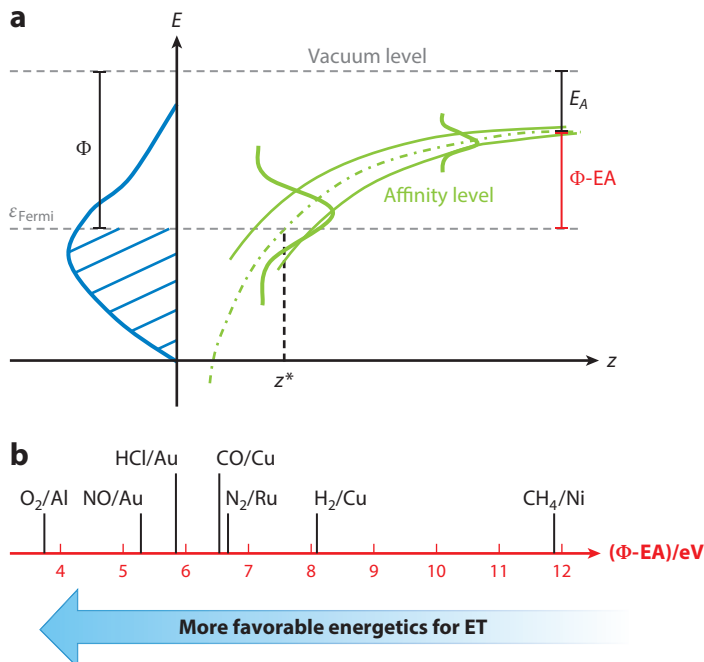
Beyond this, by understanding the validity of central assumptions and approximations, we can develop a conceptual understanding of surface chemistry and how it differs from the gas-phase chemistry of small molecules. It is not simply an attempt to develop the best computational simulation of surface reactions that we are after. Rather, through understanding which assumptions are valid and which are not, we hope to better understand how surface chemistry works.

### 1.4. Structure of the Review

The study of surface chemistry is an extremely vibrant field, and topics related to this article have been reviewed on several occasions. We direct the interested reader, in particular, to References 26–34.

This review focuses on the comparison of theory and dynamics experiments that test the provisional standard model of surface chemical reactivity. We emphasize a small number of very simple systems that have been studied in great detail.

Understanding situations in which the BOA fails is an important theme, and as shown below, this failure is associated with electron transfer (ET) reactions. Hence, energetic considerations



**Figure 2**

Correlation of electron transfer with Born-Oppenheimer failure. (a) For a molecule far from a metal surface, the energy required to transfer an electron from the metal to the molecule (the formation of an anion) is given by the difference of the surface work function,  $\Phi$ , and the electron affinity (EA) or negative ion resonance energy of the molecule. This energy difference has to be overcome to make electron transfer (ET) feasible. As a molecule approaches the metal surface, the negative ion affinity level is stabilized by image charge interaction (150), and the lifetime shortens. The image charge stabilization is eventually limited by repulsive interactions to a maximum value of  $\sim 4$  eV. The remaining energy required to overcome the barrier to anion formation can come from the translational and/or vibrational energy of the molecule. (b) The energetics of ET are a good indicator of where failure of the Born-Oppenheimer approximation is likely. Systems toward the left are more likely to undergo ET than those on the right, and there is a fuzzy boundary at  $\sim 7$  eV, beyond which ET will probably not play a significant role in scattering experiments. [In principle, similar considerations should also apply to ET and the formation of cations, but to our knowledge, there are no known examples of ET at surfaces involving cation formation, although the energetics would seem not to rule it out. An understanding of the reasons cations do not play a role currently escapes us.] For chemisorbed molecules, the flow of charge between the metal and the chemisorption bond orbitals can play a similar role and, owing to longer interaction times, may slightly push the boundary to higher energies. Values for  $\Phi$  in panel b are experimental values for face centered cubic (111) surfaces from Reference 160. The EAs are computational values (composite Gaussian-4 theory) (161), except for the EA of methane, which is taken from Reference 162.

of ET provide guidance about which systems might exhibit BOA failure and which will not (see Figure 2).

In the first part of the review, we consider examples in which ET is energetically inaccessible—here we find that the BOA appears to be reliable. We begin with the dissociative adsorption of hydrogen on metal surfaces: The number of molecular degrees of freedom is only six, which is small enough to make full-dimensional quantum scattering theory tractable. A comparison of experiment to full-dimensional quantum theory, full-dimensional QCT theory, and theory in reduced dimensions thus allows us to study the success or failure of several aspects of the provisional model.

We progress logically from diatomic molecule dissociation to a discussion of the dissociative adsorption of CH<sub>4</sub> on metal surfaces, in which effects associated with polyatomic molecules become important, and new approaches to reduced dimensionality are essential. Here we see that clever approximations allow fully quantum mechanical calculations to be made, describing all 15 degrees of freedom of the methane molecule and surface atom motion, providing a remarkable opportunity to evaluate the classical approximation for the case of polyatomic molecules.

We then turn to examples in which ET is energetically accessible and thought to be important, emphasizing CO, NO, and O<sub>2</sub> interactions at metals. As shown below, these systems represent special challenges, straining the provisional model. We find that ET is associated with failure of the BOA, and it can cause problems in standard applications of DFT, even when the BOA holds.

At the end of each of these two sections, we summarize the key points learned as they relate to the four key assumptions of the provisional model. We conclude the review by describing future directions.

## 2. MOLECULAR INTERACTIONS AT METAL SURFACES NOT MEDIATED BY ELECTRON TRANSFER

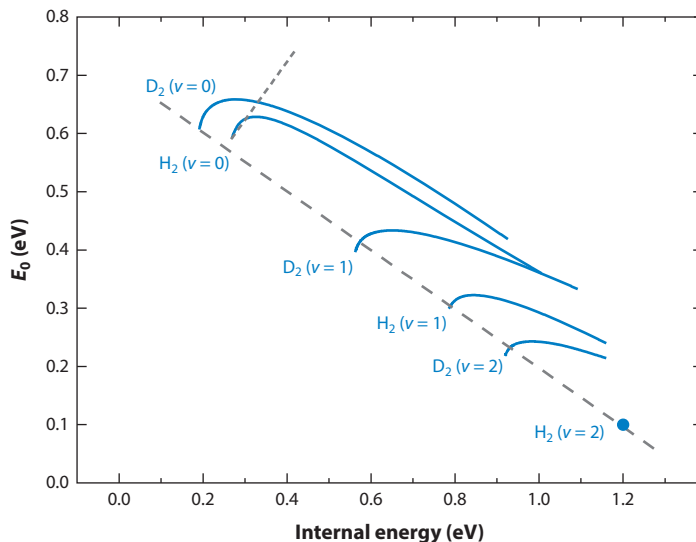
Dissociative adsorption is one of the simplest surface chemical reactions and, as such, a natural place to begin our discussion of testing the foundations of the theory of surface chemistry. The molecular system, surface temperature, incidence translational energy, angles, vibrational and rotational states, and molecular orientation all have dramatic effects on the reaction probability, which often varies over orders of magnitude as incidence conditions are changed. This rich behavior can provide stringent tests of theory. Furthermore, activated dissociative adsorption is often the rate-limiting step in industrial catalytic processes, such as the synthesis of ammonia by the reaction of H<sub>2</sub> and N<sub>2</sub> over iron-based catalysts (35). For activated dissociative adsorption, reaction probabilities are determined by a limited region of the PES, namely the barrier between reactants and products. This fact is advantageous in two ways: (a) It means that an accurate description of difficult-to-compute van der Waals and other long-range interactions may not be required to obtain accurate results for reaction probabilities, and (b) it allows sensitive tests of the ability of theory to predict activation barriers, the features of the PES that are most critical for understanding and predicting heterogeneous catalysis.

### 2.1. Hydrogen Dissociation on Metal Surfaces

There is only one class of surface reactions in which the basic assumptions underlying chemical theory have been tested at a level close to that achieved for simple gas-phase reactions—hydrogen dissociation on metals (30, 36, 37). Experimental and theoretical studies of hydrogen dissociative adsorption are available for many metals (36), but we focus on copper because we have the most detailed experimental data and the most comprehensive comparisons to theory for this system.

For hydrogen on copper, we have detailed quantum state-specific experimental information on the reaction probability at zero coverage,  $S_0(T_s, E_i, \theta_i, \phi_i, v_i, J_i, M_i)$  as a function of kinetic energy,  $E_i$ , polar angle,  $\theta_i$ , azimuthal angle,  $\phi_i$ , vibrational state,  $v_i$ , rotational state,  $J_i$ , and orientation or projection of the rotational angular momentum,  $M_i$ . Remarkably, these data are available over the full range of kinetic energies, vibrational states, rotational states, and orientations that are chemically relevant (32, 38–47). In addition, we have information on rotational and vibrational inelastic scattering (32, 48, 49).

**Figure 3** illustrates the range of the experimental results available. The experimentally determined  $S_0$  is often expressed in terms of fits of the measurements to a sigmoidal function based on



**Figure 3**

Plot of  $E_0$  as a function of internal energy for  $\text{H}_2/\text{Cu}(111)$  and  $\text{D}_2/\text{Cu}(111)$  as described in the text. The lines correspond to fits to  $J$ -dependent  $E_0$  results. The dashed line is a linear fit to the  $J = 0$  results and gives a vibrational efficacy of  $0.51 \pm 0.02$ . The dotted line on the  $\text{H}_2 (v = 0)$  curve is the initial slope of that curve and gives an initial rotational efficacy of  $-1$ . Note that data are available over the full range of internal energies that is relevant to a thermal reaction. Figure reproduced with permission from Reference 41. Copyright 1995, AIP Publishing LLC.

the error function:

$$S_0\{E_i, \theta_i, v_i, J_i, M_i\} = \frac{A(v_i, J_i)}{2} \left\{ 1 + \operatorname{erf} \left[ \frac{E_i \cos^2(\theta_i) - E_0(v_i, J_i)}{W(v_i, J_i)} \right] \right\}.$$

$E_0$  is the incidence translational energy at which  $S_0$  reaches half of its high energy limit—it is thus a measure of the barrier to adsorption, one that depends on the molecular quantum numbers. **Figure 3** shows  $E_0(v_i, J_i)$  plotted as a function of internal energy. As the vibrational energy increases,  $E_0$  decreases by about half the increase in internal energy. Rotational motion initially inhibits dissociation, but at high  $J$ ,  $E_0$  decreases by about half the increase in internal energy. Thus, both vibrational energy and rotational energy are about half as effective as translational energy in overcoming the adsorption barrier.

At the present state of the art, none of the standard exchange correlation functionals at the GGA level provides a chemically accurate description of the adsorption barrier for dissociative adsorption of  $\text{H}_2$  and  $\text{D}_2$  on copper. Of the two functionals most often used, PW91 (50) generally gives values for activation barriers that are too low, whereas RPBE (51) gives barriers that are too high. Correspondingly, six-dimensional (6D) calculations of adsorption probabilities versus collision energy for  $\text{D}_2$  on  $\text{Cu}(111)$  using a PW91-based PES give values that are larger than those found in experiments, whereas calculations using RPBE give values smaller than experiment (52). In response to this problem, Kroes and coworkers (52) developed an adaptation to molecule-metal interactions of the specific reaction parameter (SRP) approach to DFT originally developed for gas-phase problems (53). Essentially, the method involves constructing a new functional as a linear combination of two functionals, for example,

$$E_{\text{XC}}^{\text{SRP}} = x E_{\text{XC}}^{\text{RPBE}} + (1 - x) E_{\text{XC}}^{\text{PW91}},$$

**PW91:** Perdew and Wang 91 exchange correlation functional

**RPBE:** revised Perdew-Burke-Ernzerhof exchange correlation functional

**SRP:** specific reaction parameter exchange correlation functional



and then adjusting the mixing parameter,  $\alpha$ , to give optimal agreement with one piece of experimental data, in this case, the adsorption probability for  $D_2$  for a vibrational temperature of 2,100 K. This semiempirical SRP functional successfully reproduced results for many other measurements on this system, such as the variation of  $E_0$  with  $\nu$  and  $J$  and the rotational excitation probability (52). The same functional with the same mixing parameter also gave good agreement with reaction probabilities on Cu(100) (37).

Although calculations on the SRP-based PES accurately describe reaction probabilities for Cu(111) and Cu(100), they do not give an accurate description of all the data available. For example, they strongly underestimate the vibrational excitation probability for  $D_2$ /Cu(111) and strongly overestimate the orientation dependence of the reaction probability for this system. [Measurements of these quantities for Cu(100) are not yet available.]

Kroes and coworkers argued that these discrepancies do not result from errors in the PES, but rather from the use of the Born-Oppenheimer static surface (BOSS) model, which freezes the surface atoms at their 0-K equilibrium positions. Using ab initio molecular dynamics (AIMD) (54), which allows all degrees of freedom to be computed on the fly (55), they obtained results for the orientation dependence of the reaction probability (56) that are in significantly better agreement with experiment. The deviations from experiment in the vibrational excitation probability are also attributed to the BOSS model (57). It is possible that the remaining discrepancies are somehow related to nonadiabatic electronic excitation, but there is no direct indication that this is the case, nor are we aware of any model that shows how nonadiabatic effects might help to resolve the remaining discrepancies. The use of classical mechanics (in the AIMD calculations) may also contribute to the discrepancies, especially with respect to vibrational excitation. There does not presently appear to be an easy way to check this last point.

Even if nonadiabatic effects do not play a significant role in determining the reaction probability for  $H_2$  and  $D_2$  interactions with copper surfaces, as appears to be the case, they may be important for other aspects of the dynamics. One such area is vibrational energy transfer. Luntz et al. (58) argued that a comparison of reduced-dimensional calculations with data on the vibrational relaxation of  $H_2$  ( $\nu = 1, J = 1$ ) (59) and  $D_2$  ( $\nu = 1, J = 2$ ) (60) provides indirect evidence for a nonadiabatic mechanism. This conclusion, however, is controversial. More recently, Muzas et al. (61) found that 6D calculations can qualitatively account for the trends seen in the experimental data. We note that the agreement is only qualitative, and both groups did calculations for Cu(111), whereas the experiments in question were done on Cu(100). Thus, it is probably best to regard the possible role of nonadiabatic effects in vibrational relaxation as an open question.

Nonadiabatic effects may also play a significant, or even dominant, role in the fate of hot hydrogen atoms that result from a dissociative adsorption event. Recently, Alducin and coworkers (62) used a combination of AIMD and the local density electronic friction approximation to study transient hot hydrogen atoms produced in the dissociation of  $H_2$  on Pd(100). Within the approximations they used, they found that nonadiabatic electronic excitation is the dominant mechanism for energy loss in these hot atoms. Unfortunately, there is no experimental evidence available on this point, and it is not even clear how their results could be tested directly. It would be interesting to develop experiments to test this theoretical approach, for example, by measuring the inelastic scattering of fast hydrogen atoms.

## 2.2. $CH_4$ Dissociation on Metals: Polyatomic Behavior in Surface Chemistry

Methane dissociation at metals is the most deeply studied example of polyatomic surface chemistry. Experiments show that the reaction occurs over an approximately 1-eV activation barrier, varying somewhat from metal to metal (63). Both incidence translation and vibration promote dissociation,



forming adsorbed hydrogen and methyl radicals (64–74). This chemistry disobeys statistical laws of reaction rates. For doubly deuterated methane ( $\text{CD}_2\text{H}_2$ ), the reaction probability is five times higher for molecules with two quanta of excitation in one C–H bond compared to molecules with one quantum in each of two C–H bonds (65), despite these two states having nearly identical energies. Bond-selective control of  $\text{CHD}_3$  dissociation was also demonstrated—the C–H bond can be selectively dissociated by laser excitation of the C–H stretch (72), and similar behavior is seen in other isotopologs (73, 74). A steric effect has also been reported (75); that is, the reaction probability depends on the direction along which its C–H bonds are vibrating. The barrier to dissociation is found to increase with product surface coverage (76). The reaction probability depends strongly on the surface temperature, increasing by as much as a factor of eight as  $T_s$  is increased from 90 K to 473 K, which suggests the importance of surface atom motion (77).

A recent review (29, p. 4) summarized methane's nonstatistical dissociation well:

Studies of vibrationally mediated [surface] chemistry are showing that the nature of the vibrational excitation, and not just its total energy, can play an important role in determining the rates and pathways of surface reactions. Such ... behavior results when the timescale for statistical redistribution of vibrational energy within the reaction complex is slower than reaction.

Although there is no doubt about this conclusion, obtaining a full understanding of the vibrational state-specific reactivity is quite challenging (78). Why is  $\text{CH}_4$ 's symmetric C–H stretch (66) much more reactive than the asymmetric stretch is (69)? Why is bending excitation less effective in promoting reaction than the already low-reactivity asymmetric C–H stretch (71, 79)? Beyond this, can we obtain quantitative agreement between experiment and theory for state-specific reaction probabilities?

In recent years, there has been a flurry of theoretical work related to these questions. A major challenge is the large number of degrees of freedom active in this system—15 in the methane molecule and much more if one considers the motion of the surface atoms. Beyond this, the quantum nature of hydrogen atom motion may be important. In short, we demand a high-dimensional treatment absent the classical approximation. The challenges involved have been recently discussed and are beginning to be met (37).

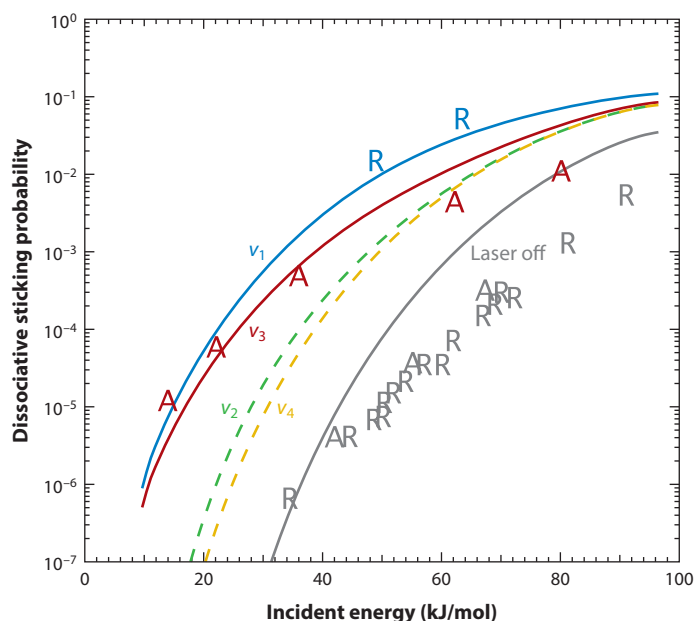
Many approaches have been tried. Quantum dynamics calculations in three and four dimensions on a 15D PES that include all methane degrees of freedom but a frozen nickel surface gave less than satisfactory agreement with experiment (80). 8D quantum dynamics on a 12D PES (neglecting surface motion, translation of  $\text{CH}_4$  parallel to the surface, and azimuthal rotation about the surface normal) gave the correct ordering of the reactivity of the vibrational modes: symmetric stretch > asymmetric stretch > bending excitation (81). However, the restriction of impact at a single surface site (with the lowest barrier) greatly overestimated the reaction probability at all incidence energies. Furthermore, the neglect of surface motion meant that the dramatic surface temperature dependence was ignored. DFT calculations show that out-of-plane nickel atom motion lowers the dissociation barrier (81–83).

With 15 molecular degrees of freedom and surface atom motion all influencing reactivity, a brute-force approach is quite challenging, but recently it was attempted using a reactive force field (RFF) to fit DFT data, some of which was derived from AIMD trajectories (82). A full-dimensional PES for methane dissociation on nickel and platinum was obtained, including surface atom motion. In their supplementary material, the authors offered a veiled warning about the PES, stating that the RFFs reported in that work “should be used neither to investigate other reactive processes nor to extend further the range of initial conditions mentioned above without performing additional extensive tests of accuracy for the targeted process/conditions.” This reflects

the difficulty of using RFF to accurately and simultaneously describe forces between atoms in molecules with covalent bonds, in which it works well, and metallic bonding, in which problems may arise. Despite these potential problems, the authors were able to understand the mode- and isotope-selective adsorption observed in experiments (72, 73).

Above we mention theories of quantum mechanical nuclear motion in reduced dimensions or, alternatively, theories that employ full-dimensional classical approaches. These give qualitative, but not quantitative, agreement with experiments. To find out if the difficulty lies with the classical approximation, we need full-dimensional quantum calculations. But how can one compute full-dimensional quantum mechanical reactivity?

A promising approach, which appears to capture the full-dimensional nature of the problem and which is fully quantum mechanical, relies on a reaction path Hamiltonian (10, 83–85). Here, only a limited part of the PES needs to be calculated from DFT, namely energy points along the minimum energy path to dissociation as well as the curvature of the PES orthogonal to this path. This dramatically simplifies the polyatomic problem. With the use of a reaction path Hamiltonian, a 15D wave function is expanded in the adiabatic vibrational states of the methane molecule, and close-coupled equations are derived for wave packets propagating on vibrationally adiabatic PESs, with vibrationally nonadiabatic couplings linking these states to each other (10). Sudden models were used to average over the surface impact site and nickel atom lattice vibrations (10). **Figure 4** shows the excellent agreement obtained between experiment and theory.



**Figure 4**

Comparison of experiment (*symbols*) and theory (*lines*) for methane dissociation on nickel. The theory is based on a reaction path Hamiltonian involving 15D quantum dynamics calculations with sudden approximation models introduced to allow for averaging over the impact site and nickel atom out-of-surface motion. The experiments from the groups of Beck (*R*'s) and Utz (*A*'s) employ laser-excited molecular beams to reveal the translational and vibrational promotion of the methane dissociation probability. Shown are the ground vibrational state (*gray*), one quantum symmetric CH stretch (*blue*), one quantum antisymmetric CH stretch (*red*),  $v_2$  bend (*green*), and  $v_4$  bend (*yellow*). Readers are referred to Reference 10, and references therein. Figure reprinted with permission from Reference 10. Copyright 2011, AIP Publishing LLC.

The reactivity results from thermally assisted over-the-barrier processes, and not tunneling. This does not, however, mean that the classical approximation is valid. With these quantum calculations in hand, the classical approximation could be more rigorously tested (84). In general, the classical approximation yields reaction probabilities that are too high. The most troubling problem introduced by the classical approximation is a vibrational ground state that is far too reactive. This effect was found to result from zero-point energy flow to the reaction coordinate possible in the classical approximation (84), a problem that is likely of more general importance (86). By contrast, classical reaction probabilities for vibrationally excited states were in better agreement with quantum results.

These lessons are of great relevance when considering the current increasing interest in AIMD calculations (87–89). Although AIMD allows all degrees of freedom to be involved, it requires a classical approximation. AIMD was used to try to gain insights into the mode selectivity seen in methane dissociation on platinum (87) and nickel (88). Here several hundred classical trajectories were started near the transition state, and the nature of the vibrational, rotational, and translational motion appearing in the methane ejected to the gas phase was analyzed. The authors made use of time reversal to make inferences about mode selectivity in dissociative adsorption experiments and found that the symmetric C–H stretch is most effective in promoting reaction, in qualitative agreement with experiments.

The most ambitious implementation of molecular dynamics came recently when AIMD was used to directly compare state-specific reaction probabilities at various incidence energies of translation (89). As in the quantum classical comparison of Reference 84, the classical AIMD results tend to overestimate the experimental values. Nevertheless, agreement with experiment is remarkably good. We do note that these classical calculations were compared under conditions in which the total energy is much larger than the zero-point energy (84).

## 2.3. Summary and Key Points

We take stock of the key lessons learned from the dissociative adsorption of molecules for which ET is energetically inaccessible in the following subsections.

**2.3.1. Density functional theory.** DFT with the popular PW91 and RPBE GGA exchange correlation functionals does not produce accurate values for the chemical dissociation barrier. A semiempirical SRP functional can give results to chemical accuracy for H<sub>2</sub> on Cu(111) and Cu(100), but the same functional does not work for H<sub>2</sub>/Ru. This lack of transferability is a serious failing of the SRP functional at this stage in its development. For CH<sub>4</sub> dissociation, DFT gives reasonably accurate results for the activation barriers but does not give chemical accuracy (1 kcal/mol).

**2.3.2. Quasi-classical trajectory method.** For H<sub>2</sub> and D<sub>2</sub> dissociation on copper, the QCT approximation works well for activated dissociative adsorption in which the kinetic energy of the reactive molecules is high. The same is not always true at lower energies, and it is currently difficult to decide in advance if QCT calculations are adequate (36). For CH<sub>4</sub> dissociation, the classical approximation for nuclear motion simply fails. These systems are intrinsically quantum mechanical. That zero-point energy promotes reaction in classical calculations appears to be one of the more important lessons of this area of study. Indeed, it may be a more general problem. If one is interested in modeling activated reactions, in which the zero-point energy is a significant fraction of the barrier height, using QCT may be asking for trouble. For larger polyatomic molecules that

possess more zero-point energy—exactly the situation in which one might wish to employ the classical approximation—one can only fear that this problem is even more severe.

**2.3.3. Reduced dimensionality.** For hydrogen dissociation, 6D static surface calculations reproduce the main features of the experiments, but inclusion of the motion of surface atoms and coupling to phonons is important for more subtle features such as vibrational excitation and orientation dependence. 4D or lower-dimensional calculations differ significantly from 6D calculations (90). Although 6D or higher-dimensional calculations are required to quantitatively reproduce many of the experimental results, lower-dimensional calculations play a valuable role in establishing an understanding of how the topography of the PES affects experimental results (11). For CH<sub>4</sub>, the motion of the surface atoms is critically important for energies near the reaction barrier. The use of a reaction path Hamiltonian and sudden models to average over certain degrees of freedom was quite successful.

**2.3.4. Born-Oppenheimer approximation.** There is presently no evidence that nonadiabatic electronic excitation has a large influence on the dissociative adsorption of hydrogen or methane. However, this conclusion should be regarded with caution, as a semiempirical approach is used to obtain the PES for hydrogen, and in principle, this might mask nonadiabatic effects—in effect empirically adjusting the barrier height to compensate for errors introduced by the BOA. There is some evidence for the influence of nonadiabatic effects in the vibrational relaxation of H<sub>2</sub> and D<sub>2</sub> and in the dissipation of energy of hot atoms formed in dissociation, but further research that allows more direct comparison of theory and experiment is required.

### 3. MOLECULAR INTERACTIONS AT METAL SURFACES MEDIATED BY ELECTRON TRANSFER

The previous section describes successes and failures of the provisional model of surface reactivity for some simple surface chemical reactions. DFT-derived PESs are useful but do not give barriers to chemical accuracy (1 kcal/mol) using any of the standard GGA-level exchange correlation functionals. Reduced-dimensional calculations must be approached with some caution and verified by reference to experiment or higher-dimensional calculations. In particular, for some problems, the role of surface atom motion is quite important in influencing reaction barriers and cannot be ignored. Even for light species such as hydrogen, the classical approximation for nuclear motion can be surprisingly good, but with significant provisos—in particular, as a molecule's zero-point energy becomes a substantial fraction of the size of reaction barrier. Importantly, to a very large extent in the examples presented above, the BOA appears to be valid. But are these well-studied examples representative of the breadth of behavior that is possible in molecular interactions and chemical reactions at metal surfaces?

Section 2 focuses on systems for which ET is energetically unfavorable. They lie toward the right-hand side of **Figure 2b**. For systems in which ET is unlikely, such as H<sub>2</sub>, N<sub>2</sub> (91), and CH<sub>4</sub> interactions with metals, the electronically adiabatic picture appears reliable. We do note a possible exception: N<sub>2</sub> dissociation on ruthenium has been suggested to be strongly influenced by electronically nonadiabatic effects. However, more work is needed on this system to clarify differences between reported experiments. The interested reader is directed, in particular, to References 92–95.

We now turn our attention to systems in which ET is energetically favorable (i.e., those toward the left-hand side of **Figure 2b**). Perhaps the most basic lesson we have learned over the years in studying molecular interactions at metal surfaces is that ET processes occurring between the

metal and the molecule are intimately involved in the failure of the BOA (31). Furthermore, when ET is involved, DFT may exhibit even more severe problems than those discussed above, even when the BOA might hold. The following examples illustrate these issues.

### 3.1. CO Interactions with Metals

The lifetime of the first vibrationally excited state of CO on different surfaces can be inferred from the measurements of infrared line widths and time-resolved vibrational spectroscopy. As a typical example, the vibrational lifetime of CO ( $v = 1$ ) on metal surfaces such as Cu(100) ( $\sim 2$  ps) (96) is nine orders of magnitude shorter than that on an insulator such as NaCl(100) ( $\sim 3$  ms) (97). This is dramatic, albeit indirect, evidence of the strong nonadiabatic coupling of molecular vibration to the continuum of electronic states in the metal.

Theoretical work treats the vibrational relaxation in an ET model using Fermi's golden rule and perturbations arising from the vibrational kinetic energy operator (98–100). As the bonding of CO with many metals involves the overlap of the electron density from the metal with the molecule's  $\pi^*$  orbital, and because the energy of the  $\pi^*$  orbital is strongly dependent on the CO bond length, CO vibration induces an oscillating ET back and forth between the metal and the molecule. If the electrons cannot adiabatically adjust to this high-frequency vibrational motion, non-Born-Oppenheimer vibrational relaxation exciting electron hole pairs in the metal becomes possible. With this model, one can explain trends in the lifetimes for the different vibrational modes of the CO and other diatomic molecules on Cu(100) and other metals.

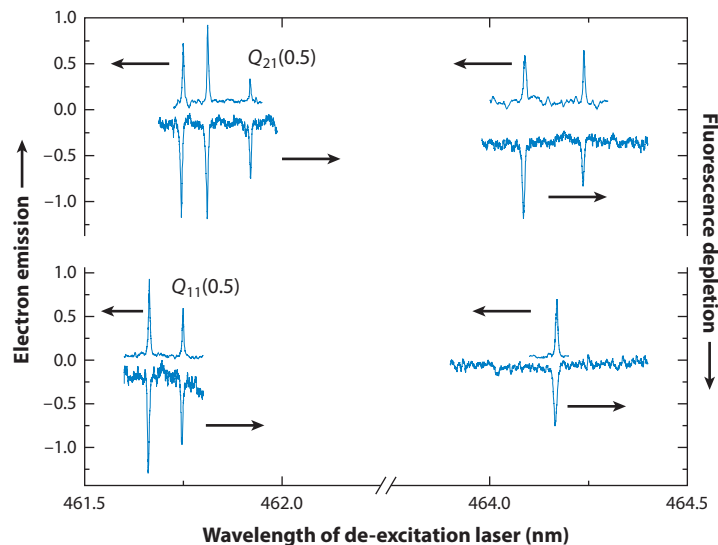
Not only do these effects exist, they can be so strong that they dominate the energy transfer between a molecule and the metal upon which it is adsorbed. This has given rise to an important direction of research in which short laser pulses are used to excite electrons in a metal, which are then used to initiate chemical reactions, desorption, and energy transfer to molecular adsorbates (26). In a recent example from this field, the direct measurement of bond cleavage for CO on a metal has been reported (101).

In these examples, chemical binding of the adsorbate to the surface is important. But ET-mediated BOA breakdown can happen even for scattering events in which the transient interaction between the molecule and the surface is extremely short and in which the structures sampled by the scattering molecule are very different than the equilibrium geometry of the adsorbate. Recent studies on the scattering of CO from Au(111) show that the electronically nonadiabatic coupling of vibration to metal electronic degrees of freedom can also be observed in this system in a scattering experiment (102, 103).

### 3.2. NO on Gold

Vibrationally inelastic scattering of NO from metals has become one of the best-studied examples in nonadiabatic gas-surface interactions (31, 104–105). One reason for this is that stimulated emission pumping allows the preparation of nearly any initial vibrational state in the molecule up to approximately 80% of its bond energy. Originally developed for investigations of gas-gas collisions (106–111), this technique has been extended to applications in gas-surface studies (112) and has been enhanced to allow for orientation of the NO molecule (113).

The fact that one can apply such powerful optical pumping methods to NO provides an opportunity to investigate the energy transfer of highly vibrationally excited molecules with an energy content that is nearly enough to break their chemical bond. Highly vibrationally excited NO ( $v = 15$ ) shows multiquantum vibrational relaxation in scattering from Au(111)—on average, seven quanta of vibration are lost—whereas only little vibrational relaxation is observed for scattering from an insulating LiF surface (114, 115). Such observations clearly show that



**Figure 5**

Electron emission resulting from NO ( $v = 18$ ) ( $E_{\text{vib}} = 3.65$  eV), prepared by stimulated emission pumping, colliding with Cs-covered Au(111) ( $\Phi \sim 1.61 \pm 0.08$  eV). The upgoing signals show the electron emission from the surface as a function of the wavelength of the de-excitation laser that dumps molecules, which were initially pumped into  $A^2\Sigma^+$  ( $v = 3$ ) via the  $Q_{21}(0.5)$  and  $Q_{11}(0.5)$  transition, into  $X^2\Pi$  ( $v = 18$ ). The downgoing signals show fluorescence depletion spectra taken under identical conditions. The spectra illustrate that electron emission from the surface is strongly enhanced if initial NO molecules are selectively prepared with a vibrational energy that is higher than the work function of the surface. Figure reprinted from Reference 163 by permission from Macmillan Publishers Ltd. on behalf of Cancer Research UK.

molecular interactions at metals are dramatically different than those at insulators. When similar studies were carried out on low-work function surfaces, electron emission was observed as soon as the vibrational energy exceeded the work function (116–119). **Figure 5** demonstrates the correlation of electron emission enhancement and fluorescence depletion upon a change in the de-excitation laser wavelength for preparation of NO ( $v = 18$ ). The kinetic energy distribution of the ejected electron has also been reported (116, 117). These results not only prove that the BOA fails, but also show that nearly all the NO molecule's vibrational energy can be transferred to a single electron, consistent with an ET mechanism.

The electronically nonadiabatic vibrational energy transfer occurring in collisions of NO with an Au(111) surface has become a test bed for new post-Born-Oppenheimer theories of molecular interactions at surfaces. Concerning the multiquantum vibrational relaxation of NO ( $v = 15$ ), molecular dynamics with electronic friction (MDEF) (120, 121) and coupled-channel density matrix (CCDM) with weak vibrational-electronic coupling (120, 121) gave reasonable agreement with experiments, as did independent electron surface hopping (IESH) theory (122–124). All three theories are based on ET mechanisms, but the IESH theory makes no weak coupling approximation, instead using a Newns-Anderson Hamiltonian and electronically nonadiabatic couplings derived from DFT calculations (124). A strong orientation dependence to the vibrational relaxation was also observed—N-first collisions are much more efficient at inducing vibrational energy exchange than are O-first collisions (125, 126). This qualitative observation was predicted theoretically and reflects the orientation-dependent ET of the PES for NO/Au used in the IESH calculations.

**MDEF:** molecular dynamics with electronic friction

**IESH:** independent electron surface hopping

Unlike IESH, the models with weak coupling require that vibrational energy is lost or gained one quantum at a time; hence, multiquantum vibrational relaxation is a cascading process of many sequential single-quantum relaxation events. Calculations show that NO ( $v = 15$ ) can relax via this sequential cascade process, giving vibrational state distributions in reasonable agreement with experiment (121).

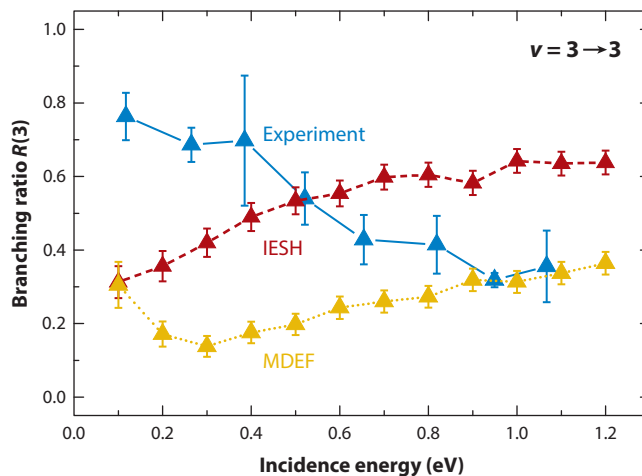
To better differentiate between these weak coupling theories and IESH, a large benchmark data set was generated for vibrational excitation of NO ( $v = 0 \rightarrow 1, 2$ ) in collisions with Au(111). Here absolute excitation probabilities were obtained over a wide range of surface temperatures and translational incidence energies (127). The IESH theory gave semiquantitative agreement with experiment for both  $\Delta v = 1$  and 2 processes—however, somewhat underestimating excitation into  $v = 1, 2$  at high  $E_i$  and overestimating it at low  $E_i$ . In contrast, the weak coupling theories dramatically underestimated the magnitude of the vibrational excitation at all values of  $E_i$ . In an extension of this work, NO ( $v = 0 \rightarrow 3$ ) excitation probabilities were also compared to IESH calculations (128). In addition to a less than perfect description of multiquantum vibrational excitation, the IESH theory exhibited an excitation probability that was nearly independent of the incidence translation in contrast to experiment, which showed a strong incidence energy enhancement of the vibrational excitation (127). Although all indications are that the IESH theory is the front-runner in explaining ET-mediated BOA failure, this was the first indication of problems—more were to come.

The most detailed and informative comparison of experiment and theory for this system concerned the scattering of NO ( $v = 3$ ) from Au(111) (129). Here the vibrational relaxation to  $v = 1$  and 2 was observed as a function of the incidence energy of translation and compared to IESH and MDEF. Again experiment showed an enhancement of vibrational energy transfer with increasing incidence translational energy (**Figure 6**). Both IESH and MDEF showed the opposite trend.

This led to a detailed analysis of individual trajectories revealing that a large fraction of the trajectories in adiabatic, IESH, and MDEF calculations are multibounce collisions. However, experimentally observed angular distributions were narrow, providing strong evidence of single-bounce scattering (129). Furthermore, state-to-state time-of-flight measurements showed that the translational inelasticity of NO in collisions with Au(111) is consistent with a binary collision (Baule) model, giving powerful evidence against a large probability of multibounce scattering (130, 131). This apparent multibounce artifact in the theory also partially explains the incorrect translational incidence energy dependence exhibited by both IESH and MDEF. The fraction of multibounce collisions increased dramatically with decreasing  $E_i$ —at  $E_i = 0.1$  eV, up to 90% of the trajectories are multibounce (129). By selecting only single-bounce trajectories from the models, investigators again compared IESH and MDEF to experiment. This procedure improved agreement between IESH and experiment, but MDEF remained unable to describe the  $\Delta v = -2$  relaxation. It was concluded that the DFT-based interaction potential used in the IESH and MDEF calculations does not describe the translational inelasticity of NO on Au(111) accurately—the gold surface is too soft and too corrugated—leading to unphysical multibounce trajectories. This work points out how errors in the electronically adiabatic interaction potential can lead to incorrect electronically nonadiabatic dynamics, as unusual regions of phase and configuration space may be accessed that are not relevant to reality.

In passing, we note that the multibounce artifact must also have been present in the first IESH calculation of NO ( $v = 15$ ) on Au(111) (123)—there the incidence energy of translation was 0.05 eV. The good agreement with theory for multiquantum vibrational relaxation may have been fortuitous. Indeed, the first experimental results point in that direction (132).





**Figure 6**

Branching ratio for NO ( $v = 3 \rightarrow 3$ ) scattering from Au(111). The branching ratio is defined as  $R(v) = (N(v)) / \sum_{i=1}^3 N(i)$ , where  $N(v)$  is the number of molecules scattered into a specific final vibrational state  $v_f = 1, 2, 3$ . Shown are experimental (blue) and theoretical results from independent electron surface hopping (IESH) (red) and molecular dynamics with electron friction (MDEF) (yellow) calculations. The experiment shows that the fraction of NO ( $v = 3$ ) molecules (the survival probability) decreases with an increasing incidence energy, whereas the theoretical calculations predict the opposite trend. A detailed trajectory analysis by the authors of Reference 129 revealed that the inverse  $E_i$  dependence results from an increasing fraction of multibounce collisions in the calculations, which were not in agreement with the experimental observations. Figure reprinted with permission from Reference 129. Copyright 2014, AIP Publishing LLC.

### 3.3. O<sub>2</sub> on Aluminum

The interaction of O<sub>2</sub> with aluminum has become one of the most intriguing systems to study the underlying assumptions of computational surface chemistry. The experimental results for O<sub>2</sub> dissociation on Al(111) are clear and consistent. Adsorption is translationally (and vibrationally) activated (133). The adsorption process itself involves two reaction channels: The O<sub>2</sub> molecule can either undergo simple dissociative chemisorption or undergo an abstraction reaction in which one oxygen atom binds to the surface and the other is ejected toward the vacuum. Thereby, the abstraction mechanism involves a lower activation barrier than does the dissociative chemisorption. This has been indirectly demonstrated by scanning tunneling microscopy studies showing single isolated oxygen atoms at low or thermal incidence energies, while the fraction of adsorbed oxygen pairs increased at high incidence energy (134, 135). Molecular beam methods allowed the direct detection of the ejected oxygen atom (136).

Theoretical studies on the O<sub>2</sub>/Al(111) system showed a much less clear picture. Conventional adiabatic DFT calculations using GGA functionals already fail to reproduce the experimentally observed sticking probabilities owing to the absence of an activation barrier for dissociation (137–141). Several studies showed that the problem is related to the failure of DFT to describe charge transfer that is clearly important for O<sub>2</sub>/Al(111) (142, 143). Hellman et al. (143) showed that this problem especially occurs for molecules with medium electron affinities, such as O<sub>2</sub> and NO, whereas DFT calculations give a good description for molecules with high electron affinities, such as F<sub>2</sub> (no barrier at all, charge transfer already at large distances) or very low electron affinities, such as N<sub>2</sub> (no dissociation, charge transfer not important).

Behler et al. (139, 140) showed that the absence of a barrier in DFT for  $\text{O}_2/\text{Al}(111)$  is basically related to the fact that DFT already predicts charge transfer at unreasonably large  $\text{O}_2$ -surface distances. They were able to avoid this problem by applying locally constrained DFT, which forces the  $\text{O}_2$  molecule to stay in its triplet state. When employing this spin-restricted version of DFT, they found a barrier and used this to develop a 6D PES for the  $\text{O}_2/\text{Al}(111)$  system. An extension of the method also included a singlet PES and allowed for surface hopping, which only slightly influenced the results (141, 144, 145), depending on the assumed nonadiabatic coupling.

The apparent success of locally constrained DFT raised the question of whether spin selection rules are important for gas-surface interactions. Libisch et al. (146) suggested that the barrier for  $\text{O}_2$  dissociation on  $\text{Al}(111)$  does not arise from spin conservation rules but comes about when the charge transfer is treated properly, for example, using embedded correlated wave-function methods. The authors used DFT only to calculate the energy of a  $5 \times 5$  supercell representing the  $\text{Al}(111)$  surface but calculated the interaction of  $\text{O}_2$  to the nearest aluminum atoms by correlated wave-function theory using a 10–14-atom aluminum cluster. The 2D PESs for parallel and perpendicular impacts of the  $\text{O}_2$  molecule at different surface sites showed barriers consistent with experimental observations.

The question whether spin selection rules are important in gas-surface interactions or if they simply have to be added to the calculation to avoid the charge transfer problem is still waiting for an answer from experiments. Nevertheless, we point out that DFT-based methods are currently the only possible way to yield a full 6D PES, which is needed for a detailed comparison to experimental data.

### 3.4. Summary of Key Points

In the following subsections, we take stock of the key lessons learned from the system presented above, one for which ET is energetically accessible.

**3.4.1. Density functional theory.** A proper theoretical description of ET remains one of the most important challenges in modern computational surface chemistry. Although DFT has become the workhorse of this field, it is known that it does not accurately handle ET in many cases. This means that large errors in interaction energies can result, for example, in the  $\text{O}_2$ -Al system in which theory finds no barrier to reaction.

**3.4.2. Quasi-classical trajectory method.** Most post-Born-Oppenheimer models employ the classical approximation for nuclear motion. One example of quantum dynamics is available (121) in reduced dimensions, but there is no clear evidence at this point that the classical approximation is better or worse in nonadiabatic cases. A recent paper has shown that a unique classical force exists even outside the BOA (147). The prospect for including electronically nonadiabatic dissipation in on-the-fly dynamics methods is therefore sensible and feasible (148).

**3.4.3. Born-Oppenheimer approximation.** The breakdown of the BOA is found to be associated with ET events, a phenomenon typically described poorly by DFT. Theories of electronically nonadiabatic dynamics are still in their infancy, yet post-Born-Oppenheimer protocols implementing ET physics have advanced to a point at which detailed comparisons with experiment are possible. This represents a major step forward in improving the provisional model of surface chemistry, in particular as energy transfer between an adsorbate and the solid is one of the key dynamical features of surface chemistry. Up to now, the most advanced post-Born-Oppenheimer models employed extensive DFT input data—hence, the development of post-Born-Oppenheimer

dynamical theories would also benefit from improved methods for the treatment of ET. New wave-function-based methods that include nonadiabatic electronic transitions offer some promise for the future (146, 149), but they have yet to be rigorously tested against high-level experimental measurements.

## 4. SUMMARY AND OUTLOOK

### 4.1. How Good Is the Provisional Model of Surface Chemistry?

Sections 2.3 and 3.4 summarize the successes and failures of key approximations underlying the provisional model of surface reactivity. DFT, the quasi-classical approximation, and models in reduced dimensions all have limitations and must be used with care. Even the BOA breaks down for systems involving ET. Yet we cannot foresee a day when computational chemistry will reach the Diracian ideal of solving the many-body quantum problem from scratch—at least not for any system a chemist might care about. Approximate methods are here to stay, and the limitations they introduce are important to study, understand, and appreciate.

### 4.2. Challenges for Theory

As of this writing, we still have no general procedure for calculating adsorbate binding energies and surface reaction barrier heights that is chemically accurate—1 kcal/mol or better. (The problem is even worse: We also have no general procedure for measuring such quantities with this accuracy.) This means, among other things, that we may not even obtain the correct binding site for adsorbates [see, e.g., the binding of CO to transition metals (150)]. Although DFT always gives an answer, it is often difficult to judge its accuracy. The problems intensify in systems in which ET is important—here, reaction barriers can simply disappear. Ad hoc adjustments to the DFT approach have been applied with some success, but the underlying basis for such adjustments is not established. It has also become clear that ET, one of the most ubiquitous events in surface chemistry, is intimately associated with the failure of the BOA in surface chemical dynamics. Although progress has been made in understanding how nuclear degrees of freedom are coupled to electron-hole pairs when molecules interact with metal surfaces, this field requires much more effort. Beyond this, as quantum dynamics calculations are still so computationally heavy, we are presently nearly always forced to rely on the classical approximation. The marriage of DFT with QCT in AIMD methods and their variants makes this approach particularly seductive. Yet, even for the simplest case of polyatomic dissociative adsorption, bizarre zero-point reactivity creeps into a classical calculation. This problem is likely even more troublesome as the size of the polyatomic increases.

The advances in computational chemistry made over the past three decades are astonishing, yet the pillars upon which we have built our computational machinery for interactions at surfaces are wobbly. Future work requires deep, and perhaps even speculative, thinking to develop completely new approaches to strengthen or replace the provisional model. Wave-function-based approaches to solving the electron structure problem (post-DFT methods) in surface chemistry are desperately needed and are being developed (149). With regard to the problem of high dimensions and the classical approximation, although on-the-fly methods have shown themselves to be extraordinarily useful, by necessity they impose the classical approximation. Advancing quantum methods for systems with many degrees of freedom is an important direction for future computational surface chemistry, if only to discover where the classical approximation is valid (86). Producing high- or even full-dimensional PESs for surface reactions (151) is a seemingly brute-force approach that may not be fundamentally novel, but innovative thinking will be needed to implement practical

protocols for doing so. On-the-fly calculations and quantum nuclear motion are two concepts that have not presently been married to one another for purposes of studying problems in surface chemistry, yet the approach is known for problems in the gas phase (152). Such spawning methods (152) may even provide new approaches to post-Born-Oppenheimer computations of surface chemistry.

### 4.3. Challenges for Experiments

Many future experiments are also called for—after all, our computational infrastructure is provisional in nature. Our hope is that this review might inspire more theorists and experimentalists to work together in testing the key aspects of the provisional model. In that spirit, it is illusory to propose specific experiments that are needed for the future. Nevertheless, a few directions for future work occur to us, which we briefly mention.

Up to now, there has been no simple model system of dissociative adsorption, which has been clearly identified to exhibit the breakdown of the BOA. An example in which detailed experimental and theoretical work might be carried out and compared—for instance, at the same level of rigor as the  $\text{H}_2$ -Cu reaction (52, 57)—would contribute to our understanding of the strengths and weaknesses of the provisional model of surface chemistry. An attractive candidate is the dissociative adsorption of HCl on gold. An electronically adiabatic DFT-based PES was recently reported, and quantum dynamics calculations were performed showing efficient dissociation (153, 154). Experimental studies of inelastic energy transfer revealed the breakdown of the BOA (155–157), yet dissociation has not been observed. Within this context,  $\text{N}_2$  dissociation on ruthenium is another interesting system requiring additional study. Although it has been suggested to be strongly influenced by electronically nonadiabatic effects, more work is needed on this system to clarify differences between reported experiments (91–94, 158).

The interactions of atoms with metals are particularly attractive for comparing experiment to theory. Hydrogen atom interactions with metals reveal electron-hole pair excitations measured as chemicurrents on, for example, Schottky diodes (28), a clear sign that the BOA fails. Theoretical studies of such a simple system are attractive and have begun (62, 148, 151) in anticipation of new experimental studies employing photolytic hydrogen atom sources and Rydberg atom tagging.

Hydrogen permeation experiments have been shown to be an excellent tool for studying many dynamical details of recombinative desorption (44). Such experiments can be performed, in principle, for nearly any metal. The hydrogen-copper reaction system has been shown to conform reasonably well to the provisional model. It is logical and interesting to continue studies on as many systems as possible, comparing theory to experiment for different metals and different crystal faces to see how well we can actually do. In the event that the provisional model works well, we will derive a detailed dynamical picture of these simple reactions. Where it does not, we will find where improvements are needed.

### 4.4. Building the World's Greatest Microscope

The driving spirit of the field of chemical dynamics is the desire to visualize the atomic-scale motion associated with chemical reactions. Accurate atomic-scale dynamics theories derived from the first principles of physics yet employing experimentally validated approximations satisfy such desires in ways that are impossible to fulfill by any other means—one obtains atomic-scale movies with femtosecond time resolution. In a very real sense, atomic-scale dynamics from first-principles theory is the world's greatest microscope. This idea has been clearly demonstrated for simple gas-phase reactions—one beautiful example is the newly discovered roaming reaction, in which

simple bond rupture occurs via trajectories that stray far from the reaction path (159). For surface chemistry, we are still building and testing the “microscope.” The number of approximations is greater, and our experimental tools for testing them are more limited. Despite these challenges, we have come further than one might have imagined would be possible.

When considering that computational chemistry for simple gas-phase reactions, such as  $\text{H} + \text{HD} \rightarrow \text{H}_2 + \text{D}$ , was still in its infancy in the late 1980s, one realizes the enormous progress made in developing an accurate computational approach to molecular interactions and chemical reactions at metal surfaces. This rapid progress has of course benefitted from the growth and improvement of computer hardware—more importantly, advanced theoretical ideas (e.g., DFT) have utterly changed our view of what is technically possible. It is only a mild overstatement to say that today anything can be calculated. Yet as our implementations of theory apply to ever more complex phenomena, for which direct experimental interrogation can be challenging or impossible, it is important to recall how tenuous the connection is between the first principles of physics and practical computational chemistry. For the time being, we are destined to be working with, in the words of Nate Silver in the epigraph, “simple models that we know to be flawed,” but ones in which we can hope to be able to “point out when and where those flaws are likely to occur.” In the summer of 2014, during the final stages of writing this article, one of the authors succeeded in outpredicting all others in our department’s World Cup betting pool using Silver’s “flawed” model. Perhaps there is reason to be hopeful about the usefulness of the provisional standard model of surface chemical reactivity.

## DISCLOSURE STATEMENT

The authors are not aware of any affiliations, memberships, funding, or financial holdings that might be perceived as affecting the objectivity of this review.

## ACKNOWLEDGMENTS

A.M.W. and D.J.A. acknowledge support from the Alexander von Humboldt Foundation. We gratefully acknowledge Alexander Kandratsenka for helpful discussions and a thorough reading and critique of a draft of this article.

## LITERATURE CITED

1. Dirac PAM. 1929. Quantum mechanics of many-electron systems. *Proc. R. Soc. Lond. A* 123:714–33
2. Born M, Oppenheimer R. 1927. Quantum theory of molecules. *Ann. Phys.* 84:457–84
3. Eyring H, Polanyi M. 1935. On simple gas reactions. *Z. Phys. Chem.* 227:1221–45
4. Skouteris D, Castillo JF, Manolopoulos DE. 2000. ABC: a quantum reactive scattering program. *Comput. Phys. Commun.* 133:128–35
5. Yang XM, Zhang DH. 2013. Probing quantum dynamics of elementary chemical reactions via accurate potential energy surfaces. *Z. Phys. Chem.* 227:1247–65
6. Ren ZF, Che L, Qiu MH, Wang XA, Dong WR, et al. 2008. Probing the resonance potential in the F atom reaction with hydrogen deuteride with spectroscopic accuracy. *Proc. Natl. Acad. Sci. USA* 105:12662–66
7. Chao SD, Harich SA, Dai DX, Wang CC, Yang XM, Skodje RT. 2002. A fully state- and angle-resolved study of the  $\text{H} + \text{HD} \rightarrow \text{D} + \text{H}_2$  reaction: comparison of a molecular beam experiment to ab initio quantum reaction dynamics. *J. Chem. Phys.* 117:8341–61
8. Xiao CL, Xu X, Liu S, Wang T, Dong WR, et al. 2011. Experimental and theoretical differential cross sections for a four-atom reaction:  $\text{HD} + \text{OH} \rightarrow \text{H}_2\text{O} + \text{D}$ . *Science* 333:440–42
9. Diaz C, Olsen RA, Auerbach DJ, Kroes GJ. 2010. Six-dimensional dynamics study of reactive and non reactive scattering of  $\text{H}_2$  from Cu(111) using a chemically accurate potential energy surface. *Phys. Chem. Chem. Phys.* 12:6499–519

10. Jackson B, Nave S. 2011. The dissociative chemisorption of methane on Ni(100): reaction path description of mode-selective chemistry. *J. Chem. Phys.* 135:114701
11. Darling GR, Holloway S. 1994. Rotational motion and the dissociation of H<sub>2</sub> on Cu(111). *J. Chem. Phys.* 101:3268–81
12. Hohenberg P, Kohn W. 1964. Inhomogeneous electron gas. *Phys. Rev.* 136:B864–71
13. Kohn W, Sham LJ. 1965. Self-consistent equations including exchange and correlation effects. *Phys. Rev.* 140:A1133–38
14. Kohn W. 1999. Nobel Lecture: electronic structure of matter-wave functions and density functionals. *Rev. Mod. Phys.* 71:1253–66
15. Pople JA. 1999. Nobel Lecture: quantum chemical models. *Rev. Mod. Phys.* 71:1267–74
16. Reuter K, Scheffler M. 2006. First-principles kinetic Monte Carlo simulations for heterogeneous catalysis: application to the CO oxidation at RuO<sub>2</sub>(110). *Phys. Rev. B* 73:045433
17. Reuter K, Frenkel D, Scheffler M. 2004. The steady state of heterogeneous catalysis, studied by first-principles statistical mechanics. *Phys. Rev. Lett.* 93:116105
18. Reuter K, Scheffler M. 2003. First-principles atomistic thermodynamics for oxidation catalysis: surface phase diagrams and catalytically interesting regions. *Phys. Rev. Lett.* 90:046103
19. Jones G, Bligaard T, Abild-Pedersen F, Nørskov JK. 2008. Using scaling relations to understand trends in the catalytic activity of transition metals. *J. Phys. Condens. Matter* 20:064239
20. Ferrin P, Simonetti D, Kandoi S, Kunkes E, Dumesic JA, et al. 2009. Modeling ethanol decomposition on transition metals: a combined application of scaling and Brønsted-Evans-Polanyi relations. *J. Am. Chem. Soc.* 131:5809–15
21. Abild-Pedersen F, Greeley J, Studt F, Rossmeisl J, Munter TR, et al. 2007. Scaling properties of adsorption energies for hydrogen-containing molecules on transition-metal surfaces. *Phys. Rev. Lett.* 90:016105
22. Wang SG, Temel B, Shen JA, Jones G, Grabow LC, et al. 2011. Universal Brønsted-Evans-Polanyi relations for C–C, C–O, C–N, N–O, N–N, and O–O dissociation reactions. *Catal. Lett.* 141:370–73
23. Studt F, Abild-Pedersen F, Wu QX, Jensen AD, Temel B, et al. 2012. CO hydrogenation to methanol on Cu–Ni catalysts: theory and experiment. *J. Catal.* 293:51–60
24. Jacobsen CJH, Dahl S, Clausen BS, Bahn S, Logadottir A, Nørskov JK. 2001. Catalyst design by interpolation in the periodic table: bimetallic ammonia synthesis catalysts. *J. Am. Chem. Soc.* 123:8404–5
25. Nørskov JK, Bligaard T, Rossmeisl J, Christensen CH. 2009. Towards the computational design of solid catalysts. *Nat. Chem.* 1:37–46
26. Frischkorn C, Wolf M. 2006. Femtochemistry at metal surfaces: nonadiabatic reaction dynamics. *Chem. Rev.* 106:4207–33
27. Kroes GJ, Gross A, Baerends EJ, Scheffler M, McCormack DA. 2002. Quantum theory of dissociative chemisorption on metal surfaces. *Acc. Chem. Res.* 35:193–200
28. Nienhaus H. 2002. Electronic excitations by chemical reactions on metal surfaces. *Surf. Sci. Rep.* 45:3–78
29. Utz AL. 2009. Mode selective chemistry at surfaces. *Curr. Opin. Solid State Mater. Sci.* 13:4–12
30. Kroes GJ. 1999. Six-dimensional quantum dynamics of dissociative chemisorption of H<sub>2</sub> on metal surfaces. *Prog. Surf. Sci.* 60:1–85
31. Wodtke AM, Matsiev D, Auerbach DJ. 2008. Energy transfer and chemical dynamics at solid surfaces: the special role of charge transfer. *Prog. Surf. Sci.* 83:167–214
32. Sitz GO. 2002. Gas surface interactions studied with state-prepared molecules. *Rep. Prog. Phys.* 65:1165–93
33. Arnolds H, Bonn M. 2010. Ultrafast surface vibrational dynamics. *Surf. Sci. Rep.* 65:45–66
34. Hasselbrink E. 2006. How non-adiabatic are surface dynamical processes? *Curr. Opin. Solid State Mater. Sci.* 10:192–204
35. Ertl G. 2000. Dynamics of reactions at surfaces. *Adv. Catal.* 45:1–69
36. Kroes GJ, Somers MF. 2005. Six-dimensional dynamics of dissociative chemisorption of H<sub>2</sub> on metal surfaces. *J. Theor. Comput. Chem.* 4:493–581
37. Kroes GJ. 2012. Towards chemically accurate simulation of molecule-surface reactions. *Phys. Chem. Chem. Phys.* 14:14966–81



38. Michelsen HA, Rettner CT, Auerbach DJ. 1994. The adsorption of hydrogen at copper surfaces: a model system for the study of activated adsorption. In *Surface Reactions*, ed. RJ Madix, pp. 185–237. Berlin: Springer-Verlag
39. Hayden BE, Lamont CL. 1989. Coupled translational-vibrational activation in dissociative hydrogen adsorption on Cu(110). *Phys. Rev. Lett.* 63:1823–25
40. Rettner CT, Auerbach DJ, Michelsen HA. 1992. Role of vibrational and translational energy in the activated dissociative adsorption of D<sub>2</sub> on Cu(111). *Phys. Rev. Lett.* 68:1164–67
41. Rettner CT, Michelsen HA, Auerbach DJ. 1995. Quantum-state-specific dynamics of the dissociative adsorption and associative desorption of H<sub>2</sub> at a Cu(111) surface. *J. Chem. Phys.* 102:4625–41
42. Rettner CT, Michelsen HA, Auerbach DJ, Mullins CB. 1991. Dynamics of recombinative desorption: angular distributions of H<sub>2</sub>, HD, and D<sub>2</sub> desorbing from Cu(111). *J. Chem. Phys.* 94:7499–501
43. Michelsen HA, Rettner CT, Auerbach DJ. 1992. State-specific dynamics of D<sub>2</sub> desorption from Cu(111): the role of molecular rotational motion in activated adsorption-desorption dynamics. *Phys. Rev. Lett.* 69:2678–81
44. Michelsen HA, Rettner CT, Auerbach DJ, Zare RN. 1993. Effect of rotation on the translational and vibrational energy dependence of the dissociative adsorption of D<sub>2</sub> on Cu(111). *J. Chem. Phys.* 98:8294–307
45. Rettner CT, Michelsen HA, Auerbach DJ. 1993. Determination of quantum-state-specific gas-surface energy transfer and adsorption probabilities as a function of kinetic energy. *Chem. Phys.* 175:157–69
46. Gulding SJ, Wodtke AM, Hou H, Rettner CT, Michelsen HA, Auerbach DJ. 1996. Alignment of D<sub>2</sub>(*v*, *J*) desorbed from Cu(111): low sensitivity of activated dissociative chemisorption to approach geometry. *J. Chem. Phys.* 105:9702–5
47. Hou H, Gulding SJ, Rettner CT, Wodtke AM, Auerbach DJ. 1997. The stereodynamics of a gas-surface reaction. *Science* 277:80–82
48. Rettner CT, Auerbach DJ, Michelsen HA. 1992. Observation of direct vibrational excitation in collisions of H<sub>2</sub> and D<sub>2</sub> with a Cu(111) surface. *Phys. Rev. Lett.* 68:2547–50
49. Hodgson A, Moryl J, Traversaro P, Zhao H. 1992. Energy transfer and vibrational effects in the dissociation and scattering of D<sub>2</sub> from Cu(111). *Nature* 356:501–4
50. Perdew JP, Chevary JA, Vosko SH, Jackson KA, Pederson MR, et al. 1992. Atoms, molecules, solids, and surfaces: applications of the generalized gradient approximation for exchange and correlation. *Phys. Rev. B* 46:6671–87
51. Murphy MJ, Skelly JF, Hodgson A, Hammer B. 1999. Inverted vibrational distributions from N<sub>2</sub> recombination at Ru(001): evidence for a metastable molecular chemisorption well. *J. Chem. Phys.* 110:6954–62
52. Diaz C, Pijper E, Olsen RA, Busnengo HF, Auerbach DJ, Kroes GJ. 2009. Chemically accurate simulation of a prototypical surface reaction: H<sub>2</sub> dissociation on Cu(111). *Science* 326:832–34
53. Chuang YY, Radhakrishnan ML, Fast PL, Cramer CJ, Truhlar DG. 1999. Direct dynamics for free radical kinetics in solution: solvent effect on the rate constant for the reaction of methanol with atomic hydrogen. *J. Phys. Chem. A* 103:4893–909
54. Marx D, Hutter J. 2009. *Ab Initio Molecular Dynamics: Basic Theory and Advanced Methods*. Cambridge, UK: Cambridge Univ. Press
55. Car R, Parrinello M. 1985. Unified approach for molecular dynamics and density-functional theory. *Phys. Rev. Lett.* 55:2471–74
56. Nattino F, Diaz C, Jackson B, Kroes GJ. 2012. Effect of surface motion on the rotational quadrupole alignment parameter of D<sub>2</sub> reacting on Cu(111). *Phys. Rev. Lett.* 108:236104
57. Kroes G-J, Diaz C, Pijper E, Olsen RA, Auerbach DJ. 2010. Apparent failure of the Born-Oppenheimer static surface model for vibrational excitation of molecular hydrogen on copper. *Proc. Natl. Acad. Sci. USA* 107:20881–86
58. Luntz AC, Persson M, Sitz GO. 2006. Theoretical evidence for nonadiabatic vibrational deexcitation in H<sub>2</sub>(D<sub>2</sub>) state-to-state scattering from Cu(100). *J. Chem. Phys.* 124:091101
59. Watts E, Sitz GO. 2001. State-to-state scattering in a reactive system: H<sub>2</sub>(*v* = 1, *J* = 1) from Cu(100). *J. Chem. Phys.* 114:4171–79
60. Shackman LC, Sitz GO. 2005. State-to-state scattering of D<sub>2</sub> from Cu(100) and Pd(111). *J. Chem. Phys.* 123:064712



61. Muzas AS, Juaristi JI, Alducin M, Díez Muiño R, Kroes G, Díaz C. 2012. Vibrational deexcitation and rotational excitation of H<sub>2</sub> and D<sub>2</sub> scattered from Cu(111): adiabatic versus non-adiabatic dynamics. *J. Chem. Phys.* 137:064707
62. Blanco-Rey M, Juaristi JI, Díez Muiño R, Busnengo HF, Kroes GJ, Alducin M. 2014. Electronic friction dominates hydrogen hot-atom relaxation on Pd(100). *Phys. Rev. Lett.* 112:103203
63. Nave S, Tiwari AK, Jackson B. 2010. Methane dissociation and adsorption on Ni(111), Pt(111), Ni(100), Pt(100), and Pt(110)-(1 × 2): energetic study. *J. Chem. Phys.* 132:054705
64. Schmid MP, Maroni P, Beck RD, Rizzo TR. 2002. Surface reactivity of highly vibrationally excited molecules prepared by pulsed laser excitation: CH<sub>4</sub> (2ν<sub>3</sub>) on Ni(100). *J. Chem. Phys.* 117:8603–6
65. Beck RD, Maroni P, Papageorgopoulos DC, Dang TT, Schmid MP, Rizzo TR. 2003. Vibrational mode-specific reaction of methane on a nickel surface. *Science* 302:98–100
66. Maroni P, Papageorgopoulos DC, Sacchi M, Dang TT, Beck RD, Rizzo TR. 2005. State-resolved gas-surface reactivity of methane in the symmetric C-H stretch vibration on Ni(100). *Phys. Rev. Lett.* 94:246104
67. Bisson R, Sacchi M, Dang TT, Yoder B, Maroni P, Beck RD. 2007. State-resolved reactivity of CH<sub>4</sub>(2ν<sub>3</sub>) on Pt(111) and Ni(111): effects of barrier height and transition state location. *J. Phys. Chem. A* 111:12679–83
68. Bisson R, Sacchi M, Beck RD. 2010. Mode-specific reactivity of CH<sub>4</sub> on Pt(110)-(1 × 2): the concerted role of stretch and bend excitation. *Phys. Rev. B* 82:121404
69. Juurlink LBF, McCabe PR, Smith RR, DiCologero CL, Utz AL. 1999. Eigenstate-resolved studies of gas-surface reactivity: CH<sub>4</sub> (ν<sub>3</sub>) dissociation on Ni(100). *Phys. Rev. Lett.* 83:868–71
70. Smith RR, Killelea DR, DelSesto DF, Utz AL. 2004. Preference for vibrational over translational energy in a gas-surface reaction. *Science* 304:992–95
71. Juurlink LBF, Smith RR, Killelea DR, Utz AL. 2005. Comparative study of C-H stretch and bend vibrations in methane activation on Ni(100) and Ni(111). *Phys. Rev. Lett.* 94:208303
72. Killelea DR, Campbell VL, Shuman NS, Utz AL. 2008. Bond-selective control of a heterogeneously catalyzed reaction. *Science* 319:790–93
73. Chen L, Ueta H, Bisson R, Beck RD. 2012. Vibrationally bond-selected chemisorption of methane isotopologues on Pt(111) studied by reflection absorption infrared spectroscopy. *Faraday Discuss.* 157:285–95
74. Chen L, Ueta H, Bisson R, Beck RD. 2013. Quantum state-resolved gas/surface reaction dynamics probed by reflection absorption infrared spectroscopy. *Rev. Sci. Instrum.* 84:053902
75. Yoder BL, Bisson R, Beck RD. 2010. Steric effects in the chemisorption of vibrationally excited methane on Ni(100). *Science* 329:553–56
76. Ueta H, Chen L, Beck RD, Colon-Diaz I, Jackson B. 2013. Quantum state-resolved CH<sub>4</sub> dissociation on Pt(111): coverage dependent barrier heights from experiment and density functional theory. *Phys. Chem. Chem. Phys.* 15:20526–35
77. Killelea DR, Campbell VL, Shuman NS, Smith RR, Utz AL. 2009. Surface temperature dependence of methane activation on Ni(111). *J. Phys. Chem. C* 113:20618–22
78. Killelea DR, Utz AL. 2013. On the origin of mode and bond selectivity in vibrationally mediated reactions on surfaces. *Phys. Chem. Chem. Phys.* 15:20545–54
79. Chen N, Huang YL, Utz AL. 2013. State-resolved reactivity of methane (ν<sub>2</sub> + ν<sub>4</sub>) on Ni(111). *J. Phys. Chem. A* 117:6250–55
80. Krishnamohan GP, Olsen RA, Kroes GJ, Gatti F, Woittequand S. 2010. Quantum dynamics of dissociative chemisorption of CH<sub>4</sub> on Ni(111): influence of the bending vibration. *J. Chem. Phys.* 133:144308
81. Jiang B, Liu R, Li J, Xie DQ, Yang MH, Guo H. 2013. Mode selectivity in methane dissociative chemisorption on Ni(111). *Chem. Sci.* 4:3249–54
82. Shen XJ, Lozano A, Dong W, Busnengo HF, Yan XH. 2014. Towards bond selective chemistry from first principles: methane on metal surfaces. *Phys. Rev. Lett.* 112:046101
83. Jackson B, Nave S. 2013. The dissociative chemisorption of methane on Ni(111): the effects of molecular vibration and lattice motion. *J. Chem. Phys.* 138:174705
84. Mastromatteo M, Jackson B. 2013. The dissociative chemisorption of methane on Ni(100) and Ni(111): classical and quantum studies based on the reaction path Hamiltonian. *J. Chem. Phys.* 139:194701

85. Nave S, Jackson B. 2010. Vibrational mode-selective chemistry: methane dissociation on Ni(100). *Phys. Rev. B* 81:233408
86. Miller WH. 2005. Quantum dynamics of complex molecular systems. *Proc. Natl. Acad. Sci. USA* 102:6660–64
87. Sacchi M, Wales DJ, Jenkins SJ. 2011. Mode-specific chemisorption of CH<sub>4</sub> on Pt{110}-(1 × 2) explored by first-principles molecular dynamics. *J. Phys. Chem. C* 115:21832–42
88. Sacchi M, Wales DJ, Jenkins SJ. 2012. Mode-specificity and transition state-specific energy redistribution in the chemisorption of CH<sub>4</sub> on Ni{100}. *Phys. Chem. Chem. Phys.* 14:15879–87
89. Nattino F, Ueta H, Chadwick H, van Reijzen ME, Beck RD, et al. 2014. Ab initio molecular dynamics calculations versus quantum-state-resolved experiments on CHD<sub>3</sub> + Pt(111): new insights into a prototypical gas-surface reaction. *J. Phys. Chem. Lett.* 5:1294–99
90. Pijper E, Kroes GJ, Olsen RA, Baerends EJ. 2002. Reactive and diffractive scattering of H<sub>2</sub> from Pt(111) studied using a six-dimensional wave packet method. *J. Chem. Phys.* 117:5885–98
91. Juaristi JJ, Alducin M, Díez Muño R, Busnengo HF, Salin A. 2008. Role of electron-hole pair excitations in the dissociative adsorption of diatomic molecules on metal surfaces. *Phys. Rev. Lett.* 100:116102
92. Diekhöner L, Hornekaer L, Mortensen H, Jensen E, Baurichter A, et al. 2001. Indirect evidence for strong nonadiabatic coupling in N<sub>2</sub> associative desorption from and dissociative adsorption on Ru(0001). *J. Chem. Phys.* 117:5018–30
93. Diekhöner L, Mortensen H, Baurichter A, Jensen E, Petrunin VV, Luntz AC. 2001. N<sub>2</sub> dissociative adsorption on Ru(0001): the role of energy loss. *J. Chem. Phys.* 115:9028–35
94. Diekhöner L, Mortensen H, Baurichter A, Luntz AC, Hammer B. 2000. Dynamics of high-barrier surface reactions: laser-assisted associative desorption of N<sub>2</sub> from Ru(0001). *Phys. Rev. Lett.* 84:4906–9
95. Díaz C, Vincent JK, Krishnamohan GP, Olsen RA, Kroes GJ, et al. 2006. Reactive and nonreactive scattering of N<sub>2</sub> from Ru(0001): a six-dimensional adiabatic study. *J. Chem. Phys.* 125:114706
96. Morin M, Levinos NJ, Harris AL. 1992. Vibrational energy transfer of CO/Cu(100): nonadiabatic vibration/electron coupling. *J. Chem. Phys.* 96:3950–56
97. Chang H-C, Ewing GE. 1990. Infrared fluorescence from a monolayer of CO on NaCl(100). *Phys. Rev. Lett.* 65:2125–28
98. Forsblom M, Persson M. 2007. Vibrational lifetimes of cyanide and carbon monoxide on noble and transition metal surfaces. *J. Chem. Phys.* 127:154303
99. Head-Gordon M, Tully JC. 1992. Molecular-orbital calculations of the lifetimes of the vibrational modes of CO on Cu(100). *Phys. Rev. B* 46:1853–56
100. Krishna V, Tully JC. 2006. Vibrational lifetimes of molecular adsorbates on metal surfaces. *J. Chem. Phys.* 125:054706
101. Dell'Angela M, Anniyev T, Beye M, Coffee R, Fohlisch A, et al. 2013. Real-time observation of surface bond breaking with an X-ray laser. *Science* 339:1302–5
102. Schäfer T, Bartels N, Golibrzuch K, Bartels C, Koeckert H, et al. 2013. Observation of direct vibrational excitation in gas-surface collisions of CO with Au(111): a new model system for surface dynamics. *Phys. Chem. Chem. Phys.* 15:1863–67
103. Shirhatti P, Werdecker J, Golibrzuch K, Wodtke AM, Bartels C. 2014. Electron hole pair mediated vibrational excitation in CO scattering from Au(111): incidence energy and surface temperature dependence. *J. Chem. Phys.* 141:124704
104. Rahinov I, Cooper R, Matsiev D, Bartels C, Auerbach DJ, Wodtke AM. 2011. Quantifying the breakdown of the Born-Oppenheimer approximation in surface chemistry. *Phys. Chem. Chem. Phys.* 13:12680–92
105. Bartels C, Cooper R, Auerbach DJ, Wodtke AM. 2011. Energy transfer at metal surfaces: the need to go beyond the electronic friction picture. *Chem. Sci.* 2:1647–55
106. Yang XM, Kim EH, Wodtke AM. 1990. The vibrational quantum number dependence of the collisional lifetime in nitric-oxide self-relaxation up to  $v'' = 25$ . *J. Chem. Phys.* 93:4483–84
107. Yang XM, Kim EH, Wodtke AM. 1992. Vibrational-energy transfer of very highly vibrationally excited NO. *J. Chem. Phys.* 96:5111–22
108. Yang XM, Wodtke AM. 1992. State-to-state spin orbit and rotational energy transfer of very highly vibrationally excited nitric oxide. *J. Chem. Phys.* 96:5123–28

109. Yang X, Wodtke AM. 1990. Efficient state-specific preparation of highly vibrationally excited NO( $X^2\Pi$ ). *J. Chem. Phys.* 92:116–20
110. Yang XM, McGuire D, Wodtke AM. 1992. Efficient state-specific preparation of highly vibrationally excited  $^{15}\text{N}^{18}\text{O}$ . *J. Mol. Spectrosc.* 154:361–71
111. Drabbls M, Wodtke AM, Yang M, Alexander MH. 1997. Parity-resolved state-to-state cross sections for inelastic scattering of NO  $X^2\Pi_{1/2}$  ( $v = 20$ ,  $J = 0.5$ ,  $ef$ ) from He: a comparison between crossed molecular beams experiments and ab initio theory. *J. Phys. Chem. A* 101:6463–74
112. Bartels N, Krüger BC, Meyer S, Wodtke AM, Schäfer T. 2013. Suppression of spontaneous emission in the optical pumping of molecules: pump-dump-sweep-probe. *J. Phys. Chem. Lett.* 4:2367–70
113. Schäfer T, Bartels N, Hocke N, Yang XM, Wodtke AM. 2012. Orienting polar molecules without hexapoles: optical state selection with adiabatic orientation. *Chem. Phys. Lett.* 535:1–11
114. Huang YH, Rettner CT, Auerbach DJ, Wodtke AM. 2000. Vibrational promotion of electron transfer. *Science* 290:111–14
115. Wodtke AM, Huang YH, Auerbach DJ. 2003. Interaction of NO( $v = 12$ ) with LiF(001): evidence for anomalously large vibrational relaxation rates. *J. Chem. Phys.* 118:8033–41
116. LaRue J, Schäfer T, Matsiev D, Velarde L, Nahler NH, et al. 2011. Vibrationally promoted electron emission at a metal surface: electron kinetic energy distributions. *Phys. Chem. Chem. Phys.* 13:97–99
117. LaRue JL, Schäfer T, Matsiev D, Velarde L, Nahler NH, et al. 2011. Electron kinetic energies from vibrationally promoted surface exoemission: evidence for a vibrational autodetachment mechanism. *J. Phys. Chem. A* 115:14306–14
118. Nahler NH, White JD, LaRue J, Auerbach DJ, Wodtke AM. 2008. Inverse velocity dependence of vibrationally promoted electron emission from a metal surface. *Science* 321:1191–94
119. Nahler NH, Wodtke AM. 2008. Dynamics of molecule-induced electron emission from surfaces. *Mol. Phys.* 106:2227–44
120. Head-Gordon M, Tully JC. 1995. Molecular dynamics with electronic frictions. *J. Chem. Phys.* 103:10137–45
121. Monturet S, Saalfrank P. 2010. Role of electronic friction during the scattering of vibrationally excited nitric oxide molecules from Au(111). *Phys. Rev. B* 82:075404
122. Shenvi N, Roy S, Tully JC. 2009. Nonadiabatic dynamics at metal surfaces: independent-electron surface hopping. *J. Chem. Phys.* 130:174107
123. Shenvi N, Roy S, Tully JC. 2009. Dynamical steering and electronic excitation in NO scattering from a gold surface. *Science* 326:829–32
124. Roy S, Shenvi NA, Tully JC. 2009. Model Hamiltonian for the interaction of NO with the Au(111) surface. *J. Chem. Phys.* 130:174716
125. Bartels N, Golibrzuch K, Bartels C, Chen L, Auerbach DJ, et al. 2013. Observation of orientation-dependent electron transfer in molecule-surface collisions. *Proc. Natl. Acad. Sci. USA* 110:17738–43
126. Bartels N, Golibrzuch K, Bartels C, Chen L, Auerbach DJ, et al. 2014. Dynamical steering in an electron transfer surface reaction: oriented NO( $v = 3$ ,  $0.08 < E_i < 0.89$  eV) relaxation in collisions with a Au(111) surface. *J. Chem. Phys.* 140:054710
127. Cooper R, Bartels C, Kandratsenka A, Rahinov I, Shenvi N, et al. 2012. Multiquantum vibrational excitation of NO scattered from Au(111): quantitative comparison of benchmark data to ab initio theories of nonadiabatic molecule-surface interactions. *Angew. Chem. Int. Ed. Engl.* 51:4954–58
128. Golibrzuch K, Kandratsenka A, Rahinov I, Cooper R, Auerbach DJ, et al. 2013. Experimental and theoretical study of multi-quantum vibrational excitation: NO( $v = 0 \rightarrow 1, 2, 3$ ) in collisions with Au(111). *J. Phys. Chem. A* 117:7091–101
129. Golibrzuch K, Shirhatti PR, Rahinov I, Kandratsenka A, Auerbach DJ, et al. 2014. The importance of accurate adiabatic interaction potentials for the correct description of electronically nonadiabatic vibrational energy transfer: a combined experimental and theoretical study of NO( $v = 3$ ) collisions with a Au(111) surface. *J. Chem. Phys.* 140:044701
130. Golibrzuch K, Shirhatti PR, Rahinov I, Auerbach DJ, Wodtke AM, Bartels C. 2014. Incidence energy dependent state-to-state time-of-flight measurements of NO( $v = 3$ ) collisions with Au(111): the fate of incidence vibrational and translational energy. *Phys. Chem. Chem. Phys.* 16:7602–10

131. Golibrzuch K, Shirhatti PR, Altschaffel J, Rahinov I, Auerbach DJ, et al. 2013. State-to-state time-of-flight measurements of NO scattering from Au(111): direct observation of translation-to-vibration coupling in electronically nonadiabatic energy transfer. *J. Phys. Chem. A* 117:8750–60
132. Bartels N, Krüger BC, Bartels C, Auerbach DJ, Wodtke AM, Schäfer T. 2014. Controlling an electron transfer reaction at a metal surface by manipulating reactant motion and orientation. *Angew. Chem. Int. Ed. Engl.* 53:13690–94
133. Osterlund L, Zoric I, Kasemo B. 1997. Dissociative sticking of O<sub>2</sub> on Al(111). *Phys. Rev. B* 55:15452–55
134. Brune H, Wintterlin J, Behm RJ, Ertl G. 1992. Surface migration of “hot” adatoms in the course of dissociative chemisorption of oxygen on Al(111). *Phys. Rev. Lett.* 68:624–26
135. Brune H, Wintterlin J, Trost J, Ertl G, Wiechers J, Behm RJ. 1993. Interaction of oxygen with Al(111) studied by scanning tunneling microscopy. *J. Chem. Phys.* 99:2128–48
136. Komrowski AJ, Sexton JZ, Kummel AC, Binetti M, Weisse O, Hasselbrink E. 2001. Oxygen abstraction from dioxygen on the Al(111) surface. *Phys. Rev. Lett.* 87:246103
137. Yourdshahyan Y, Razaznejad B, Lundqvist BI. 2002. Adiabatic potential-energy surfaces for oxygen on Al(111). *Phys. Rev. B* 65:075416
138. Yourdshahyan Y, Razaznejad B, Lundqvist BI. 2001. Adiabatic potential-energy surface of O<sub>2</sub>/Al(111): rare entrance-channel barriers but molecularly chemisorbed state apt for abstraction. *Solid State Commun.* 117:531–35
139. Behler J, Delley B, Lorenz S, Reuter K, Scheffler M. 2005. Dissociation of O<sub>2</sub> at Al(111): the role of spin selection rules. *Phys. Rev. Lett.* 94:036104
140. Behler J, Delley B, Reuter K, Scheffler M. 2007. Nonadiabatic potential-energy surfaces by constrained density-functional theory. *Phys. Rev. B* 75:115409
141. Carbogno C, Behler J, Reuter K, Gross A. 2010. Signatures of nonadiabatic O<sub>2</sub> dissociation at Al(111): first-principles fewest-switches study. *Phys. Rev. B* 81:035410
142. Katz G, Kosloff R, Zeiri Y. 2004. Abstractive dissociation of oxygen over Al(111): a nonadiabatic quantum model. *J. Chem. Phys.* 120:3931–48
143. Hellman A, Razaznejad B, Lundqvist BI. 2005. Trends in sticking and adsorption of diatomic molecules on the Al(111) surface. *Phys. Rev. B* 71:205424
144. Carbogno C, Behler J, Gross A, Reuter K. 2008. Fingerprints for spin-selection rules in the interaction dynamics of O<sub>2</sub> at Al(111). *Phys. Rev. Lett.* 101:096104
145. Behler J, Reuter K, Scheffler M. 2008. Nonadiabatic effects in the dissociation of oxygen molecules at the Al(111) surface. *Phys. Rev. B* 77:115421
146. Libisch F, Huang C, Liao PL, Pavone M, Carter EA. 2012. Origin of the energy barrier to chemical reactions of O<sub>2</sub> on Al(111): evidence for charge transfer, not spin selection. *Phys. Rev. Lett.* 109:198303
147. Abedi A, Maitra NT, Gross EKV. 2012. Correlated electron-nuclear dynamics: exact factorization of the molecular wavefunction. *J. Chem. Phys.* 137:22A530
148. Pavanello M, Auerbach DJ, Wodtke AM, Blanco-Rey M, Alducin M, Kroes GJ. 2013. Adiabatic energy loss in hyperthermal H atom collisions with Cu and Au: a basis for testing the importance of nonadiabatic energy loss. *J. Phys. Chem. Lett.* 4:3735–40
149. Grüneis A, Shepherd JJ, Alavi A, Tew DP, Booth GH. 2013. Explicitly correlated plane waves: accelerating convergence in periodic wavefunction expansions. *J. Chem. Phys.* 139:084112
150. Appelbaum JA, Hamann DR. 1972. Variational calculation of the image potential near a metal surface. *Phys. Rev. B* 6:1122–30
151. Janke SM, Pavanello M, Kroes GJ, Auerbach D, Wodtke AM, Kandratenka A. 2013. Toward detection of electron-hole pair excitation in H-atom collisions with Au(111): adiabatic molecular dynamics with a semi-empirical full-dimensional potential energy surface. *Z. Phys. Chem.* 227:1467–90
152. Ben-Nun M, Quenneville J, Martínez TJ. 2000. Ab initio multiple spawning: photochemistry from first principles quantum molecular dynamics. *J. Phys. Chem. A* 104:5161–75
153. Liu T, Fu B, Zhang DH. 2013. Six-dimensional quantum dynamics study for the dissociative adsorption of HCl on Au(111) surface. *J. Chem. Phys.* 139:184705
154. Liu T, Fu B, Zhang DH. 2014. Six-dimensional quantum dynamics study for the dissociative adsorption of DCl on Au(111) surface. *J. Chem. Phys.* 140:144701

155. Ran Q, Matsiev D, Auerbach DJ, Wodtke AM. 2007. Observation of a change of vibrational excitation mechanism with surface temperature: HCl collisions with Au(111). *Phys. Rev. Lett.* 98:237601
156. Ran Q, Matsiev D, Auerbach DJ, Wodtke AM. 2007. Direct translation-to-vibrational energy transfer of HCl on gold: measurement of absolute vibrational excitation probabilities. *Nucl. Instrum. Methods Phys. Res. B* 258:1–6
157. Rahinov I, Cooper R, Yuan C, Yang XM, Auerbach DJ, Wodtke AM. 2008. Efficient vibrational and translational excitations of a solid metal surface: state-to-state time-of-flight measurements of HCl( $v = 2, J = 1$ ) scattering from Au(111). *J. Chem. Phys.* 129:214708
158. Diaz C, Vincent JK, Krishnamohan GP, Olsen RA, Kroes GJ, et al. 2006. Multidimensional effects on dissociation of N<sub>2</sub> on Ru(0001). *Phys. Rev. Lett.* 96:096102
159. Townsend D, Lahankar SA, Lee SK, Chambreau SD, Suits AG, et al. 2004. The roaming atom: straying from the reaction path in formaldehyde decomposition. *Science* 306:1158–61
160. Skriver HL, Rosengard NM. 1992. Surface energy and work function of elemental metals. *Phys. Rev. B* 46:7157–68
161. Natl. Inst. Stand. Technol. (NIST). 2013. Computational Chemistry Comparison and Benchmark Database. Release 16a, August. NIST, Gaithersburg, MD. <http://cccbdb.nist.gov/>
162. Botz FK, Glick RE. 1975. Methane temporary negative-ion resonances. *Chem. Phys. Lett.* 33:279–83
163. White JD, Chen J, Matsiev D, Auerbach DJ, Wodtke AM. 2005. Conversion of large-amplitude vibration to electron excitation at a metal surface. *Nature* 433:503–5