

# *Annual Review of Astronomy and Astrophysics*

## Star-Forming Galaxies at Cosmic Noon

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Annu. Rev. Astron. Astrophys. 2020. 58:661–725

First published as a Review in Advance on  
July 28, 2020

The *Annual Review of Astronomy and Astrophysics* is  
online at [astro.annualreviews.org](https://astro.annualreviews.org)

<https://doi.org/10.1146/annurev-astro-032620-021910>

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### Keywords

galaxy evolution, galaxy kinematics, galaxy structure, interstellar medium, star formation, stellar populations

### Abstract

Ever deeper and wider look-back surveys have led to a fairly robust outline of the cosmic star-formation history, which culminated around  $z \sim 2$ ; this period is often nicknamed “cosmic noon.” Our knowledge about star-forming galaxies at these epochs has dramatically advanced from increasingly complete population censuses and detailed views of individual galaxies. We highlight some of the key observational insights that influenced our current understanding of galaxy evolution in the equilibrium growth picture:

- Scaling relations between galaxy properties are fairly well established among massive galaxies at least out to  $z \sim 2$ , pointing to regulating mechanisms already acting on galaxy growth.
- Resolved views reveal that gravitational instabilities and efficient secular processes within the gas- and baryon-rich galaxies at  $z \sim 2$  play an important role in the early buildup of galactic structure.
- Ever more sensitive observations of kinematics at  $z \sim 2$  are probing the baryon and dark matter budget on galactic scales and the links between star-forming galaxies and their likely descendants.
- Toward higher masses, massive bulges, dense cores, and powerful AGNs and AGN-driven outflows are more prevalent and likely play a role in quenching star formation.

We outline emerging questions and exciting prospects for the next decade with upcoming instrumentation, including the *James Webb Space Telescope* and the next generation of extremely large telescopes.

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## 1. INTRODUCTION

### 1.1. Background

Star-forming galaxies (SFGs) at redshift  $z \sim 2$ , 10 billion years ago, trace the prime formation epoch of today’s massive disk and elliptical galaxies. Our knowledge about their properties, and their place in the global context of galaxy evolution, has undergone spectacular advances in the past two decades from both increasingly complete population censuses at ever earlier cosmic times and increasingly detailed descriptions of individual systems. The identification and characterization of galaxies according to their global colors, stellar populations, structure and morphologies, and environment are now routinely done out to  $z \sim 3$ , encompassing 85% of the Universe’s history. Comprehensive surveys of the kinematics and interstellar medium (ISM) properties have been obtained from spatially and spectrally resolved observations of ionized gas line emission out to  $z \sim 3$ –4. The cold gas content has been measured and is being resolved on subgalactic scales for rapidly

**SFG:**

star-forming galaxy

**ISM:**

interstellar medium

rising numbers. Growing samples at  $z \sim 4\text{--}8$  are being assembled, and the first candidates have been identified at  $z \sim 9\text{--}11$  within 500 Myr of the Big Bang, yielding insights into the progenitor populations of  $z \sim 2$  SFGs.

This body of observational work has led to a fairly robust outline of the evolution of the stellar mass buildup and star-formation activity of galaxies and the growth of supermassive black holes (SMBHs) over most of the Universe's history (Madau & Dickinson 2014). As much as half of the stellar mass observed in galaxies today was formed in just about 3.5 Gyr between  $z \sim 3$  and  $z \sim 1$ . After a rapid rise  $\propto (1+z)^{-2.9}$ , the cosmic star-formation rate (SFR) volume density peaked around  $z \sim 2$  and subsequently declined as  $\propto (1+z)^{2.7}$  to  $z = 0$ . The comoving rate of SMBH accretion follows a similar evolution, in support of coevolution of central black holes and their host galaxies. This evolution in cosmically averaged rates finds its counterpart in the observed properties of individual SFGs, which around  $z \sim 2$  were forming stars and feeding their central black holes  $\sim 10$  times faster than today's SFGs. At least up to  $z \sim 3$ , the vast majority of SFGs tightly follow a roughly linear main sequence (MS) between SFR and stellar mass (e.g., Rodighiero et al. 2011, Speagle et al. 2014), whose zero-point evolution reflects the decline in cosmic SFR density to the present time. Other scaling relations involving size, kinematics, and metal and gas content are also observed as early as  $z \sim 2\text{--}3$  (e.g., van der Wel et al. 2014b, Übler et al. 2017, Maiolino & Mannucci 2019, Tacconi et al. 2020). Detailed mapping of the distribution and motions of stars and gas within galaxies has begun to probe the internal workings of galaxy evolution as well as the spatial and temporal progression of the buildup of galactic components. Despite increasingly clumpy and irregular appearances at higher redshift, more so in the rest-frame UV (e.g., Conselice 2014), there is now compelling evidence that the bulk of (massive) SFGs have global disk-like stellar light distributions and kinematics (e.g., Wuyts et al. 2011b, Wisnioski et al. 2019).

The existence of scaling relations and the prevalence of disk structure at  $z \sim 2$  imply that regulating mechanisms already controlled the growth and life cycle of SFGs at early times. Specifically, these observations have highlighted the importance of internal processes in shaping galaxies and of smoother modes of accretion, with a lesser role of (gas-rich) major merger events able to dramatically alter the structure and drive large short-term fluctuations in SFRs. Taken together, these findings have laid out the empirical foundations for the equilibrium growth model (e.g., Dekel et al. 2009, Bouché et al. 2010, Lilly et al. 2013), in which the stellar mass growth of galaxies is governed by the balance between accretion, star formation, and outflows, until they reach a stellar mass of  $M_* \sim 10^{11} M_\odot$ , where their star formation is quenched and they rapidly transition to the sequence of quiescent galaxies (e.g., Peng et al. 2010).

## 1.2. Setting the Stage

Once dubbed the redshift desert because of the relative inaccessibility of key spectral features for source identification with then available instrumentation, our matured view driven by rapid observational progress now shows that  $z \sim 1\text{--}3$  is a pivotal epoch in galaxy evolution—it is cosmic noon.

Look-back studies are at a turning point, with major leaps forward anticipated in the next decade from cutting-edge instrumentation at existing observatories, the imminent launch of the *James Webb Space Telescope* (JWST), and the coming of next-generation large aperture ground-based telescopes such as the 39-m Extremely Large Telescope (ELT), the Thirty Meter Telescope (TMT), and the 25-m Giant Magellan Telescope (GMT). Recent and future capabilities at current facilities will allow us to establish the missing links between the distributions and kinematics of stars, gas, and metals in and around galaxies, unraveling vital phases of the baryon cycle and the interplay between baryons and dark matter. With transformative boosts in sensitivity and angular

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### SMBH:

supermassive black hole

### SFR:

star-formation rate

### Main sequence (MS):

referring to the observed tight relationship between the stellar mass and star-formation rate of star-forming galaxies

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resolution afforded by JWST and the extremely large telescopes, galaxy evolution at  $z > 1$  will be charted with unprecedented completeness well into the epoch of reionization and with unrivaled sharpness down to the 100-pc scale of individual giant star-forming complexes—this will constitute a landscape revolution akin to the advent of the *Hubble Space Telescope* (HST) and the first 8-m-class telescopes in the 1990s.

Of the remarkably rich observational harvest of the past 5–10 years, we can here only highlight select aspects that have been among the most influential in advancing our knowledge about  $z \sim 2$  SFGs. We focus on the internal properties of galaxies as revealed by diagnostics in emission and typical environments found in deep extragalactic fields, which comprise the bulk of the galaxy population. Section 2 presents the observational landscape. Section 3 discusses global properties providing the population context and enabling evolutionary links, and Section 4 zooms in on resolved properties providing insights into the physics shaping galaxies. Section 5 discusses subpopulations of SFGs with extreme properties. Section 6 briefly comments on the theoretical landscape. In closing, Section 7 summarizes the article and outlines open issues and future observational opportunities.

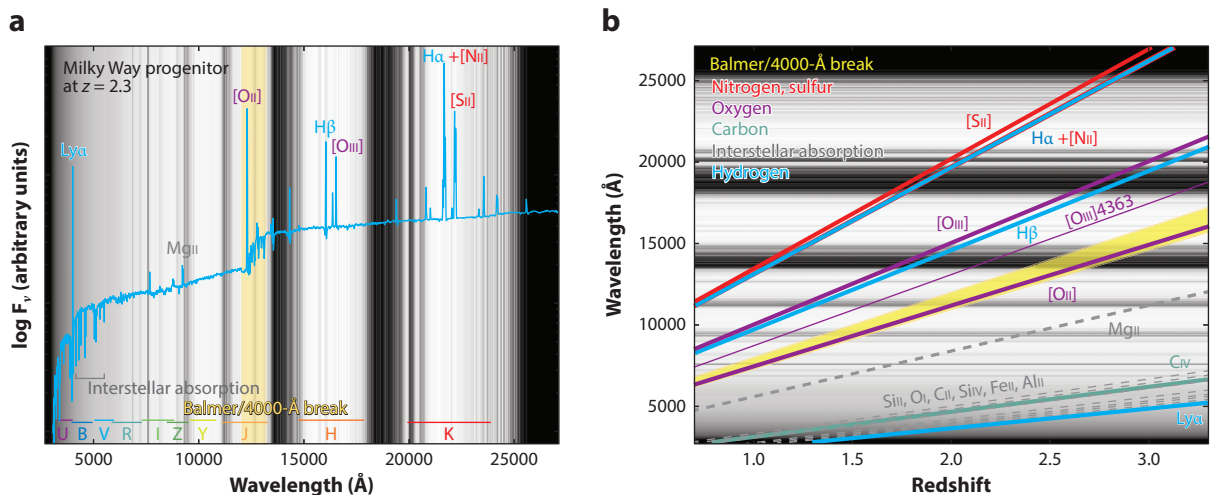
For simplicity, we refer throughout to the  $1 \lesssim z \lesssim 3$  epochs as  $z \sim 2$  or “high  $z$ ” unless explicitly stated otherwise. We adopt a  $\Lambda$ -dominated cosmology with  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_m = 0.3$ , and  $\Omega_\Lambda = 0.7$ . For this cosmology, 1 arcsec corresponds to 8.4 kpc at  $z = 2$ . Magnitudes are given in the AB photometric system. Where relevant, galaxy masses and star-formation properties are adjusted to a common Chabrier (2003) stellar initial mass function (IMF).

## 2. OBSERVATIONAL LANDSCAPE

The dramatic advances in our knowledge about galaxies at cosmic noon have been driven by the confluence of novel observational techniques and sensitive high-multiplex ground- and space-based instrumentation across the electromagnetic spectrum. The concentration of multi-wavelength campaigns in select fields targeted as part of the Great Observatories Origins Deep Survey (GOODS), the Cosmic Evolution Survey (COSMOS), the All-wavelength Extended Groth Strip International Survey (AEGIS), and the UKIDSS (U.K. Infrared Deep Sky Survey) Ultra-Deep Survey (UDS) have yielded rich data sets and have seen their legacy value fully realized by providing samples of choice for many detailed follow-up studies. Several reviews have covered various aspects of  $z > 1$  galaxy surveys in the past decade (notably Shapley 2011, Glazebrook 2013, Conselice 2014, Lutz 2014, Madau & Dickinson 2014, Tacconi et al. 2020). This section gives an update incorporating recent programs with the goal of highlighting the observational underpinnings of our current physical understanding of cosmic noon galaxies.

Our empirical knowledge rests on a ladder going from the identification of galaxies from large photometric samples and their spectroscopic confirmation enabling statistical descriptions of the population to increasingly detailed studies of subsets from spectrally and/or spatially resolved data. Observations at optical to near-IR wavelengths form a major part of each step, probing the redshifted, rest-frame UV to optical emission from  $z \sim 2$  galaxies. **Figure 1** identifies salient spectral features on a model spectrum created for an example SFG at  $z = 2.3$  and shows how they shift across the various atmospheric bandpasses from  $z = 1$  to 3. These features include the following (with rest wavelengths given in angstroms):

- Hydrogen recombination and atomic forbidden emission lines from warm ionized gas excited by star formation, AGNs, and shock activity, which provide diagnostics of nebular conditions, dust attenuation, galaxy dynamics, and gas outflows ( $\text{Ly}\alpha\lambda 1216$ ,  $\text{H}\beta\lambda 4861$ ,  $\text{H}\alpha\lambda 6563$ ,  $[\text{OII}]\lambda\lambda 3726, 3729$ ,  $[\text{OIII}]\lambda\lambda 4959, 5007$ , and  $[\text{SII}]\lambda\lambda 6716, 6730$ );



**Figure 1**

(a) Synthetic spectrum of an illustrative star-forming galaxy at  $z = 2.3$  plotted in observed wavelength versus logarithmic flux density units. The spectrum was produced using the code BAGPIPES (Carnall et al. 2018) for the properties of a Milky Way–mass progenitor galaxy, derived on the basis of the scaling relations and evolution thereof discussed in Section 3. Rest-frame far-UV absorption lines were incorporated with relative strengths based on Steidel et al. (2016). (b) Observed wavelengths of salient emission and absorption features (identified on the spectrum and described in the text) as a function of redshift from  $z \sim 1$  to  $z \sim 3$ . In both plots, the dark-to-light gray shading scales with increasing atmospheric transparency computed with the European Southern Observatory’s SkyCalc tool (<http://www.eso.org/observing/etc/skycalc/skycalc.htm>), and the main photometric bandpasses are indicated at the bottom of panel a.

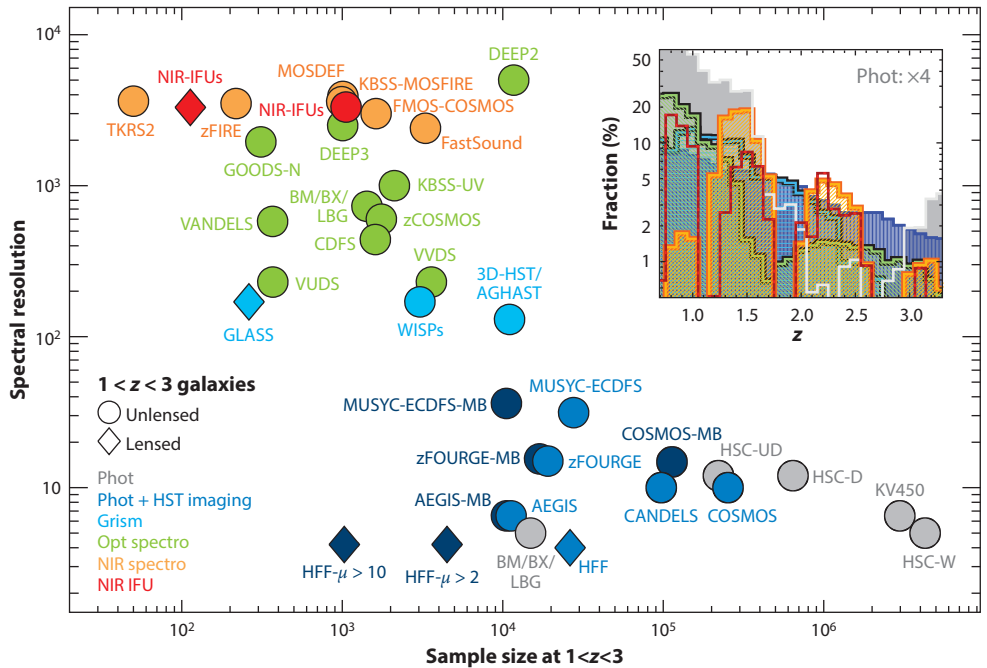
- Stellar continuum emission, encompassing the Balmer discontinuity at 3646  $\text{\AA}$  and the 4000  $\text{\AA}$  break caused by hydrogen and multiple metallic species and molecules in the atmospheres of intermediate- to low-mass evolved stars, and on which estimates of the stellar age, stellar mass, and dust reddening are based;
- A rich suite of far-UV ( $\sim 1200$ – $2000$   $\text{\AA}$ ) interstellar low- and high-ionization atomic absorption lines useful to trace gas outflows and/or inflows, alongside various other absorption and emission features from stellar photospheres and winds, and gas photoionized by hot stars and AGNs (including SiII $\lambda$ 1260, the blend OI+SiII $\lambda$ 1303, CII $\lambda$ 1334, SiIV $\lambda$ 1393,1402, CIV $\lambda$ 1548,1550, FeII $\lambda$ 1608, and AlII $\lambda$ 1670); and
- Weaker but important interstellar MgII $\lambda$ 2796,2803 absorption (another common ISM and outflow diagnostic) and the faint auroral [OIII] $\lambda$ 4363 line (a temperature-sensitive indicator in direct-method gas metallicity estimates).

**Figure 2** illustrates the ladder of surveys in terms of spectral resolution versus the number of galaxies within the  $1 < z < 3$  interval of interest for this review. The full list of surveys and main references are compiled in **Supplemental Tables 1 and 2**.

**Supplemental Material** >

## 2.1. Photometric Surveys in the Optical to Near-/Mid-Infrared

Imaging in multiple photometric bandpasses is the most efficient way to identify and characterize large numbers of galaxies over a wide redshift range. Imaging campaigns at optical to mid-IR wavelengths ( $\lambda_{\text{obs}} \sim 0.3$ – $8$   $\mu\text{m}$ ) with sensitive cameras at ground-based telescopes and from space with HST and the *Spitzer Space Telescope* (hereafter *Spitzer*) have provided the most extensive



**Figure 2**

Overview of selected optical and near-IR surveys covering  $1 < z < 3$  as a function of the number of sources in this interval and spectral resolution (see list in **Supplemental Tables 1 and 2**). The color coding indicates the primary type of observations: photometric imaging (*light gray*); photometric imaging including high-resolution HST data (*blue*) and subsets thereof with useful (i.e.,  $S/N > 3$ ) medium- and narrowband data (*dark blue*); slitless grism data from HST (*cyan*); optical spectroscopy (*green*); near-IR spectroscopy (*orange*); near-IR IFU data (*red*). Different symbols distinguish surveys of gravitationally lensed targets and/or areas (*diamonds*) from unlensed ones (*circles*); for the HFF, the full survey (including parallels) and subsets magnified by  $\mu > 2$  and  $\mu > 10$  are plotted. The inset shows the combined redshift distributions, grouped and color-coded by observation type, normalized by the total number of  $1 < z < 3$  galaxies, and with fractions on a logarithmic scale. Given the very heterogeneous nature of the samples (depth, detection/selection function, etc.), the histograms merely serve to illustrate the typical relative distributions. The overall drop with increasing  $z$ , smoothest for photometric and grism surveys, largely reflects the flux limits; the turn-up at  $z \gtrsim 3$  for photometric-only surveys is driven by efficient Lyman-break dropout identification in optical surveys. Key spectral features falling between atmospheric windows cause the  $z$  gaps for ground-based spectroscopic and IFU surveys. Abbreviations: HFF, Hubble Frontier Fields; HST, *Hubble Space Telescope*; IFU, integral field unit; NIR, near-IR.

censuses of distant galaxies. At  $z \sim 2$ , the multicolor information is primarily sensitive to the shape of the stellar continuum modulated by interstellar dust. The spectral energy distribution (SED) of galaxies is used to derive photometric redshifts ( $z_{\text{phot}}$ ; see the sidebar titled Redshifts) and basic properties such as stellar mass and SFR (for techniques, see Salvato et al. 2019 and Conroy 2013, respectively, and see the **Supplemental Text**).

The inclusion of near/mid-IR wavelengths has been crucial to the inventory of the full population by detecting red optically faint galaxies, probing wavelengths where outshining by young hot stars and attenuation by dust are reduced, and allowing better tracing of light from cooler stars that dominate the stellar mass. At  $z \sim 2$ , near-IR data are particularly important to gain leverage from the fairly sharp Balmer/4000 Å continuum breaks. Photometry in broad bandpasses is most sensitive but delivers coarse spectral resolution with typically  $R = \lambda/\Delta\lambda \sim 5\text{--}10$ . The addition of

**SED:** spectral energy distribution

## REDSHIFTS

Galaxy redshifts are denoted differently depending on the spectral resolution of the data from which they are derived:

- $R$ : spectral resolution, the ratio  $\lambda/\Delta\lambda$  of wavelength to the full-width at half-maximum of a filter bandpass or spectral line spread function.
- $z_{\text{phot}}$ : photometric redshift, based on a broad-/medium-/narrowband SED.
- $z_{\text{spec}}$ : spectroscopic redshift, based on a spectrum (typically at  $R > 200$ ).
- $z_{\text{grism}}$ : redshift from grism spectroscopy; more specifically in this article, it refers to redshifts derived from HST  $R \sim 130$  grism data supplemented with photometric SEDs.

medium-band ( $R \sim 10\text{--}20$ ) and narrowband ( $R$  up to  $\sim 100$ ) information has proven vital to improve the accuracy and reliability of photometric redshifts and galaxy parameters (e.g., Ilbert et al. 2009, Whitaker et al. 2011). In the GOODS-S (south) and COSMOS fields, with most extensive photometry in  $\sim 40$  optical to mid-IR bands,  $z_{\text{phot}}$  estimates are as good as  $\sim 0.01\text{--}0.05 \times (1+z)$ , with  $\lesssim 5\%$  of catastrophic outliers (e.g., Skelton et al. 2014, Laigle et al. 2016). Because of the wide variety of galaxy SEDs, the accuracy depends on galaxy type, redshift range, the specific set of filters, observational depth, treatment of line emission contributions, and availability of spectroscopic redshifts to calibrate the  $z_{\text{phot}}$ . Nonetheless, the wider wavelength coverage and finer SED sampling in many survey fields have brought decisive improvements. The tracking of similar rest-frame wavelengths across a broad range of redshifts allows more consistent comparisons of galaxy properties at different cosmic times. By better encompassing the full diversity of galaxy SEDs, more complete samples can be selected on the basis of photometric redshifts rather than color criteria involving a few bandpasses devised to isolate specific populations or of more fundamental galaxy parameters such as stellar mass rather than brightness in a given filter with important  $k$ -corrections. As a result, more robust distribution functions in terms of intrinsic galaxy properties and the evolution thereof have been derived, such as rest-frame luminosity functions and stellar mass functions.

Multiband 0.1–0.2-arcsec resolution imaging with HST has been increasingly exploited to not only detect distant galaxies and characterize their sizes and morphologies on  $\sim 1$ -kpc scales but also derive maps of stellar properties from resolved color information. Here, CANDELS (Cosmic Assembly Near-infrared Deep Extragalactic Legacy Survey; Grogin et al. 2011, Koekemoer et al. 2011) played a prominent role, bringing new sensitive near-IR and optical imaging over  $\sim 800$  arcmin<sup>2</sup> distributed in five premier sky regions within the GOODS-S and -N (north), COSMOS, AEGIS, and UDS footprints. Together with imaging from other HST programs, this created a multitiered data set from ultradeep ( $5\sigma$  depths of  $\sim 29\text{--}30$  mag) full 9-band imaging over  $\sim 5$  arcmin<sup>2</sup> (Illingworth et al. 2013), through deep ( $\sim 125$  arcmin<sup>2</sup>) and wide ( $\sim 800$  arcmin<sup>2</sup>) 4–7-band imaging to typical  $5\sigma$  depths of  $\gtrsim 27$  mag, to the widest areas from the  $I$ -band 1.7 deg<sup>2</sup> mosaic as part of COSMOS ( $\sim 28$  mag,  $5\sigma$ ; Scoville et al. 2007) and  $H$ -band imaging of a 0.66 deg<sup>2</sup> subarea ( $\sim 25$  mag,  $5\sigma$ ) largely from the COSMOS-DASH program (Mowla et al. 2019b). The deepest pencil-beam surveys, reaching 29–30 mag or fainter in areas magnified through gravitational lensing by massive foreground galaxy clusters [e.g., the Hubble Frontier Fields (HFF); Lotz et al. 2017], probe  $z \sim 2$  galaxies down to  $\lesssim 0.01 L^*$  and masses well into the dwarf regime. At the other end, some recently undertaken very wide-area surveys such as the optical+near-IR KiDS+VIKING (Wright et al. 2018) and optical Hyper Suprime-Cam Subaru



Strategic Program (HSC-SSP; Aihara et al. 2018) are deep enough to have already yielded  $\sim 10^6$  sources at  $z \sim 2$  and  $\gtrsim 0.1-1 L^*$  in the first few hundreds of square degrees mapped.

## 2.2. Spectroscopic Surveys in the Optical to Near-Infrared

Spectroscopic redshifts ( $z_{\text{spec}}$ ) are essential to validate and optimize  $z_{\text{phot}}$  techniques, construct the most precise galaxy distribution functions from confirmed samples, and provide secure targets for detailed and time-consuming follow-ups. Spectroscopy at  $R > 200$  is adequate to measure redshifts to within  $\sim 300 \text{ km s}^{-1}$  or better from ISM emission lines and/or from stellar absorption features. To be secure,  $z_{\text{spec}}$  rely on the identification of at least two spectral features,<sup>2</sup> and the rate of successful  $z_{\text{spec}}$  measurements also depends on the signal-to-noise ratio (S/N) of the data, the wavelength range probed, and the galaxy type. For instance, it is easier to measure the redshift of a source with higher emission line or continuum surface brightness, introducing a notorious bias toward bluer, more compact, and more actively star-forming galaxies at  $z \gtrsim 1.5$  in optical spectroscopic surveys. The challenges of confirming large samples at  $z \sim 2$  are manifold. The galaxies are faint. At  $z = 2$ ,  $L_{\text{UV}}^*$  corresponds to  $R \sim 24.5 \text{ mag}$  and  $L_V^*$  to  $H \sim 22.3 \text{ mag}$ , which often necessitates long integrations to reach a sufficient S/N for  $z_{\text{spec}}$  measurements even with 8-m-class telescopes. Absorption and emission features observable in the optical regime are typically weak. The stronger nebular emission lines are shifted into the near-IR regime, which is plagued by a dense forest of more than 1,000 bright and variable emission lines mostly from OH radicals excited in the upper atmosphere, broad intervals of low atmospheric transmission around 1.4 and 1.9  $\mu\text{m}$ , and thermal background from instrument to infrastructure and atmosphere at  $\lambda_{\text{obs}} > 2 \mu\text{m}$ .

In the optical, great progress has come from high-throughput multiobject spectrographs (MOSs), such as Keck's LRIS (Low Resolution Imaging Spectrometer) and DEIMOS (DEep Imaging Multi-Object Spectrograph) and the Very Large Telescope's (VLT) VIMOS (Visible Multi-Object Spectrograph) and FORS2 (FOcal Reducer/low dispersion Spectrograph 2) instruments, which are optimized to extend blueward to the atmospheric cutoff near 3000 Å or redward to  $\sim 1 \mu\text{m}$  to overcome the redshift desert. The more recent arrival of sensitive cryogenic near-IR MOSs, including Keck's MOSFIRE (Multi-Object Spectrometer for Infra-Red Exploration) and Subaru's FMOS (Fiber Multi-Object Spectrograph) and MOIRCS (Multi-Object Infrared Camera and Spectrograph), further expanded confirmed  $z \sim 2$  samples mainly through rest-optical emission lines. Near-IR observations from space have an obvious advantage and use of the HST/WFC3 (Wide Field Camera 3) grism G141 with  $R \sim 130$  has been very productive at yielding redshifts. The lack of atmosphere ensures continuous coverage of the full  $\lambda_{\text{obs}} = 1.1-1.7\text{-}\mu\text{m}$  grism window and greatly enhances continuum sensitivity, reducing biases toward line-emitting sources. The slitless aperture maximizes multiplexing and avoids target preselection biases and has the added ability of mapping spectral features at HST's angular resolution. Reliable grism redshifts ( $z_{\text{grism}}$ ) from the 3D-HST and AGHAST (A Grism H $\alpha$  SpecTroscopic Survey) programs (Momcheva et al. 2016), for instance, have nearly tripled the number of  $1 < z < 3$  galaxies with secure spectroscopic

<sup>1</sup> $L^*$  is the characteristic value of the galaxy luminosity distribution described by a Schechter function; see Marchesini et al. (2012) and Parsa et al. (2016) for rest-optical and UV luminosity functions out to cosmic noon.

<sup>2</sup>A distinct profile, such as the characteristic asymmetry of Ly $\alpha$ , or doublets, such as [OII]  $\lambda\lambda 3726, 3729$ , can be sufficient if these lines are observed.



redshifts in the five CANDELS fields, with typical  $z_{\text{grism}}$  accuracy of  $0.003 \times (1+z)$  ( $\sim 1,000 \text{ km s}^{-1}$  at  $z \sim 2$ ) at  $JH \leq 24$  mag, and only  $2\text{--}3\times$  worse for the subset of quiescent galaxies.

Besides redshift, spectra also provide a wealth of information on the stellar, gas, dust, and AGN content of galaxies. Detailed information is more demanding in terms of S/N and spectral resolution to measure accurate emission and absorption line strengths and profiles for a range of fluxes and equivalent widths and to deblend spectral features (e.g., [OII] and [SII] doublets or kinematic components such as host disk and gas outflow). Among many results from MOS surveys at  $z \sim 2$ , scaling relations have been constructed, such as the MS using SFR estimates from Balmer lines or UV luminosities, and the mass–metallicity(–SFR) relationship from strong line diagnostics of the gas-phase oxygen abundance. Excitation sequences in nebular line ratio diagrams have been examined to characterize the evolving ISM conditions at high  $z$ . Galaxy kinematics have been investigated from integrated line widths and, with data subsets of sufficient spatial resolution and suitable slit alignment, from velocity gradients. The demographics and energetics of galactic outflows have been investigated from the strength and velocity profiles of rest-UV interstellar absorption and rest-optical nebular line emission. In addition, stellar and dynamical properties of smaller but important samples of massive quiescent galaxies have been constrained from absorption (and in some cases weak emission) features. These are valuable for establishing the fate of massive SFGs from their likely immediate descendants. These results are discussed throughout Sections 3–5.

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IFU: integral field unit

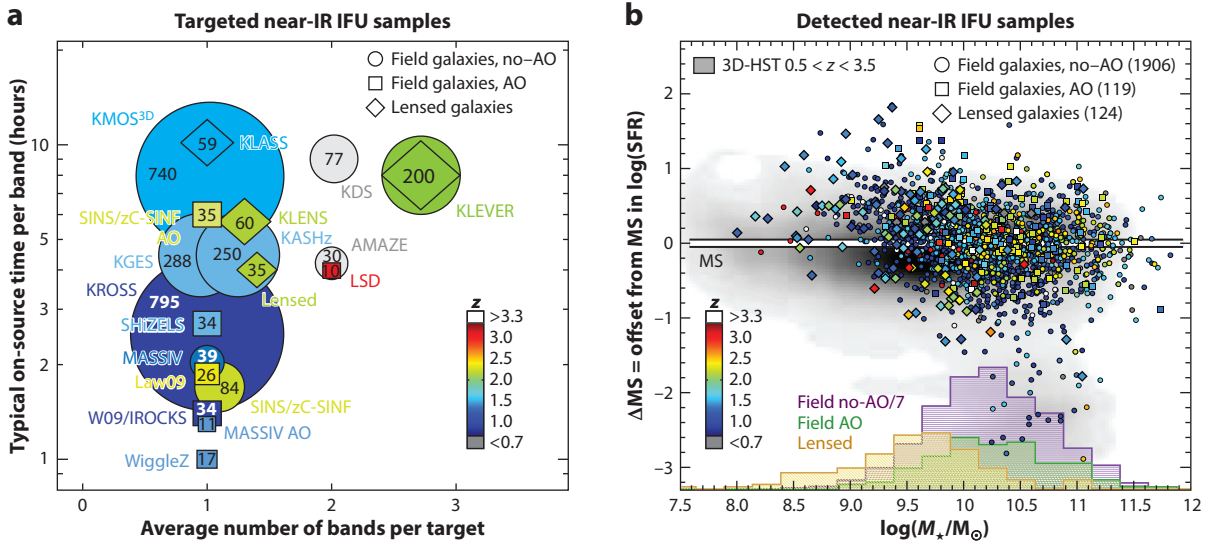
AO: adaptive optics

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### 2.3. Integral Field Spectroscopic Surveys

Imaging spectroscopy at  $R \gtrsim 2,000$  arguably provides the richest data sets of individual sources. Integral field unit (IFU) spectroscopy is the most efficient technique because it simultaneously collects the full three-dimensional (3D) spatial and spectral information. It became possible for  $z \sim 2$  SFGs (with typical H $\alpha$  fluxes of  $\sim 10^{-16} \text{ erg s}^{-1} \text{ cm}^{-2}$  or fainter) with sensitive near-IR IFU instruments on 8-m-class telescopes. IFU studies have mainly used H $\alpha$ + [NII] line emission (or [OIII]+H $\beta$  at  $z \geq 2.7$ ) to map the internal gas motions of galaxies; the distribution of star formation, gas excitation, and ISM metallicities within them; and the extent and properties of the gaseous winds they expel. Key results on these topics are covered in Sections 3 and 4.

First samples were obtained with single-IFUs including VLT/SINFONI (Spectrograph for INtegral Field Observations in the Near Infrared), Keck/OSIRIS (OH-Suppressing Infrared Integral Field Spectrograph), and Gemini/NIFS (Near-Infrared Integral Field Spectrometer), all with resolving powers of  $R \sim 2,000\text{--}5,000$  and designed to be fed by an adaptive optics (AO) system improving the angular resolution from typical near-IR seeing of  $\sim 0.5\text{--}0.7$  arcsec at their sites to the diffraction limit of their host telescopes ( $\sim 50\text{--}60$  mas at  $\lambda_{\text{obs}} = 2 \text{ }\mu\text{m}$ ). To date, near-IR single-IFU samples amount to  $\sim 400$  targets altogether, with roughly half of these sources observed in AO mode. These samples, all drawn from spectroscopically confirmed subsets of parent photometric samples with diverse primary selection criteria (magnitudes, colors, narrowband identification, strong lensing, etc.), form a heterogeneous collection probing different parts in  $z\text{--}M_\star\text{--SFR}$  space (e.g., Glazebrook 2013, Förster Schreiber et al. 2018, and references therein). Larger and more complete surveys have been enabled with the advent in 2013 of KMOS (K-band Multi-Object Spectrograph) at the VLT, with 24 IFUs deployable over a 7-arcmin-diameter patrol field. KMOS operates in natural seeing, covers  $0.8\text{--}2.4 \text{ }\mu\text{m}$  with four bandpasses at  $R \sim 4,000$  each, and is well suited to detect faint, extended line emission over a wide redshift span. With  $>2,000$  SFGs targeted so far, KMOS has put results from single-IFU work on a more robust statistical footing (e.g., Wisnioski et al. 2015, 2019; Harrison et al. 2016, 2017). Importantly, it has also allowed astronomers to push into regimes previously unexplored with IFUs, including line emission of massive sub-MS galaxies (Belli et al. 2017a) and continuum for stellar populations and



**Figure 3**

Overview of near-IR IFU surveys of line emission of  $z \sim 1\text{--}3$  galaxies in observational and galaxy parameter space (see list in **Supplemental Table 2**). (a) The surveys are represented in terms of the average number of spectral bands covered per target, median on-source integration time per band (on a logarithmic scale), and number of objects targeted (with symbol area proportional to this number). Samples of field galaxies observed in natural seeing or with AO, and lensed galaxies in either mode, are plotted as circles, squares, and diamonds, respectively. The color coding denotes the median redshift of the samples. (b) Distribution of detected targets in stellar mass versus MS offset for samples in which these properties are available. Different colors and symbols are used to show the redshift, and differentiate field versus lensed galaxies as well as no-AO versus AO data, as labeled in the plot. The underlying distribution of galaxies in a similar  $z$  range to  $H_{160} = 26.5$  mag from the 3D-HST catalog is shown in grayscale for comparison. The histograms compare the projected distributions in  $M_*$  of field galaxies observed in natural seeing (scaled by  $\times 1/7$ , purple), field galaxies observed with AO (green), and lensed galaxies (yellow). Abbreviations: AO, adaptive optics; IFU, integral field unit; MS, main sequence.

## Supplemental Material >

kinematics of massive quiescent field and cluster galaxies (e.g., Mendel et al. 2015, Beifiori et al. 2017).

**Figure 3** illustrates the observational and galaxy parameter space of the main near-IR IFU surveys of rest-optical line emission. The  $\log(M_*/M_\odot) \gtrsim 9.5$  SFG population is extensively covered; detections also extend to SFRs  $\sim 10\times$  or more below the MS, and to  $\log(M_*/M_\odot) \lesssim 9$  preferentially above the MS. Synergies are increasingly exploited by combining the near-IR IFU samples depicted in **Figure 3** with optical IFU samples at intermediate redshifts collected with VLT/MUSE (Multi Unit Spectroscopic Explorer), and large  $z \lesssim 0.15$  samples from SAMI (Sydney-Australian-Astronomical-Observatory Multi-object Integral-Field Spectrograph), CALIFA (Calar Alto Legacy Integral Field Area), and MaNGA (Mapping Nearby Galaxies at Apache Point Observatory). Together, these surveys enable consistent comparisons and evolutionary links based on fully resolved kinematic and emission line properties from the same diagnostics over the past 11 Gyr of cosmic time.

## 2.4. Other Wavelengths as Probes of Total Star-Formation Rates, Cold Gas, and Active Galactic Nuclei

Although not in the focus of this review, observations at other wavelengths across the electromagnetic range have brought crucial elements to our picture of SFGs at cosmic noon, in particular their total SFRs, cold gas content, and AGN activity.

**2.4.1. Infrared observations.** Spaceborne mid- to far-IR photometry with *Spitzer*/MIPS (Multiband Imaging Photometer; at 24, 70, and 160  $\mu\text{m}$ ) and then with *Herschel*'s PACS (Photodetector Array Camera & Spectrometer; at 70, 100, and 160  $\mu\text{m}$ ) and SPIRE (Spectral and Photometric Imaging Receiver; at 250, 350, and 500  $\mu\text{m}$ ) revolutionized IR surveys of distant galaxies thanks to their much improved sensitivity, angular resolution, and mapping speed compared to previous missions. These instruments opened the window to statistical censuses of the dust-obscured component of the stellar and AGN radiation output from galaxies in the form of thermal emission (see Lutz 2014 for a review). *Spitzer*/MIPS has delivered the deepest views of the dusty ISM of cosmic noon galaxies through 24- $\mu\text{m}$  observations, enabling the detection of individual galaxies at  $z \sim 2$  down to  $\text{SFR} \sim 10 M_{\odot} \text{ year}^{-1}$  (e.g., Whitaker et al. 2014). At these redshifts, 24- $\mu\text{m}$  data measure rest-frame 8- $\mu\text{m}$  light, where contributions come from warm and transiently heated dust in HII regions and around AGNs, polycyclic aromatic hydrocarbons in photodissociation regions, and silicate dust absorption. The conversion to total IR luminosity and SFR is thus prone to important uncertainties from the SED that needs to be assumed for the large extrapolation over the far-IR dust emission peak typically around rest-frame 100  $\mu\text{m}$  (corresponding to a characteristic dust temperature of  $\sim 30$  K). Measurements with submillimeter instruments [e.g., JCMT/SCUBA (James Clerk Maxwell Telescope/Submillimetre Common-User Bolometer Array) and APEX/LABOCA (Atacama Pathfinder Experiment/Large APEX BOLometer CAMera)] provided useful constraints on the Rayleigh-Jeans side, where AGN heating is minimized. The wavelength coverage and sensitivity afforded by *Herschel* has been vital in sampling directly the far-IR SED peak, enabling robust calorimetric estimates of galaxy SFRs (and cold dust properties).

**2.4.2. Submillimeter to millimeter observations.** Observations in the submillimeter to millimeter regimes probe the cold ISM component in galaxies. Its main constituent,  $\text{H}_2$ , lacks a permanent electric dipole moment, hence, relevant emission lines at low excitation temperatures. Therefore, the strong rotational lines of CO (the second most abundant molecule) are used to trace molecular gas properties and kinematics of galaxies, with mid- $J$  transitions (2–1, 3–2, 4–3) being commonly employed at  $z \sim 2$ . Molecular gas masses (hereafter simply  $M_{\text{gas}}$ ) are estimated via an excitation correction to the ground-state 1–0 line and a conversion from CO line luminosity to  $\text{H}_2$  mass (e.g., Bolatto et al. 2013, Genzel et al. 2015). The CO 1–0 transition is typically fainter than mid- $J$  lines and is shifted into the high-frequency radio bands, accessible for instance with the JVLA (Jansky Very Large Array). The cold dust continuum luminosity is a viable and observationally efficient proxy for the gas mass and spatial distribution (e.g., Scoville et al. 2017, Tacconi et al. 2018).

Great strides in cold ISM studies of  $z > 1$  galaxies have been made possible with the IRAM/NOEMA (Institut de Radio Astronomie Millimétrique/Northern Extended Millimeter Array) interferometer in the Northern Hemisphere and ALMA (Atacama Large Millimeter Array) in the south. With the gains in sensitivity and angular resolution of these arrays, studies of the global cold ISM content have shifted from the most luminous submillimeter galaxies to the more typical MS population, although substantial integration times are still needed especially for CO line measurements, and the limited primary beam sizes hamper mapping of sizeable areas (see recent reviews, Combes 2018 and Tacconi et al. 2020). Pointed CO or continuum surveys have been most efficient at assembling sets of  $\sim 10$ –100 at  $z \sim 2$  drawn from well-characterized parent samples. Blank field mosaicking surveys have been undertaken to build censuses out to  $z \sim 4$ , either optimized for emission line searches through spectral scans or emphasizing dust continuum emission, yielding so far a few to several tens of secure detections and with counterparts in the (deep) optical to mid-IR imaging available in the survey fields. Most of the

CO and dust continuum measurements at  $z \sim 2$  are for massive  $\log(M_*/M_\odot) \gtrsim 10$ –10.5 SFGs. Detection in less massive (unlensed) galaxies becomes increasingly difficult as the amount of gas gets lower and the ISM metallicity drops, leading to more extensive UV photodissociation of CO and lower dust abundances. Alternative cold ISM tracers in emission are not practical because of their weakness or because their higher frequencies make them difficult or impossible to access from the ground at these redshifts. An obvious avenue for the future, in reach of NOEMA and ALMA, is more systematic spatially resolved subarcsec CO and dust mapping at  $z \sim 2$ , which is currently limited to fairly small heterogeneous sets dominated by very luminous or massive galaxies (e.g., Tacconi et al. 2013; Silverman et al. 2015; Barro et al. 2017b; Tadaki et al. 2017a,b).

**2.4.3. Radio observations.** At longer radio wavelengths, continuum observations probe AGN and star-forming systems mainly through nonthermal synchrotron emission and are free from dust/gas obscuration. In SFGs, the synchrotron emission is produced in supernova remnants and, toward higher frequencies, free-free emission from HII regions also contributes (Condon 1992). In AGN sources, the origin is more diverse, including jets, hot spots, and large-scale lobes, which complicates the quantitative relationship between observed radio emission and AGN luminosity (e.g., Tadhunter 2016). Surveys at 1.4–5 GHz and lower frequencies down to  $\sim 200$  MHz ( $\lambda \sim 6$ –150 cm) with facilities such as the JVLA, VLBA (Very Long Baseline Array), LOFAR (Low Frequency Array), GMRT (Giant Metrewave Radio Telescope), GBT (Green Bank Telescope), and ATCA (Australia Telescope Compact Array) have been carried out in many cosmological deep fields, with a range of sensitivities, beam sizes, and areas. AGNs dominate at brighter flux densities, whereas SFGs become increasingly important at sub-millijansky levels. Given that the tight radio–IR luminosity correlation for SFGs holds out to at least  $z \sim 3$ , with fairly well constrained (mild) evolution [ $L_{\text{IR}}/L_{1.4\text{GHz}} \propto (1+z)^\alpha$ , and  $\alpha$  in the range  $-0.1$  to  $-0.2$ ], the radio flux density can serve as an SFR estimator, and the radio excess above the correlation can be used as a diagnostic for the presence of an AGN (e.g., Magnelli et al. 2015, Delhaize et al. 2017). The deepest gigahertz-regime VLA imaging at  $\sim 1$ -arcsec resolution (in AEGIS, GOODS-N, COSMOS; Ivison et al. 2007, Morrison et al. 2010, Smolčić et al. 2017) reaches  $5\sigma$  sensitivities of  $\sim 10$ –25  $\mu\text{Jy}$ , corresponding to SFRs  $\sim 100 M_\odot \text{ year}^{-1}$  at  $z \sim 2$ .

**2.4.4. X-ray observations.** At the other end of the spectrum, observations of X-ray radiation (0.5–100 keV) in galaxies trace predominantly nuclear activity (e.g., Brandt & Alexander 2015). Produced in the immediate vicinity of the SMBH via Compton up-scattering in the accretion-disk corona, in powerful nuclear jets, and via Compton reflection and scattering interaction processes with matter throughout the nuclear regions, X-rays are able to penetrate through substantial gas columns (becoming hindered in the highly Compton-thick regime with  $N_{\text{H}} \gg 1.5 \times 10^{24} \text{ cm}^{-2}$ ). Non-AGN X-ray emission in galaxies arises from X-ray binaries and hot gas but is both less energetic and softer compared to that of (luminous) AGNs. The most extensive cosmological surveys have been carried out with the spaceborne *Chandra X-ray Observatory* and XMM-Newton (*X-ray Multi-Mirror Mission-Newton*) observatories, which have been in operation for the past 20 years, with on-board instruments enabling efficient spectroscopic imaging of wide areas in soft and hard bands ( $\sim 0.2$ –2 and 2–10 keV), whereas the more recently launched NuSTAR (*Nuclear Spectroscopic Telescope*) telescope has started to unveil the distant Universe in  $\gtrsim 10$ -keV radiation. The deepest and sharpest views were achieved with *Chandra*/ACIS through the cumulative 7-Ms exposure of  $\sim 485 \text{ arcmin}^2$  in the Chandra Deep Field-South (encompassing GOODS-S), yielding nearly 1,000 detections to  $z \sim 4.5$ . Although AGNs dominate the source counts especially at higher  $z$  and luminosities, the depth of the data reaches intrinsic rest-frame 0.5–7 keV  $\log(L_{\text{X}}/\text{erg s}^{-1}) \lesssim 42.5$  out to  $z \sim 3$  (Luo et al. 2017), where SFRs from several 100 to 1,000  $M_\odot \text{ year}^{-1}$  can be detected.

Because the rapid variability of AGN emission at these energies and the potential presence of high absorbing gas columns near the nucleus can bias X-ray samples, AGN identification benefits from complementary diagnostics such as high-excitation rest-UV/optical emission lines, radio luminosity, and mid-IR colors (e.g., Padovani et al. 2017).

## 2.5. Mass Matching Versus Abundance Matching

In order to bring theoretical models and observations into the same arena for an apples-to-apples comparison, a common interface needs to be found. Different approaches can be employed, either bringing this interface very close to the direct observables (e.g., by treating numerical simulations with radiative transfer and/or placing them into a light cone to predict number counts as a function of observed flux) or alternatively working from the observables backward to interpret them in terms of physical quantities (e.g., using the spectral modeling techniques outlined in the **Supplemental Text**). Once stellar population properties such as stellar masses are inferred from the multiwavelength SEDs, and provided sufficient depth, mass-complete samples of galaxies can be extracted from the flux-limited parent catalog. Those in turn can serve as a basis for population-averaged comparisons to models, where, for example, the evolution of the SFR, size, metallicity, rotational velocity, or other physical quantity is traced as a function of redshift at fixed stellar mass. How far back the population-averaged evolution can be recovered depends on the mass regime considered, as the parent catalog's flux limit necessarily imposes a redshift-dependent mass completeness limit.

Although valuable, such population censuses do not by themselves reflect the growth histories of individual systems. Galaxies gain stellar mass through star formation and merging activity, moving out of the considered mass bin while others move in. Methods to empirically reconstruct evolutionary sequences for individual galaxies from the mass-complete samples, linking their progenitor and descendant phases, have gained significant attention in recent years. The most common ansatz is to assume the preservation of mass ranking, in which case progenitors and descendants are anticipated to live at the same comoving number density (e.g., van Dokkum et al. 2010). The resulting evolutionary tracks can then directly be compared with the main progenitor branch extracted from a galaxy-formation model.

The efficacy of this technique relies in part on the infrequent occurrence of major galaxy mergers; indeed, refinements have been proposed on the basis of cosmological simulations to account for a nonnegligible divergence in growth rates, which are in part influenced by merging activity (van de Voort 2016, Clauwens et al. 2017, Wellons & Torrey 2017). Here, it is of note that slightly different prescriptions are desired for tracing galaxies backward versus forward (Torrey et al. 2017, Wellons & Torrey 2017) and that the technique is designed primarily to work when the galaxy population is well described as a one-parameter family characterized by stellar mass. If considering subpopulations defined by, e.g., mass and color, galaxies may enter a particular mass bin owing to not only their stellar growth but also their color evolution, potentially introducing progenitor bias (e.g., Carollo et al. 2013). Finally, from the perspective of the flux-limited parent catalog, the abundance matching technique leaves more of the collected data unused, as higher-mass cuts are adopted at later times to identify progenitors and descendants, whereas the deepest mass-completeness limits are reached at the lowest redshifts.

## 3. GLOBAL PROPERTIES OF STAR-FORMING GALAXIES AT $z \sim 2$

Along with the cosmically integrated evolution of the SFR, stellar mass, and SMBH accretion rate density (Madau & Dickinson 2014), a key outcome of look-back surveys was to reveal and

Supplemental Material >

## STELLAR, GAS, AND STRUCTURAL PROPERTIES

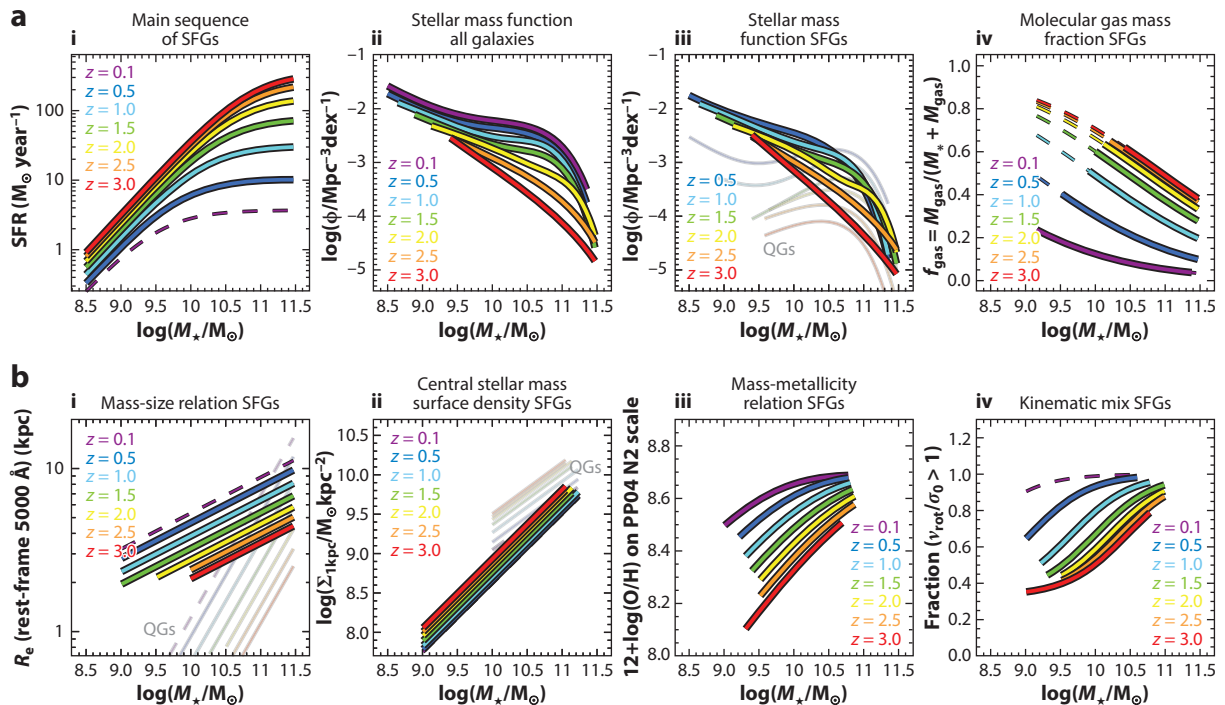
- $M_*$ ,  $M_{\text{gas}}$ ,  $M_{\text{bar}}$ : Total stellar, cold molecular gas, and baryonic (stellar+gas) masses.
- **Schechter function**: Parameterization of the galaxy number density versus stellar mass (or luminosity), with  $\Phi(M) = (\phi^*/M^*) (M/M^*)^\alpha e^{-M/M^*}$  or  $[(\phi_1^*/M^*) (M/M^*)^{\alpha_1} + (\phi_2^*/M^*) (M/M^*)^{\alpha_2}] e^{-M/M^*}$  (single- or double-Schechter); the characteristic value  $M^*$  is referred to as the Schechter mass (Schechter 1976).
- **sSFR**: Specific star-formation rate,  $\text{SFR}/M_*$ .
- **$\Delta\text{MS}$** : Logarithmic offset in sSFR (or SFR) from the MS,  $\log[\text{sSFR}/\text{sSFR}_{\text{MS}}(M_*, z)]$ .
- **SFH**: Star-formation history; common forms include an exponential  $\propto e^{-t/\tau}$ , delayed  $\propto t e^{-t/\tau}$ , or log-normal  $\propto (t\sqrt{2\pi\tau^2})^{-1} e^{-0.5[\ln(t)-t_0]^2/\tau^2}$  SFR, where  $t$ ,  $\tau$ , and  $t_0$  are the time, timescale, and logarithmic delay time, respectively.
- **Sérsic profile**: Frequently used parameterization of the surface density distribution of galaxies,  $\Sigma(r) = \Sigma(R_e) \exp[-b_n[(r/R_e)^{1/n} - 1]]$ , where  $n$  is the Sérsic index, and  $b_n$  is a scaling coupled to  $n$  such that half of the total light is within  $R_e$  (e.g., Graham & Driver 2005). The Gaussian, exponential and de Vaucouleurs profiles correspond to  $n = 0.5$  and  $b_n = 0.69$ ,  $n = 1$  and  $b_n = 1.68$ , and  $n = 4$  and  $b_n = 7.67$ , respectively.
- $R_e$ : Effective radius, enclosing half the total light (or mass).
- $R_d$ : Disk scale-length for an exponential profile  $\Sigma(r) = \Sigma(0) \exp(-r/R_d)$ , in which case  $R_e = 1.68 R_d$ .
- $b/a$ : Projected minor-to-major axis ratio of an inclined disk (also denoted  $q$ ).
- $R_{e,\text{circ}}$ : Circularized effective radius, scaling  $R_e$  by  $\sqrt{b/a}$ .
- $R_{80}$ : Radius enclosing 80% of the total light (or mass).
- $\Sigma_*$ ,  $\Sigma_{\text{gas}}$ ,  $\Sigma_{\text{SFR}}$ : Stellar mass, gas mass, and SFR surface densities, respectively, conventionally within  $R_e$ , taking half the total of  $M_*$ ,  $M_{\text{gas}}$ , and SFR and dividing by  $\pi R_e^2$ .
- $\Sigma_{1\text{kpc}}$ : Stellar mass surface density within the central 1 kpc,  $M_*( < 1 \text{ kpc}) / \pi (1 \text{ kpc})^2$ , where  $M_*( < 1 \text{ kpc})$  is computed from the best-fit Sérsic profile to the surface density distribution.
- $f_{\text{gas}}$ ,  $\tau_{\text{depl}}$ : Gas-to-baryonic mass fraction  $M_{\text{gas}}/M_{\text{bar}}$ , and gas depletion time via star formation  $M_{\text{gas}}/\text{SFR}$ .

establish the existence of scaling relations between global properties of galaxies out to at least  $z \sim 2.5$  and a census of how they are populated (often quantified by galaxy type). In this section, we first address the buildup of stellar mass in galaxies. Section 3.1 considers the scaling relation between the (in situ) growth rate (SFR) and its time integral ( $M_*$ , including effects of stellar mass loss and merging), followed by an overview of results on census (Section 3.2) and a discussion on the interpretation of these joint observational constraints (Section 3.3). We then expand our scope to include global structural measures (Sections 3.4), ISM probes (Sections 3.5 and 3.6), and nuclear activity (Section 3.7). (See the sidebar titled Stellar, Gas, and Structural Properties.)

### 3.1. The Main Sequence of Star-Forming Galaxies

Locally, the existence of a strong correlation between the SFR and stellar mass of galaxies was first established based on the vast number statistics offered by the Sloan Digital Sky Survey (SDSS; Brinchmann et al. 2004). Subsequent work on deep look-back surveys revealed that a similarly tight and near-linear relation, dubbed the MS, was already in place since  $z \sim 2$  (Daddi et al. 2007, Elbaz et al. 2007, Noeske et al. 2007). Its main change with cosmic epoch is one of rapid zero-point evolution. For galaxies below  $10^{10} M_\odot$  the specific SFR evolves as  $\text{sSFR} \propto (1+z)^{1.9}$ , whereas more massive galaxies exhibit a faster pace of evolution, with  $\text{sSFR} \propto (1+z)^{2.2-3.5}$  for  $\log(M_*/M_\odot) = 10.2-11.2$  (Whitaker et al. 2014).





**Figure 4**

Evolution of selected galaxy scaling relations and censuses from  $z = 3$  to  $z = 0.1$  (color coded from red to purple or blue as labeled in the panels). (a) The subpanels show (i) the MS of SFGs and the stellar mass function for (ii) all galaxies and (iii) SFGs and QGs separately (thick and thin lines, respectively), consistently extracted from the same data set (Tomczak et al. 2014, 2016). (iv) A plot of the molecular gas mass fraction from the scaling relations of Tacconi et al. (2020). (b) Subpanels contrast (i, ii) the relationships among stellar mass, size, and stellar mass surface density within  $r < 1$  kpc for SFGs and QGs, respectively (thick and thin lines; van der Wel et al. 2014b, Barro et al. 2017a). (iii) A plot of the stellar mass versus gas-phase metallicity relation estimated via  $[\text{NII}]/\text{H}\alpha$  from Wuyts et al. (2014) and Zahid et al. (2014) (using the Pettini & Pagel 2004 calibration). (iv) An illustration of the evolution of the fraction of rotation-dominated SFGs (with ratio of intrinsic rotation velocity to velocity dispersion  $> 1$ ) based on ionized gas kinematics (Kassin et al. 2012, Simons et al. 2017, Turner et al. 2017, Wisnioski et al. 2019). In the various panels, dashed lines indicate extrapolations in  $M_*$  and/or  $z$  when no consistent measurements or fits were available. Abbreviations: MS, main sequence; QGs, quiescent galaxies; SFGs, star-forming galaxies; SFR, star-formation rate.

The past few years have seen a consolidation of the MS relationship, leading to an emerging picture in which (a) the scatter is constant at 0.2–0.3 dex over the full stellar mass and redshift range probed, (b) the low-mass slope is consistent with unity, and (c) a turnover and flattening is evident at higher masses, most prominently toward lower redshifts and, conversely, nearly vanishing by  $z \sim 2$  (e.g., Whitaker et al. 2014, Schreiber et al. 2015, Tomczak et al. 2016; **Figure 4**). Some studies favor or adopt single power-law fits (Speagle et al. 2014, Pearson et al. 2018) but then find the slope steepens with increasing redshift.

Quantitative differences in derived scatter, slope and/or shape, and normalization can be attributed to a range of reasons, including (a) method and strictness of SFG selection, (b) dynamic range over which the relation is fitted, and (c) use of different SFR tracers. We briefly elaborate on these systematics before highlighting the significance of the MS scaling relation.

Whitaker et al. (2012) demonstrate how a  $UVJ$  color selection versus selecting only blue SFGs makes the difference between finding a sublinear slope and finding a linear slope. Similarly,

**UVJ:** rest-frame  $U-V$  versus  $V-J$  color diagram

Rodighiero et al. (2014) and Johnston et al. (2015) illustrate how, by adopting different color cuts or selection criteria based on SED-modeled properties, inferred slopes may vary between  $\sim 0.8$  and  $\sim 1$ . It is noteworthy that when restricting samples to pure disks or considering only the disk components of SFGs, a slope of unity is found (Abramson et al. 2014). As we allude to in Section 3.3, galaxies may well lack a bimodality in their sSFR distribution akin to that seen in their colors, implying that the choice of SFG selection criterion may largely be arbitrary. In this case, there is no formally correct answer regarding the MS shape, and inferences on galaxy evolution need to treat the SFG and quiescent population jointly or at least preserve internal consistency in selection criteria used.

Unavoidably, the dynamic range in stellar mass over which the MS shape can be constrained is a function of redshift with, for example, the zFOURGE (FourStar Galaxy Evolution Survey) magnitude limit of  $K_s = 25$  mag corresponding to 90% completeness limits of  $\log(M_*/M_\odot) \sim 8.5$ , 9.5, and 10 at  $z \sim 1$ , 2, and 3, respectively (Tomczak et al. 2016). Particularly if there is curvature to the MS, this can impact recovered parameterizations that adopt a power-law slope. The finite depth of observed SFR tracers further implies that many studies rely at least in part on stacking procedures, which may suffer from confusion biases (Pearson et al. 2018).

Even with extreme depth and a consistent SFG definition, determinations of the MS scatter, normalization, and shape can be affected by uncertainties in the inferred SFRs and stellar masses. When the two are derived from overlapping data and sometimes a single modeling procedure, the uncertainties will be correlated and can potentially conspire to form an artificially tight relation, compensating for the opposite and mostly subtle boosting of scatter due to finite redshift bins that is not always accounted for. A comprehensive discussion of systematic uncertainties affecting estimates of SFR and  $M_*$  is presented by Conroy (2013; see also the **Supplemental Text**, which summarizes the ingredients, assumptions, and challenges of spectral modeling techniques). Possible concerns include the saturation of reddening as a dust attenuation tracer at the highly star-forming and massive end (e.g., Wuyts et al. 2011a), extra extinction toward HII regions, which remains difficult to pin down observationally (e.g., Reddy et al. 2015), contamination by other sources of emission such as AGNs, circumstellar dust around asymptotic giant branch (AGB) stars or diffuse cirrus dust heated by old stellar populations, and unintended biases induced by the choice of adopted parameterization of and/or prior on the SFH (Carnall et al. 2019, Leja et al. 2019a).

Despite the above considerations, the meta-analysis by Speagle et al. (2014) finds a remarkable consensus among MS observations, with an interpublication scatter as small as 0.1 dex. On an individual galaxy basis, different SFR estimates do of course vary more than that, but they may not need to agree in detail either because they can probe different timescales. H recombination lines are the closest to a measurement of the instantaneous SFR because of the short lifetime ( $\sim 10$  Myr) of Lyman-continuum-producing OB stars, whereas rest-UV and IR tracers will integrate the contribution of stars with (stellar) main sequence lifetimes of  $\sim 100$  Myr. As such, differences in MS scatter inferred from different SFR tracers could in principle encode the short-term stochasticity of star formation and the timescale on which galaxies lose “memory” of previous activity (Caplar & Tacchella 2019). A slightly enhanced scatter around the  $H\alpha$ -based MS at cosmic noon relative to the one constructed from UV or UV+IR-based diagnostics has been reported (Shivaei et al. 2015, Belli et al. 2017a), but systematic uncertainties regarding dust corrections make the interpretation in terms of star-formation timescales not unique.

Setting aside the above caveats, we conclude this section by noting two immediate implications of the existence of an MS relation and its observed evolution with cosmic time. First, assuming SFGs are located on the MS at all times, we can integrate along the evolving scaling relation to recover the typical star-formation history (SFH). Doing so, one unambiguously finds the star-formation activity first rises before it falls, as such mimicking the shape of the cosmic SFR density

evolution (Renzini 2009, Peng et al. 2010, Leitner 2012, Speagle et al. 2014, Tomczak et al. 2016, Ciesla et al. 2017). In common with findings from the fossil record (e.g., Thomas et al. 2005), these studies also infer SFHs of more massive galaxies to peak earlier. A second point of significance is that the tightness of the MS implies that any large excursions in star-formation activity, as one might expect from (major) merging, either have very short duty cycles or are very rare (Rodighiero et al. 2011).

### 3.2. The Stellar Mass Function

An extensive body of work has documented the census of galaxies as a function of their stellar mass over most of cosmic history on the basis of well-sampled SEDs for deep, near-IR-selected samples (e.g., Ilbert et al. 2013, Tomczak et al. 2014; see **Figure 4**). These studies have the following findings in common. First, provided sufficiently deep stellar mass completeness limits a double-Schechter functional form is favored over a single-Schechter fit. This conclusion holds for both the star-forming and quiescent populations individually, and for the combined, total galaxy stellar mass function. Second, between  $z \sim 2$  and the present day, there is no statistically significant evolution in the characteristic mass  $M^*$  of either the total stellar mass function or that of SFGs (Peng et al. 2010). Values quoted in the literature for this characteristic mass vary in the range of  $\log(M^*/M_\odot) = 10.6\text{--}11$  with the higher results stemming from single-Schechter and the lower ones from double-Schechter fits (see, e.g., Tomczak et al. 2014). Minor differences further arise from the adopted fitting method ( $1/V_{\text{max}}$  versus maximum-likelihood), systematics in the determination of redshifts and stellar mass, and how uncertainties in the latter are accounted for. A third conclusion is that little to no evolution in the low-mass slope  $\alpha \sim -1.5$  is noted since cosmic noon for neither the total nor the SFG population.<sup>3</sup> Most of the evolution over the past 10 Gyr can thus be described by an increase in  $\Phi^*$ . The redshift invariance of the low-mass slope  $\alpha$  is in line with an MS slope of unity at masses below  $\log(M_*/M_\odot) < 10.5$ . As pointed out by Peng et al. (2010), a sublinear MS slope would inevitably lead to a fast steepening of  $\alpha$ , and only very slight deviations from a unity slope can be accommodated by merging low-mass galaxies away into other systems. Until recently, inconsistencies at the level of 0.2–0.3 dex were found between integration of the MS metric and a census of the evolving stellar mass function which could not all be accounted for by merging (Leja et al. 2015, Tomczak et al. 2016). The latest such exercise with revised SFRs and stellar masses from advanced spectral modeling shows an improved internal consistency (Leja et al. 2019b).<sup>4</sup>

As illustrated in **Figure 4**, the quiescent galaxy mass function looks markedly different. At all epochs it features a clear peak around  $M^*$ , and the quiescent population grows in numbers more rapidly than the star-forming one. At masses above  $10^{10} M_\odot$ , quiescent number densities have grown by a factor of 6 since  $z \sim 2$ , whereas at lower masses there is a 15–30 $\times$  increase. The mass-dependent growth of the quiescent population, with quenching of low-mass galaxies happening at later times (Huang et al. 2013, Ilbert et al. 2013), has been attributed to two different quenching channels. Because the environmentally driven low-mass channel only manifests itself appreciably after the epoch of cosmic noon, this review focuses on the high-mass quenching dominant at early times.

<sup>3</sup>In the case of a double-Schechter fit, we here refer to the steeper of the two fitted slopes ( $\alpha_1, \alpha_2$ ), which dominates at the low-mass end.

<sup>4</sup>All modeling combining measures of SFR and stellar mass accounts for stellar mass loss, which reduces the mass present in stars (including remnants), compared with the integral over the SFH, by a factor of 0.6 at late times for a Chabrier IMF.

### 3.3. Interpreting the Observed Stellar Mass Growth

Whereas observational campaigns of the stellar mass growth across most of cosmic history have tightened the error bars on its scaling relation (the MS) and census (the galaxy stellar mass function), perhaps of more debate today is the interpretation of these observational diagnostics. That is, what are the implications of the cross-sectional view of the galaxy population at a range of epochs for the evolutionary tracks that individual galaxies follow?

In this context, two schools of thought have developed that, to use the nomenclature of Abramson et al. (2015), can be described as mean-based and dispersion-based approaches. The former aim to reconstruct the average SFH of individual galaxies based on ensemble averages (e.g., Peng et al. 2010, Behroozi et al. 2013a), whereas the latter put emphasis on the diversity of SFHs (e.g., Gladders et al. 2013; Kelson 2014; Abramson et al. 2015, 2016). Both schools infer characteristic SFHs that first rise and then fall but differ in key aspects of the interpretation.

Peng et al. (2010), for example, adopt the redshift invariance of  $M^*$  as an indication that galaxies live on and grow along the evolving MS until they reach this critical mass, after which the probability of quenching increases rapidly  $\propto 1 - e^{-M/M^*}$ . Proposed mechanisms to explain this so-called mass quenching include the rapid expulsion of gas by SMBHs, but there is no consensus yet regarding its physical cause. Scatter around the MS in such models is typically attributed to short-timescale ( $\sim 10^{7-8}$  years) variations in SFR at a given mass, induced by the breathing cycles of star-formation feedback and temporal fluctuations in the rate of gaseous inflows and/or minor mergers.

In contrast, the dispersion-based school attributes scatter around the MS relation as an imprint of SFHs that are differentiated on Hubble timescales. In this picture, galaxies follow smooth trajectories that let them pass across the moving MS, rather than at any time being stochastically scattered around the scaling relation. There is in such a scenario no discernable signature of quenching, that is, no rapid quenching mode and no specific time (other than arguably the peak in SFH) at which a shutdown in star formation is triggered. Along the same vein, Eales et al. (2014) report a continuous distribution of galaxies in the  $s\text{SFR}-M_*$  space, lacking a bimodality in specific SFRs as undeniably seen in their color distribution. The color bimodality, they argue, reveals the peculiarities of stellar evolution (i.e., ageing stellar populations saturating in color) rather than a signature of galaxy evolution producing two sharply distinct populations of galaxies. A common interpretation in these studies is that the SFH shape is set by initial density conditions intimately related to dark matter (DM) properties such as the halo-formation redshift. A family of log-normal SFHs, parameterized by varying peak times and widths, can yield an adequate description of the relevant observational metrics (Gladders et al. 2013; Abramson et al. 2015, 2016; Diemer et al. 2017), although the fact that the central limit theorem produces a similar relation between SFR and stellar mass within a framework in which galaxies grow stochastically illustrates that this inference is not unique (Kelson 2014, Kelson et al. 2016).

Speagle et al. (2014) present a hybrid approach in which average SFHs are derived by integrating the MS similar to that done by Renzini (2009) and Peng et al. (2010), but its scatter is reproduced by imposing an initial spread in formation times to the smooth evolutionary tracks as opposed to adding short-term fluctuations in SFR at a given mass. Turning to numerical simulations of galaxy formation in which the individual evolutionary paths of galaxies are by construction known, Matthee & Schaye (2018) argue that the MS scatter contains contributions from (slightly dominant) short-timescale self-regulation of star formation as well as halo-related variations on Hubble timescales. Of course, the precise contribution from short-timescale fluctuations may depend on the detailed recipes implemented in the numerical simulation.

A promising path forward to discriminate between the two schools of thought is to look for correlations between the offset from the MS midline and other SFG properties that can be

assumed to vary more slowly over time, such as galaxy structure. Absence of a correlation within the MS scatter would then favor a short-timescale origin, whereas a correlation between MS offset and longer-lasting features would favor a Hubble-timescale differentiation. This requires accurate SFR measurements and, where possible, contrasting MS offsets that have been quantified using multiple SFR tracers (e.g., Fang et al. 2018), ideally with different timescale sensitivities (Caplar & Tacchella 2019). Given challenges posed in this regard by dust treatment, we conclude that SFRs and stellar masses by themselves may ultimately prove insufficient to recover the underlying evolutionary paths of galaxies. Progress thus entails incorporating the information provided by spatially resolved studies of the buildup of galaxies in all their baryonic components (stars, gas, metals), tied with kinematic tracers of the full gravitational potential (i.e., including DM) and of the feedback processes at play. In the remainder of this section, we examine the global structure, ISM, and accretion scaling relations before delving into resolved properties in Section 4.

### 3.4. The Mass–Size Relation

Following initial work with HST on the sizes of the UV-selected subpopulation of SFGs (Giavalisco et al. 1996, Ferguson et al. 2004), size evolution of mass-complete samples since cosmic noon was first explored in large numbers using ground-based near-IR surveys (Franx et al. 2008, Williams et al. 2009), which was later transformed by rest-optical imaging at high resolution for statistical samples after the installment of WFC3 onboard HST. This section focuses on stellar light-weighted sizes. Insights gained from a multitracer analysis combining stellar mass-weighted sizes and radial distributions of star formation, gas, and dust are covered in Section 4.1.

Even when concentrating on a single tracer and/or wavelength, multiple definitions of galaxy size are possible and increasingly explored alongside one another. Different methods classify broadly as parametric and nonparametric. By far, the most common approach entails fitting of a parametric (usually Sérsic) functional form convolved with the point spread function (PSF) to the two-dimensional (2D) surface brightness distribution and adopting the radius enclosing 50% of the light (a.k.a. the effective radius) as size measure, defined either along the major axis or in circularized form ( $R_{e,\text{circ}} = \sqrt{b/a} R_e$ ). Variations include quantifying galaxy size based on a different percentile (e.g.,  $R_{80}$ ) or decomposing the light distribution in multiple components (e.g., bulge and disk) with a size associated to each. Nonparametric approaches range from curve-of-growth analyses to quantifying the pixel area above a given surface brightness threshold. The former requires a center and aperture definition, whereas the latter is designed to function well also for highly irregular morphologies but requires accounting for cosmological surface brightness dimming and luminosity evolution. Unlike the parametric approach that applies forward modeling of PSF smearing, the finite resolution is to be accounted for a posteriori in these nonparametric measures, typically using a simulation-based lookup table as correction factors are size and profile-shape dependent. Here, we outline the main inferences from conventional Sérsic fitting but note in passing how some conclusions change, even on a qualitative level, when adopting an alternative definition of size.

The sizes of both star-forming and quiescent galaxies show a tight ( $<0.2$  dex intrinsic scatter) but distinct scaling with galaxy stellar mass (van der Wel et al. 2014b; see **Figure 4**). SFGs are larger than their quiescent counterparts at all masses over the  $0 < z < 3$  range. Their size–mass relation exhibits a nonevolving slope of  $\frac{d \log R_e}{d \log M_*} = 0.22$  compared to the steeper slope of  $\frac{d \log R_e}{d \log M_*} = 0.75$  for early-type galaxies. Considering the redshift dependence of the intercept, a slower evolution in the average size of the population at fixed mass is quantified for SFGs [ $R_e \propto (1+z)^{-0.75}$ ] compared to the quiescent systems, which as a population show dramatic growth from compact red nuggets at cosmic noon to the large ellipticals in today’s Universe [ $R_e \propto (1+z)^{-1.48}$ ]. It is worth

noting that the above characterizes the evolution in the size distribution of the population but not the evolutionary tracks of individual galaxies. Connecting progenitor–descendant sequences based on their constant cumulative number density as outlined in Section 2.5, information from the evolving galaxy stellar mass function can be folded in together with the size measurements to infer that (a) the progenitors of present-day Milky Way–mass galaxies have evolved, on average, along individual growth tracks of  $\frac{\Delta \log R_e}{\Delta \log M_*} = 0.27\text{--}0.3$  (i.e., an inside-out growth track slightly steeper than the slope of the star-forming size–mass relation at any epoch; van Dokkum et al. 2013, 2015); and (b) the most massive galaxies have experienced much steeper size growth with individual tracks following  $\frac{\Delta \log R_e}{\Delta \log M_*} = 2$ , which is consistent with scenarios in which an early dissipative core-formation phase is followed by the buildup of profile wings through dissipationless, predominantly minor mergers (van Dokkum et al. 2010, Patel et al. 2013b).

The formation of galactic disks is inherently linked to the DM halos that host them. In its simplest form, disk scale-lengths are expected to scale with the virial radii of their host halos as

$$R_d = \frac{1}{\sqrt{2}} \left( \frac{j_d}{m_d} \right) \lambda r_{200}, \quad 1.$$

which boils down to a linear scaling with the virial radius  $r_{200}$  provided the accreting baryons retain the specific angular momentum of their host halo ( $j_d/m_d = 1$ ; Mo et al. 1998). The width of the log-normal distribution in spin parameters  $\lambda$  obtained from  $N$ -body simulations in a  $\Lambda$ CDM cosmology (Bullock et al. 2001) is sufficient to account for the observed scatter in the size–mass relation. Such a scenario predicts an evolution in size at fixed halo mass following  $R \propto H(z)^{-2/3}$ , which is in agreement with the observed evolution for late-type galaxies by van der Wel et al. (2014b), who note that a parameterization as a function of  $H(z)$  is marginally favored over that with the scale factor  $(1+z)$ . Adopting the stellar mass–halo mass relation (SMHM) inferred from abundance matching, the observed size–mass relation can be converted to a galaxy size–halo size relation (Kravtsov 2013, Huang et al. 2017, Somerville et al. 2018). Applied to observations at  $0 < z < 3$ , such analyses reveal a linear relation between  $R_e$  and  $r_{200}$  and, hence, evidence for homologous growth between galaxies and their host halos. At least at  $0.5 < z < 3$  the normalization for late-type galaxies is consistent with expectations from simple disk-formation models (see also Section 4.4.4 for kinematic evidence of specific angular momentum retention in an ensemble-averaged sense). In contrast, the effective radii of early-type galaxies lie below the relation at all epochs. Mowla et al. (2019a), however, suggest that when expressed in  $R_{80}$ , quiescent galaxies and SFGs occupy a single size–mass relation, with these outer size measurements exhibiting a close relationship to the host halos for the full population. Whereas observations and simulations agree on a general linear relation of the form  $R_d = \mathcal{A} r_{200}$ , recent theoretical work has called into question whether the proportionality constant  $\mathcal{A}$ , and hence the variation in galaxy size at fixed mass, is set by the halo spin parameter  $\lambda$  (as in Equation 1), halo concentration (Jiang et al. 2019), or a combination of both (Somerville et al. 2018). (See the sidebar titled Dark Matter Halo and Related Properties.)

Key in the above results is that they are based on mass-complete samples of galaxies. Individual subpopulations may differ in their growth rate. Allen et al. (2017) report a significantly faster size growth for Lyman break galaxies [LBGs<sup>5</sup>;  $R_e \propto (1+z)^{-1.2}$ ] than for the underlying full SFG population since  $z \sim 7$ , which is a trend also seen in previous studies spanning a more modest redshift range and implies that LBGs represent a special subsample of highly star-forming and compact galaxies. Population differences aside, Ribeiro et al. (2016) report for the same sample

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<sup>5</sup> LBGs are a type of color-selected high-redshift galaxy, identified on the basis of their characteristic rest-UV spectral break.



## DARK MATTER HALO AND RELATED PROPERTIES

- $r_{200}$ : Virial radius of a DM halo, usually the radius within which the mean mass density is 200 times the critical density for closure of the Universe at the redshift of interest; also denoted  $R_{\text{vir}}$  (Mo et al. 1998).
- $\lambda$ : Spin parameter of a DM halo (Bullock et al. 2001).
- $M_{\text{DM}}, J_{\text{DM}}, j_{\text{DM}}$ : Mass of a DM halo, and its total and specific angular momentum at the virial radius (with  $j_{\text{DM}} = J_{\text{DM}}/M_{\text{DM}}$ ), respectively.
- $m_{\text{d}}, j_{\text{d}}$ : Mass and angular momentum of the baryonic disk galaxy expressed as fractions of the host DM halo mass and angular momentum (such that  $M_{\text{bar}} = m_{\text{d}} M_{\text{DM}}, J_{\text{bar}} = j_{\text{d}} J_{\text{DM}}$ ), respectively.

of spectroscopically confirmed SFGs at  $2 < z < 4.5$  differences in size evolution at fixed mass ranging from  $R_{\text{e}} \propto (1+z)^{-1.4}$  using conventional Sérsic profile fits to no size evolution at all over the considered 2 billion years leading up to cosmic noon, when adopting a nonparametric measure of size quantified on the basis of pixel count above a threshold surface brightness. They attribute this to galaxies in their earliest phase of assembly being quite extended and irregular and poorly described by a single Sérsic profile. An example at later epochs in which alternative size definitions change trends in a qualitative manner includes work by Carollo et al. (2013), who adopt curve-of-growth sizes with a posteriori PSF correction factors to conclude, at odds with van der Wel et al. (2014b), that there is no decline in number densities of compact quiescent galaxies since  $z < 1.5$ , thus placing more emphasis on progenitor bias than individual galaxy growth as an explanation of the observed size evolution of early-type galaxies.

### 3.5. Cold Gas Content

The cold gas reservoir of galaxies lies at the core of SFG evolution, fueling their star-formation activity and SMBH growth, and efficiently mediating mass, angular momentum, and energy transfer. CO line or far-IR to  $\sim 1$ -mm dust continuum observations have accumulated ample evidence that SFGs at cosmic noon have copious amounts of molecular gas (see the reviews, Combes 2018 and Tacconi et al. 2020). A recent focus has been on scaling relationships described in relation to the MS, facilitating the interpretation in the framework of galaxy evolution and providing well-calibrated recipes to estimate  $M_{\text{gas}}$  in the absence of actual cold ISM measurements (e.g., Genzel et al. 2015, Scoville et al. 2017, Tacconi et al. 2018). These analyses showed that over  $z \sim 0$ –4 the depletion time  $\tau_{\text{depl}} = M_{\text{gas}}/\text{SFR}$  depends primarily on redshift and MS offset  $\Delta\text{MS}$ , and so does the ratio of molecular gas to stellar mass  $\mu_{\text{gas}}$  with an additional dependence on  $M_{\star}$ . In the updated derivation by Tacconi et al. (2020), which unifies CO and dust continuum-based gas mass estimates including most recent NOEMA and ALMA data and adopting the Speagle et al. (2014) MS parameterization,  $\log(\tau_{\text{depl}}) = 0.21 - 0.98 \log(1+z) - 0.49 \Delta\text{MS} + 0.03 [\log(M_{\star}/M_{\odot}) - 10.7]$ . Accordingly, the depletion time for MS SFGs at fixed  $M_{\star}$  increases by a factor of  $\sim 3$  from  $z = 2$  to the present day while the gas fraction  $f_{\text{gas}} = M_{\text{gas}}/(M_{\star} + M_{\text{gas}})$  drops by a factor of  $\sim 10$  (Figure 4). It also follows from these gas scalings, the near-linear MS and its evolution (from Speagle et al. 2014), and the size–mass relation for SFGs (from van der Wel et al. 2014b) that the gas mass surface density at fixed  $M_{\star}$  evolves strongly over  $0 < z < 2$  as  $\Sigma_{\text{gas}} \propto (1+z)^a$  with  $a \sim 4$ , and more slowly at  $2 < z < 4$  with  $a \sim 2$ .

At all epochs, the average gas depletion time is nearly ten times shorter than the Hubble time, requiring sustained replenishment of the galactic cold gas reservoirs to maintain the SFG population as a whole on the tight observed MS. As summarized by Tacconi et al. (2020), this

argument is a cornerstone of the equilibrium growth model and favors the notion that the bulk of SFGs are fed by smoother gas accretion modes via cold streams along the cosmic web and minor mergers rather than major mergers. At fixed  $M_*$  and  $z$ , the gas scaling relations imply that the enhanced SFRs well above the MS are driven by both higher gas fractions and higher star-formation efficiencies ( $1/\tau_{\text{depl}}$ ), plausibly reflecting increased gas accretion and concentration as, e.g., in a major merger event. On the MS, the star-formation efficiency is roughly constant but  $f_{\text{gas}}$  decreases toward higher masses, along with the sSFR, suggesting that a lack of fuel (resulting from, e.g., suppressed accretion or gas removal) sets quenching on rather than reduced efficiency (from, e.g., gas stabilization against fragmentation by a massive bulge or ISM heating mechanisms). Setting tighter constraints on these scenarios through measurements of the cold ISM in sub-MS galaxies at  $z > 1$  is very challenging, and the very few results published to date are inconclusive (e.g., Bezanson et al. 2019).

Gas scaling relations at  $z > 1$  are most firmly established at  $\log(M_*/M_\odot) \gtrsim 10$ , where high- $z$  samples probe well the SFG population and where the luminosity-to-gas mass calibrations are best constrained. The more extensive data sets now available do not support a significant dependence of the CO–H<sub>2</sub> conversion on  $\Delta\text{MS}$  (Tacconi et al. 2020). In contrast, there is a strong variation of CO–H<sub>2</sub> and of the dust-to-gas ratio with metallicity (e.g., Genzel et al. 2012, Bolatto et al. 2013), which is folded in the scaling relations given above. At  $z \gtrsim 0.5$ , the atomic gas contribution to  $M_{\text{gas}}$  on galactic scales is generally neglected (though a 36% correction for He is applied) because most of the hydrogen is expected to be in molecular form at the high densities inferred ( $>10 M_\odot \text{ pc}^{-2}$ ) and damped Ly $\alpha$  absorbers studies indicate a slow evolution in H I gas density [ $\propto (1+z)^{0.57}$ ; Péroux & Howk 2020].

### 3.6. Metallicity and Interstellar Medium Conditions

The metal content of galaxies is a sensitive probe of the baryon cycle, carrying the imprint of gas accretion, stellar nucleosynthesis, galactic winds, and internal gas mixing. Observational constraints for  $z \sim 2$  SFGs have largely come from strong rest-optical nebular emission lines, interpreted through empirical and/or theoretical calibrations in terms of the gas-phase oxygen abundance (O/H). These lines also depend on the nebular conditions and structure, and on the excitation sources, affecting calibrations. The reviews by Maiolino & Mannucci (2019) and Kewley et al. (2019) discuss in detail the strengths and limitations of various indicators and stress the importance of combining multiple diagnostics, of adopting the same method(s) to reduce the impact of systematic differences in calibrations, and of using consistent approaches in deriving galaxy properties ( $M_*$ , SFR, etc.) used to establish scaling relations.

Offsets in the location of (non-AGN)  $z \sim 2$  SFGs relative to the  $z \sim 0$  excitation sequences in line ratio diagrams have long been known (e.g., in  $[\text{NII}]\lambda 6584/\text{H}\alpha$  and  $[\text{SII}]\lambda\lambda 6716, 6731/\text{H}\alpha$  versus  $[\text{OIII}]\lambda 5007/\text{H}\beta$ , and  $([\text{OII}]\lambda\lambda 3726, 3729 + [\text{OIII}]\lambda\lambda 4959, 5007)/\text{H}\beta$  versus  $[\text{OIII}]\lambda 5007/[\text{OII}]\lambda\lambda 3726, 3729$ ). The growing near-IR spectroscopic data sets at  $z \sim 2$  have enabled a more systematic exploration of the origin of the observed offsets, providing evidence for evolving conditions of the ionized gas in terms of a harder ionizing radiation, elevated N/O abundance ratio, higher electron density and ISM pressure, and higher ionization parameter at fixed O/H abundance (e.g., Masters et al. 2016, Steidel et al. 2016, Strom et al. 2018, Kashino et al. 2019). Other factors may be at play such as the presence of weak AGN activity, galactic-scale outflows and shocks, and diffuse ionized gas—the importance of which varies with redshift—as well as sample selection, and aperture and weighting effects, where spectra of high- and low- $z$  galaxies may encompass different physical regions and span a range of excitations (e.g., Shapley et al. 2015, 2019;

Kaasinen et al. 2017; Sanders et al. 2017). Constraints on the electron density of ionized gas<sup>6</sup> have also been obtained from the [OII] and [SII] doublet ratio, pointing to an increase with redshift, with  $n_e$  in the range of 100–400 cm<sup>-3</sup> for  $z \sim 2$  SFGs compared to  $\sim 25$  cm<sup>-3</sup> for  $z \sim 0$  galaxies (e.g., Sanders et al. 2016, Kaasinen et al. 2017). These estimates may be somewhat inflated by emission from denser gas in the ubiquitous galactic winds at  $z \sim 2$  (Section 4.6) in the single-component line fits commonly performed.

Turning to metallicity, whereas the strong line methods based on nebular rest-optical emission can lead to systematic differences in  $\log(\text{O}/\text{H})$  by up to  $\sim 0.7$  dex, relative estimates based on the same calibration are more accurate. The general shape and evolution of the mass–metallicity relation (MZR) agree qualitatively among various studies out to  $z \sim 3.5$ , with lower metallicities at lower  $M_*$ , an overall decline in metallicity at earlier times, and a stronger evolution in the low-mass regime, which is in agreement with the (scarcer) results from rest-UV metallicity-sensitive features in young stars (see Maiolino & Mannucci 2019). Among several proposed parameterizations, the form  $12 + \log(\text{O}/\text{H}) = Z_0 + \log \{1 - \exp[-(M_*/M_0)^\gamma]\}$  is physically motivated on the basis of considerations of the chemical yields in the presence of inflows and outflows. It describes well the bending shape of the MZR up to  $z \sim 2.5$ , where  $Z_0$  is the asymptotic value at high mass and  $M_0$  is the evolving turnover mass [with  $M_0 \propto (1+z)^\beta$ , where  $\beta \sim 2.6\text{--}2.9$ ] below which the relation follows a power law of index  $\gamma \sim 0.4\text{--}0.6$  (e.g., Zahid et al. 2014, Wuyts et al. 2014, and references therein; see Figure 4).

A secondary dependence on the SFR, ultimately tied to the gas fraction, is expected in a theoretical framework, where accretion of metal-poor gas dilutes the galactic gas-phase metallicity while increasing the gas reservoir fueling the star formation. Based on the large set of SDSS local galaxy spectra and first results at high  $z$ , Mannucci et al. (2010) proposed a redshift-invariant fundamental metallicity relation (FMR) among  $\log(\text{O}/\text{H})$ ,  $M_*$ , and SFR, parameterized in terms of  $\log(M_*) - \alpha \log(\text{SFR})$ . Although subsequent work at high  $z$  has led to mixed results, possibly due to the limited dynamic range and uncertainties in SFRs, a consensus is now emerging for the detection of an FMR albeit with hints of a modest evolution with lower  $\log(\text{O}/\text{H})$  at fixed  $M_*$  and SFR to  $z \sim 2.5$  and possibly stronger evolution at  $z \gtrsim 3$  (e.g., Sanders et al. 2018, Maiolino & Mannucci 2019). Such an evolution may reflect a progressive increase of the mass loading factor  $\eta$  of galactic winds (the ratio of mass outflow rate to SFR) and/or decrease of the metallicity of inflowing gas with look-back time; beyond  $z \sim 3$ , infall rates of more pristine gas may overwhelm metal production through stellar nucleosynthesis, resulting in stronger dilution. Theoretical models and numerical simulations that match the observed MZR, FMR, and evolution thereof underscore the role of stellar feedback in the chemical evolution of galaxies, requiring an increasing  $\eta$  in lower-mass galaxies and winds removing gas at roughly the same rate as is consumed by star formation around  $\log(M_*/M_\odot) \sim 10$  (e.g., Erb 2008, Lilly et al. 2013, Muratov et al. 2015, Davé et al. 2017). More direct observational constraints on  $\eta$  at  $z \sim 2$  are discussed in Section 4.6.2.

### 3.7. Active Galactic Nucleus Demographics

The link between the growth of galaxies and their SMBHs, deduced from local scaling relations and the coevolution in cosmic SFR and black hole accretion rate densities, has motivated an abundant literature on AGN activity and feedback across cosmic time (e.g., Fabian 2012, Heckman & Best 2014, Lutz 2014, Brandt & Alexander 2015, and Padovani et al. 2017 for reviews). We summarize key aspects on the demographics of radiative-mode AGNs at high  $z$ .

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<sup>6</sup>We refer here to the local, or so-called in situ, electron density giving the number of electrons per unit emitting volume of an ionized nebula.

AGNs, identified at X-ray and other wavelengths, are preferentially found in higher-mass galaxies, which, for an underlying positive correlation between AGN luminosity and host mass, reflects flux limits in the data from which AGNs are identified. Comparisons of the host properties of X-ray-selected AGNs with those of mass-matched samples of inactive galaxies showed that AGNs reside mainly in MS SFGs with little correlation between X-ray luminosity  $L_{\text{X,AGN}}$ <sup>7</sup> and SFR and are rarely associated with disturbed morphologies but are more prevalent in hosts with denser stellar cores (e.g., Silverman et al. 2009; Kocevski et al. 2012, 2017; Mullaney et al. 2012b; Santini et al. 2012). The lack of correlation between  $L_{\text{X,AGN}}$  and SFR is understood in terms of the short-term  $\lesssim 10^6$ -year variability of AGNs compared with the  $\gtrsim 10^8$ -year timescales of galactic star-formation processes (e.g., Hickox et al. 2014). X-ray stacking analyses, effectively averaging over time, revealed a closer connection between inferred SMBH accretion rate and host SFR (e.g., Mullaney et al. 2012a). The ratio of average SMBH accretion rate to SFR appears to be largely independent of galaxy stellar mass, and so is the distribution of specific  $L_{\text{X,AGN}}$  (often taken as a proxy for the Eddington ratio; e.g., Aird et al. 2012, 2018). Although the distribution in specific  $L_{\text{X,AGN}}$  shifts to higher values toward higher  $z$ , a mass-independent distribution at fixed  $z$  implies that a wider range of  $L_{\text{X,AGN}}/M_*$  is probed at higher host mass. AGNs selected by rest-optical and mid-IR diagnostics are less prone to variability effects but susceptible to similar biases related to dilution by host-galaxy emission (e.g., Padovani et al. 2017). A longer-term connection between  $L_{\text{X,AGN}}$  and SFR, coupled with evidence from morphologies, is consistent with a picture in which  $z \sim 2$  AGNs are fueled by stochastic accretion, and secular processes (rather than major mergers) within the gas-rich hosts promote the growth of both an SMBH and a central bulge (e.g., Mullaney et al. 2012a). The exception might be for the most luminous and most obscured mid-IR-selected AGNs, which are underrepresented in X-ray surveys, whose morphologies are significantly more frequently disturbed or indicative of merging (Donley et al. 2018).

Observations, as well as theoretical models and cosmological simulations (e.g., Somerville & Davé 2015, Naab & Ostriker 2017), support a link between AGNs and star-formation quenching at high masses. Causality, however, remains elusive. Empirical connections through galactic structure and outflows are discussed in Sections 4.1 and 4.6.

## 4. RESOLVED PROPERTIES OF STAR-FORMING GALAXIES at $z \sim 2$

Our understanding of the processes driving the evolution of the global galaxy properties discussed above has greatly benefitted from the growing amount of data resolving individual galaxies. A key finding was that high- $z$  SFGs are predominantly disks, albeit more turbulent than local spirals. The growth and evolution of disks as derived from stellar light, star formation, and kinematic tracers are discussed first (Sections 4.1–4.3), followed by emerging dynamical constraints on the interplay between baryons and DM on galactic scales (Section 4.4) and deviations from disk rotation (Section 4.5). Nongravitational motions (i.e., gas outflows) are then addressed as a direct probe of feedback in action (Section 4.6).

### 4.1. Star-Forming Galaxies as Axisymmetric Systems

Many key features regarding the structural buildup of SFGs can be captured in a framework in which we consider them as flattened, axisymmetric structures. This approach also fundamentally underpins semianalytical models in which any structural evolution is only described radially. This

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<sup>7</sup>The X-ray AGN luminosity is generally computed in the rest-frame 2–10-keV hard band and corrected for absorption.

section treats the resolved structure of galaxies from that perspective, whereas in Section 4.2, we shift our attention to deviations from axisymmetry.

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**M/L:** stellar  
mass-to-light ratio

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**4.1.1. Morphological disk settling and the emerging Hubble sequence.** Intrinsic 3D shapes inferred from projected axial ratio distributions illustrate how at any given epoch there is a tendency of increased fractions of SFGs with prolate (i.e., elongated) shapes in the low-mass regime, whereas the fraction of oblate (i.e., disk) systems increases with mass and toward later times (van der Wel et al. 2014a, Zhang et al. 2019). This downsizing pattern for morphological disk settling finds its counterpart in kinematic surveys, which show similar mass and redshift dependencies for orderly rotating disk fractions, with dispersion-dominated systems gaining in prevalence toward lower masses (see Section 4.3 and **Figure 4**). This section discusses the radial characteristics of SFGs with an emphasis on relatively massive ( $\gtrsim 10^{10} M_{\odot}$ ) systems for which the axisymmetric disk framework is most appropriate.

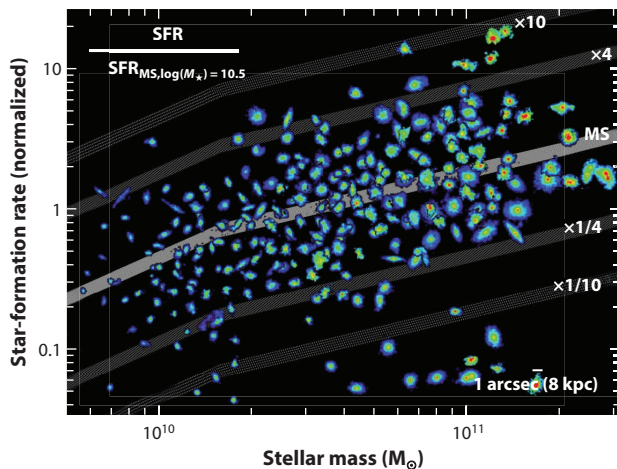
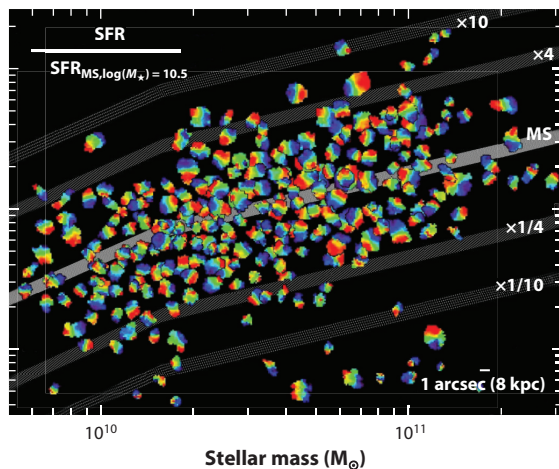
Salient features of the size–mass relation of SFGs were discussed in Section 3.4. The same HST surveys also shed light on surface brightness profile shapes, often quantified parametrically with a Sérsic model. For the MS population, exponential disk profiles of  $n \sim 1$  are the norm (Wuyts et al. 2011b), which is in line with the disk-like nature inferred from axial ratios and kinematics. Exceptions arise more frequently at the very tip of the MS, and among the rare population of starbursting outliers above the MS, which on average are characterized by more centrally concentrated profiles. We can conclude that the overall structure quantified from rest-optical/UV light and colors correlates with location in the  $M_{\star}$ –SFR plane, with most star formation happening in disks while quiescent galaxies feature cuspiers profiles. A Hubble sequence, where the dominant morphology and stellar populations are intimately tied, can thus be said to be in place already since at least  $z \sim 2.5$ .

Although it is most straightforward to compare sizes and profile shapes across epochs at fixed mass, individual galaxies build up stellar mass over time through star formation and mergers. Applying the cumulative comoving number density technique outlined in Section 2.5, progenitor–descendant sequences have been reconstructed to reveal the growth in size and buildup of extended profile wings around central cores for galaxies at the most massive end and to recover the structural growth history of Milky Way progenitors (van Dokkum et al. 2010, 2013; see also Patel et al. 2013a). The latter feature more modest size growth and, at least at  $1 < z < 2.5$ , a more self-similar evolution in profile shape than the most massive galaxies that increase rapidly in Sérsic index.

Other than by disentangling the population growth from growth of individual systems, major advances in our understanding of structural evolution are arising from comparing multiple tracers. Initially, this focused on rest-UV to rest-optical stellar emission, but increasingly this is complemented by resolved probes of ionized and molecular gas as well as reprocessed emission by dust.

**4.1.2. Stellar mass distributions.** With resolved imaging sampling the distribution of stellar light below and above the Balmer/4000-Å break out to  $z \sim 2.5$ , a picture has emerged in which negative color gradients (i.e., redder centers than outskirts) become increasingly prominent toward the high-mass end and at later times (e.g., Liu et al. 2017, 2018). The age–dust degeneracy in a space of mass-to-light ratio (M/L) versus rest-optical color (e.g., Bell & de Jong 2001) allows for a relatively robust translation of the multiband light maps to a stellar mass distribution (Wuyts et al. 2012, Szomoru et al. 2013, Tacchella et al. 2015, Wang et al. 2017, Suess et al. 2019; see **Figure 5**). In common among such studies is the finding of more compact and centrally concentrated stellar mass profiles compared with those observed in light, especially at lower redshifts and higher masses. Carrying out bulge–disk decompositions on stellar mass maps, Lang et al. (2014)



**a** Stellar mass maps (HST/CANDELS)**b** H $\alpha$  velocity fields (VLT/KMOS<sup>3D</sup>)**Figure 5**

Stellar structure and kinematics of 250 massive star-forming galaxies at cosmic noon. (a) Stellar mass maps derived from spatially resolved spectral energy distribution modeling of multiband HST imaging (Lang et al. 2014). Blue-to-red colors correspond to increasing stellar mass surface density, with the same range shown for all objects. (b) Velocity fields from H $\alpha$  obtained with the VLT/KMOS multi-IFU instrument as part of the KMOS<sup>3D</sup> survey (Wisnioski et al. 2019), at a resolution of  $\sim 4$  kpc. Blue-to-red colors correspond to the maximum blueshifted-to-redshifted velocities relative to systemic, adjusted individually for each object. The galaxies are plotted as a function of their  $M_*$  and SFR (normalized to the SFR of the main sequence at  $\log(M_*/M_\odot) = 10.5$  at the redshift of each object, shown as a *thick light gray line*). The stellar mass maps are relatively smooth and show an increasing bulge prominence toward higher masses. The kinematics show an overall majority of rotating disks. Abbreviations: CANDELS, Cosmic Assembly Near-infrared Deep Extragalactic Legacy Survey; HST, *Hubble Space Telescope*; IFU, integral field unit; SFR, star-formation rate; VLT, Very Large Telescope; KMOS, K-band Multi-object Spectrograph.

find that though SFGs are well described by exponential disks at low masses, once crossing the Schechter mass they already contain 40–50% of their stars in a bulge component, even prior to their eventual quenching. Overall, taking both SFGs and quiescent galaxies together, it is now well established that measures of bulge prominence or central surface density (e.g.,  $\Sigma_{1\text{kpc}}$ ; Cheung et al. 2012, Barro et al. 2017a; see **Figure 4**) form much more reliable predictors of quiescence than stellar mass by itself. However, the origin of this strong correlation, in particular its interpretation in terms of a causal connection, remains debated (Lilly & Carollo 2016, Abramson & Morishita 2018). Building on the increased prevalence of AGNs with host central stellar mass density and the empirical inference that quenching sets on when the cumulative radiative energy of SMBHs reaches  $\sim 4\times$  the halo binding energy, Chen et al. (2020) recently put forward a phenomenological model that strengthens the role of AGNs in quenching by explaining naturally the structural differences between star-forming and quenched galaxies.

**4.1.3. Observed H $\alpha$  profiles.** WFC3 grism surveys such as 3D-HST (Momcheva et al. 2016) give access to the H $\alpha$  surface brightness distributions on kiloparsec scales for galaxies out to  $z \sim 1.5$ . Such observations have illustrated that the H $\alpha$  emission of MS galaxies, tracing the unobscured instantaneous star formation, follows on average exponential disk profiles (Nelson et al. 2013), and that there is a resolved equivalent of the MS, i.e., a correlation between the local star formation and stellar surface density (Wuyts et al. 2013; see also Wang et al. 2017). Deviations from this relation are seen in the centers of—particularly massive—SFGs, with also



asymmetric features such as clumps contributing to the scatter (Section 4.2). Stacking H $\alpha$  and  $H_{140}$  maps of 3,200  $z \sim 1$  SFGs, Nelson et al. (2016b) find the (unobscured) star formation to be slightly more extended than the stellar continuum emission, with a weak dependence on mass:  $R_{e,H\alpha}/R_{e,H} = 1.1 (M_*/10^{10} M_\odot)^{0.054}$ . Translated to H $\alpha$  equivalent widths (EWs),<sup>8</sup> this results in centrally dipping profiles, with the central depression in H $\alpha$  EW being most prominent at the high-mass end. AO-assisted IFU surveys were able to push resolved H $\alpha$  EW measurements out to  $z \sim 2.5$ , resulting in qualitatively similar findings (Tacchella et al. 2015). With such numbers at present limited to a few dozen (fewer when considering the high-mass end alone) and accumulated at a rate of about one 8-m-telescope night per object, significant progress on number statistics here is anticipated from grism observing modes on JWST. Already with existing ground-based (yet seeing-limited) instrumentation, however, larger samples with consistent continuum and H $\alpha$  size measurements over the full  $0.6 < z < 2.6$  range can be compiled. Doing so, Wilman et al. (2020) find an average size ratio of  $\frac{R_{e,H\alpha}}{R_{e,F160W}} = 1.26$ , without significant dependence on the redshift, mass, and star-formation activity. Adopting the observed size ratio as an upper limit to  $\frac{R_{e,SF}}{R_{e,M_*}}$  (a limit due to the possible presence of differential extinction and dust gradients), they infer the associated size growth due to star formation alone to proceed along a vector of  $\frac{d \log R_e}{d \log M_*} \sim 0.26$ , consistent with results from constant comoving number density arguments and only slightly steeper than the observed slope of the size–mass relation at any epoch. Processes other than simply adding new stars, such as feedback, angular momentum redistribution, (minor) mergers, and the preferential quenching of more compact SFGs, may need to be invoked to reconcile the relatively slow growth due to star formation with the observed size evolution of SFGs.

**4.1.4. Attenuation gradients.** In the absence of dust, all of the above radial profiles, size differences, and red centeredness would be interpreted most straightforwardly in terms of stellar population age (or sSFR) gradients, pointing at a picture of inside-out disk growth. However, SFGs at cosmic noon are far from dust free, particularly in the massive and highly star-forming regime, where most of the internal color dispersion (e.g., Boada et al. 2015) and radial gradients are seen. With only a single rest-optical color, the effects of age and dust are fully degenerate. Although this enables a robust estimate of spatial M/L variations, explaining the origin of these variations (spatially inhomogeneous SFH versus extinction) is by the same token a challenging task. Several approaches have been pursued to pin down to which degree levels of extinction vary across galaxy disks. Wuyts et al. (2012) used resolved SED modeling of 7-band ACS (Advanced Camera for Surveys)+WFC3 photometry to constrain the stellar populations of individual pixel bins in  $0.5 < z < 2.5$  SFGs. Nelson et al. (2016a) were able to extract a more direct probe of extinction for  $z \sim 1$  SFGs in the form of the Balmer decrement (H $\alpha$ /H $\beta$ ), although they relied on stacked profiles for relatively broad bins in stellar mass. Complementary broadband approaches further include use of the dust-sensitive UV slope  $\beta$  (Tacchella et al. 2018) and a rest-frame  $UVI$  color–color diagram (Liu et al. 2017, Wang et al. 2017).

Although quantitative differences remain and direct comparisons are complicated by differences in applicable redshift range and technique (e.g., individual galaxies versus stacking), a converging picture is emerging. Galaxies do feature radial gradients in extinction, with the amount of central enhancement increasing with stellar mass, reaching  $\sim 2$  magnitudes of central extinction at the high-mass end. Propagating this knowledge to the reconstruction of sSFR profiles yields on average surprisingly flat profiles over the full radial range for intermediate-mass galaxies. Only

<sup>8</sup>For an emission line, the EW is equal to the ratio of line flux to continuum flux density.

among the most massive galaxies do central drops in the star-formation activity remain present after dust correction; this trend is interpreted as a signature of inside-out quenching. For example, Tacchella et al. (2018) exploit near-IR AO-assisted IFU data at  $z \sim 2$  to find a radially constant mass-doubling timescale of  $\sim 300$  Myr for SFGs below  $\lesssim 10^{11} M_{\odot}$  and central star-formation suppression by a factor of  $\sim 10$  above this mass. At  $z \sim 1$ , Wang et al. (2017) report qualitatively similar results with flat sSFR profiles for SFGs below  $10^{10.5} M_{\odot}$  and central declines of 20–25% above this mass (see also Liu et al. 2016). The flat inferred sSFR profiles of intermediate-mass galaxies are seemingly at odds with the inside-out growth inferred from constant comoving number density arguments (Section 4.1.1). Possibly the stellar buildup proceeds more rapidly outside the inner  $\sim 2 R_c$  within which most stellar population and dust gradients have been quantified, but it has also been argued that the mass-weighted size growth may be more modest than the observed light-weighted one (Suess et al. 2019). Resolved *UVJ* diagrams and direct measurements<sup>9</sup> of radial Balmer decrement profiles of individual galaxies will undoubtedly play a vital role in furthering our understanding of where within SFGs stars are formed, and these are within reach of JWST’s imaging and (grism) spectroscopic capabilities. That said, we caution that the central effective  $A_V$  of  $\sim 2$  magnitudes inferred for massive SFGs under a foreground screen approximation may well conceal total dust column densities that are several times higher, depending on the dust geometry, its clumpiness, and its albedo (Seon & Draine 2016). We thus conclude that dust modeling at present poses a key challenge to quantifying galaxy sizes and SFR distributions at the massive end.

**4.1.5. Compact dusty cores and implications for star-formation rate profiles.** Having highlighted the significant role of dust, it is important to underline the potential offered by far-IR to radio observations to complement our view of where star formation is happening (as seen reprocessed by dust) and where within the disks cold gas, the fuel for star formation, resides (as revealed by CO line emission). Here, ALMA, NOEMA, and the JVLA, with their recently enhanced sensitivities and long baselines, are making major contributions. In low-*J* CO transitions, MS galaxies feature a similar extent as observed in the (rest-)optical. This is the case at both  $z \sim 1$  (Tacconi et al. 2013) and  $z \sim 2$  (Bolatto et al. 2015), although numbers in the higher-redshift bin remain limited. A different picture is painted when considering continuum probes of star formation. Comprised predominantly of nonthermal synchrotron radiation from charged particles accelerated within supernova remnants, 1.4-GHz continuum emission serves as a dust-unbiased SFR tracer (Condon 1992). Using a *uv*-stacking algorithm to trace the 1.4-GHz continuum size evolution of  $\sim 1,000$  MS SFGs with  $10^{10}$ – $10^{11} M_{\odot}$  spanning  $0 < z < 3$ , Lindroos et al. (2018) find the measured radio sizes to be typically a factor of two smaller than those measured in the rest-optical. Likewise, focusing on thermal dust emission from a sample of normal MS galaxies at  $\log(M_*/M_{\odot}) \gtrsim 11$ , Tadaki et al. (2017a) combined ALMA 870- $\mu\text{m}$  observations in compact and extended configuration to infer that the dust sizes of their targets were more than a factor of two smaller than those observed at rest-optical (and even more so H $\alpha$ ) wavelengths.

These results are in contrast to what naively would be anticipated given the typical centrally declining H $\alpha$  EWs in the same high-mass regime at  $z \sim 2$ , even after dust corrections. Star formation happening in such centrally concentrated cores could in several hundred million years build up a central bulge with  $\Sigma_{1\text{kpc}} > 10^{10} M_{\odot} \text{ kpc}^{-2}$ , which is akin to the central densities of lower-redshift quiescent systems. Resolved maps at a second, higher-frequency IR wavelength are needed to rule out or reveal any negative gradients in dust temperature that may bias the inferred half-SFR sizes

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<sup>9</sup>It should be noted that at the  $R \sim 130$  resolution of the WFC3/G141 grism H $\alpha$  and [NII] are blended, and additionally underlying stellar absorption, especially to H $\beta$ , should be accounted for.

to low values. In the handful of objects in which resolved CO and dust continuum measurements are both available, authors also noted the smaller dust compared with CO sizes (Spilker et al. 2015, Tadaki et al. 2017b). By contrast, Rujopakarn et al. (2016) found 5-cm and 1.3-mm sizes of somewhat lower-mass [ $\log(M_*/M_\odot) \sim 10.7$ ] SFGs at the same redshift to both be comparable with the extent of the stellar mass maps. Enhancing the robustness of multitracer structural measurements and interpreting the relative sizes of dust, stellar, and H $\alpha$  emission as a function of mass stand as an important challenge for future studies. This applies especially to reconciling the inconsistent findings from cold ISM and H $\alpha$  observations at the massive end. At present, ambiguity remains regarding whether the inconsistency is due to uncertain dust corrections or differences between samples that fit into a common evolutionary sequence in which massive galaxies undergo compaction events triggering nuclear starbursts (responsible for the compact dust sizes) followed by a phase of inside-out quenching (responsible for the centrally declining H $\alpha$  EWs; e.g., Tacchella et al. 2016).

## 4.2. Deviations from Axisymmetry

Thus far, we have discussed the structural properties of high- $z$  SFGs in terms of sizes and radial profiles. In this section, we consider evidence for SFG shapes and morphologies that differ from (smooth) disks (Section 4.2.1), and delve deeper into the nature of subgalactic components such as star-forming clumps (Section 4.2.2).

**4.2.1. Shapes and morphologies.** Skewed axial ratio distributions for low-mass [ $\log(M_*/M_\odot) < 10$ ] SFGs at cosmic noon suggest that a framework of flattened axisymmetric disks may be inappropriate for young systems that have not had the time to settle into an equilibrium disk configuration (Law et al. 2012b, van der Wel et al. 2014a). Modeling the joint distribution of projected axis ratios and sizes, and accounting for the finding that smaller SFGs are systematically rounder, Zhang et al. (2019) argue that prolate and/or spheroidal shapes may in fact be even more common than inferred by van der Wel et al. (2014a); this may also be true for the  $\log(M_*/M_\odot) = 10$ –10.5 regime. Zhang et al. report that young, low-mass galaxies in the VELA set of high-resolution hydrodynamical cosmological zoom-in simulations are prolate as well. Kinematics reveal a qualitatively similar trend, with a threshold mass for disk settling that decreases with decreasing redshift (Section 4.3).

Even above  $10^{10} M_\odot$ , the morphological appearance of high- $z$  SFGs often looks markedly different from that of the relatively smooth disk population in the local Universe. Rising fractions of irregular morphologies were first noted in early HST observations probing the rest-UV (Griffiths et al. 1994, Windhorst et al. 1995, Abraham et al. 1996), and later quantified using the larger samples provided by rest-optical legacy surveys such as CANDELS (e.g., Conselice 2014, Huertas-Company et al. 2016). Methods to quantify the evolving morphological mix through cosmic time encompass nonparametric morphological measures (e.g., concentration, asymmetry, Gini,  $M_{20}$ ; Conselice 2003; Lotz et al. 2004) or principal component analysis thereof (Peth et al. 2016), visual classifications by experts or citizen scientists (e.g., Kartaltepe et al. 2015, Simmons et al. 2017), and increasingly deep-learning techniques using visually classified training sets (Huertas-Company et al. 2015) as well as unsupervised machine learning (Hocking et al. 2018). Cross-comparisons often show good concordance between these approaches. However, this does not mean that the physical origin of the irregular morphologies, often featuring asymmetries in the form of off-center clumps, can be readily interpreted. Although historically frequently used alongside pair counts to quantify the evolution in merger rates, the clumpy morphologies are nowadays more often interpreted as massive star-forming regions originating in marginally stable, gas-rich disks.

The tightness of the MS, kinematic evidence for ordered rotation, average surface brightness profiles, and axial ratio distributions, as well as probes of the cold gas reservoirs, all contributed to this paradigm shift. In addition, the wavelength dependence of clumpy morphologies (more prominent in the rest-UV, where they are identified), attributed to spatial variations in the SFH and/or dust extinction, also implies that the underlying mass distribution is smoother than the galaxies appear in light, unlike what may be expected from mergers (e.g., Wuyts et al. 2012, Cibinel et al. 2015).

Indeed, several studies have addressed the ability to identify mergers at cosmic noon by exploiting mock observations of galaxies extracted from simulated cosmological volumes in which their (non-)merger state is intrinsically known (e.g., Snyder et al. 2015, Thompson et al. 2015). This exercise reveals dependencies of completeness and contamination fraction of the selected mergers on merger stage, viewing angle, and depth of observation, yielding in some of the simulations that reproduce realistically high gas fractions at  $z \sim 2$  results that are no better than a random guess (Abruzzo et al. 2018).

This does not imply that mergers do not happen or that all clumps share the same formation process. Targeting mostly higher redshifts ( $2 < z < 6$ ), Ribeiro et al. (2017) find the most massive clumps ( $\sim 10^9 M_\odot$ ) to typically reside in galaxies featuring just two clumps, arguably interpretable as a merger, whereas less massive clumps ( $< 10^9 M_\odot$ ) occur in galaxies featuring a larger number of them, which is consistent with disk fragmentation. The distinction between *ex situ* and *in situ* clumps, with the former featuring higher masses and older stellar ages, is also seen in hydrodynamical simulations (Mandelker et al. 2017).

**4.2.2. Clump properties.** Turning to the properties of individual clumps, a first realization stemming from multiband stellar population analyses of these features is that though they are striking in appearance, they do not dominate the integrated UV emission of the galaxies, let alone add up to a major contribution of the star formation, and even less so account for a substantial fraction of the overall stellar mass. Although a precise breakdown depends on details of sample selection, clump selection (e.g., threshold depth and wavelength), and whether and how underlying diffuse disk emission is accounted for (e.g., Förster Schreiber et al. 2011, Guo et al. 2018), different censuses report clump contributions (i.e., summed over all clumps) to the overall UV emission, SFR, and stellar mass of mass-selected SFGs at cosmic noon of  $\sim 20\%$ ,  $\sim 5\text{--}18\%$ , and  $\lesssim 7\%$ , respectively (Wuyts et al. 2012, Guo et al. 2015, Soto et al. 2017). The fraction of SFGs that appear clumpy is itself a function of both mass and redshift. Whereas  $\sim 60\%$  of low-mass [ $\log(M_*/M_\odot) < 9.8$ ] SFGs feature clumpy UV morphologies over the full  $0.5 < z < 3$  range, the clumpy fraction for intermediate- and high-mass SFGs drops from 55% to 40% and from 55% to 15% over the same  $z$  range, respectively (Guo et al. 2015).

The characteristic scales of giant star-forming clumps reported in the literature are on the order of a kiloparsec, with corresponding stellar masses ranging up to a few  $10^9 M_\odot$  (e.g., Förster Schreiber et al. 2011, Guo et al. 2018). These scales are in accordance with the Toomre scale and mass anticipated for gravitational instabilities within gas-rich turbulent disks (Genzel et al. 2008, 2011; Dekel et al. 2009; Elmegreen 2009). It is worth noting, though, that structures on these scales are only marginally resolved in studies of field galaxies and may correspond to conglomerations of blended clumps of smaller physical scales. Samples of a handful of lensed galaxies reaching spatial resolutions of 20–100 pc do indeed reveal progressively smaller clump sizes as the resolution is enhanced with respect to blank field observations (Dessauges-Zavadsky et al. 2017, Rigby et al. 2017). This is illustrated perhaps most convincingly in the analysis of multiple lensed images of the same object at different magnifications (Cava et al. 2018). In this light, zoom-in simulations of turbulent gas-rich disks resolving the multiphase ISM on parsec scales will prove useful in tracing fragmentation below the Toomre scale and interpreting the higher-resolution

observations that will become feasible with JWST and ultimately the extremely large telescopes. Already, first attempts on lensed samples have been made to characterize the clump mass functions (Dessauges-Zavadsky & Adamo 2018), yielding results consistent with a power-law slope of  $-2$  anticipated for fragmentation due to a turbulent cascade (Chandar et al. 2014, Adamo et al. 2017).

Typical stellar ages inferred for the star-forming clumps are on the order of 100–200 Myr (Förster Schreiber et al. 2011; Wuyts et al. 2012; Guo et al. 2012, 2018). A single massive clump consisting almost entirely of line emission (i.e., massive in gas but an order of magnitude lower in stellar mass) was discovered by Zanella et al. (2015), for which they estimate an age of  $<10$  Myr, confirming the in situ formation by gravitational collapse as the origin of the clump phenomenon. Mimicking the azimuthally averaged radial trends of stellar population tracers discussed in Section 4.1, clumps themselves also feature redder rest-optical colors, lower H $\alpha$  EWs, and—inferred from those—older ages (by a few 100 Myr) and lower sSFRs toward the galaxy centers (Förster Schreiber et al. 2011; Guo et al. 2012, 2018; Adamo et al. 2013; Soto et al. 2017). The gradients steepen with increasing stellar mass and decreasing redshift and are found to be overall steeper than the radial gradients observed for the intraclump regions (Guo et al. 2018). As a caveat, we note that in most of these studies radial gradients are quantified on the basis of ensembles of clumps collected from multiple galaxies within relatively coarse bins of mass and redshift, as the number of detectable clumps in individual systems remains limited.

The longevity of clumps forms an outstanding question with significant implications for the subsequent structural evolution of the galaxies that host them. If remaining intact and surviving internal stellar feedback for a few hundred million years, their inward migration due to dynamical friction is predicted to be an efficient mode of in situ bulge growth (e.g., Bournaud et al. 2007, Elmegreen et al. 2008, Ceverino et al. 2010). By contrast, simulations with stronger feedback implementations such as FIRE (Oklopčić et al. 2017) and NIHA0 (Buck et al. 2017) feature shorter clump lifetimes ( $\lesssim 50$  Myr) and substantially less inward migration. Despite their differences, both flavors of simulations claim to reproduce the observed phenomenology of wavelength-dependent clump prominence, their characteristic stellar ages, and even radial gradients (e.g., Mandelker et al. 2014, Oklopčić et al. 2017). A duty-cycle argument relating the existence of a very young clump, as found by Zanella et al. (2015), to the abundance of equally massive clumps that are older supports long inferred clump lifetimes ( $\sim 500$  Myr). Measured ages of the stellar populations in clumps may not necessarily match the timescale of clump survival, as clumps are in constant interaction with their surrounding disk owing to outflows, tidal stripping, and continued accretion (Bournaud et al. 2014). Perhaps the observable with the most discriminating power between the different suites of simulations will prove to be the gas fraction, on an individual clump basis, but even already at the galaxy-integrated level.

### 4.3. Star-Forming Galaxies as Rotating Turbulent Disks

Near-IR IFU observations, mainly of H $\alpha$  but also [OIII] or [OII] line emission, have provided the most comprehensive and detailed censuses of the kinematic properties of  $z \sim 2$  SFGs and the most convincing evidence for the prevalence of disks among them. Mitigating M/L variations that can complicate the interpretation of morphologies, especially at  $z > 1$ , kinematics trace the full underlying mass distribution and are a sensitive probe of a system’s dynamical state. Spatially resolved kinematics of cold gas line emission from (sub)millimeter interferometry are still scarce for typical  $z \sim 2$  MS SFGs, and though near-IR slit spectra have also been exploited to derive emission line kinematic properties, they give spatially limited information with larger uncertainties related to slit placement relative to the galaxy center and kinematic axis. Stellar kinematics at  $z > 1$  are still restricted to quiescent galaxies, absent of young hot stars filling in absorption features, and in all but a few cases are limited to galaxy-integrated velocity dispersions.

## KINEMATIC PROPERTIES

- **Rotation curve (RC):** Rotation velocity  $v$  versus galactocentric radius  $r$ . For a Freeman thin disk with exponential surface density distribution, scale length  $R_d$ , and  $y \equiv r/2R_d$ ,  $v^2(r) = 4\pi G \Sigma_0 R_d y^2 [I_0(y)K_0(y) - I_1(y)K_1(y)]$ , where  $G$  is the gravitational constant,  $\Sigma_0$  is the central surface density, and  $I_i$  and  $K_i$  are the modified Bessel functions of order  $i$ . At fixed mass profile  $M(r)$ , thick disks (scale height  $b \sim 0.2\text{--}0.3 R_d$ ) have an  $\sim 8\%$  lower  $v$  peak reached at  $\sim 10\%$  larger radius, whereas in the spherical approximation the peak is  $\sim 15\%$  lower and at  $\sim 20\%$  smaller radius (Freeman 1970, Binney & Tremaine 2008, Noordermeer 2008).
- $v_{\text{rot}}$ : Maximum intrinsic rotation velocity (i.e., corrected for beam smearing and galaxy inclination when measured from observations), with  $R_{\text{max}}$  denoting the radius where it is reached in intrinsic space.
- $v_{2.2}$ : Intrinsic rotation velocity at  $r = 2.2 R_d$ , where a Freeman disk RC peaks (corresponding to  $1.3 R_e$ ). For  $n \neq 1$  profiles,  $v_{2.2}$  differs from the peak  $v_{\text{rot}}$ .
- $\sigma_0$ : Local intrinsic velocity dispersion (i.e., corrected for beam smearing when derived from observations); it is assumed to be isotropic and constant across disks (Section 4.3.2).
- $v_c$ : Circular velocity, here as a measure of the potential well. For a thin disk,  $v_c = v_{\text{rot}}$ ; for a thick disk with nonnegligible turbulent pressure gradient,  $v_c^2(r) = v_{\text{rot}}^2(r) + 2 \sigma_0^2 (r/R_d)$  (e.g., Burkert et al. 2016).
- $S_{0.5}$ : Alternative kinematic estimator for a spherically symmetric system in an isothermal potential, defined as  $S_{0.5}^2 = 0.5 v_{\text{rot}}^2 + \sigma_0^2$  (e.g., Weiner et al. 2006).
- Tully–Fisher relation (TFR): A fundamental relation that links measures of a galaxy’s mass and kinematics. Various forms are considered in the literature, involving  $M_*$  or  $M_{\text{bar}}$  as a function of  $v_{\text{rot}}$ ,  $v_{2.2}$ ,  $v_c$ , or  $S_{0.5}$ .
- $M_{\text{dyn}}$ : Enclosed dynamical mass. For a spherical distribution,  $M_{\text{dyn}}(r) = r v_c^2/G$ ; for a Freeman disk,  $M_{\text{dyn}}(r) = 2\pi \Sigma_0 R_d^2 [1 - e^{-r/R_d} (1 + r/R_d)]$  (Binney & Tremaine 2008).
- $f_*$ ,  $f_{\text{bar}}$ ,  $f_{\text{DM}}$ : Ratio of stellar, baryonic, and DM mass to dynamical mass.
- $j_d$ : Specific angular momentum of a (disk) galaxy,  $\propto v(r) \times r$ .

The first step in exploiting 3D kinematic data is to identify the nature of the galaxies. Different procedures are followed, but they conceptually rely on similar criteria based on 2D maps and on the main derived parameters of maximum rotation velocity  $v_{\text{rot}}$  and local velocity dispersion  $\sigma_0$  corrected as appropriate for spatial and spectral resolution and for galaxy inclination (extraction methods are summarized in the **Supplemental Text**; see the sidebar titled Kinematic Properties). The basis is encapsulated in the following set of disk criteria adopted in several studies, motivated by expectations for an ideal rotating disk, and increasingly stringent and demanding of the data (e.g., Förster Schreiber et al. 2018, Wisnioski et al. 2019):

1. A smooth monotonic velocity gradient across the galaxy, defining the kinematic axis;
2. A centrally peaked velocity dispersion distribution with maximum at the position of steepest velocity gradient, defining the kinematic center;
3. Dominant rotational support, quantified by the  $v_{\text{rot}}/\sigma_0$  ratio;
4. Coaligned morphological and kinematic major axes (a.k.a. kinematic misalignment); and
5. Spatial coincidence of the kinematic and morphological centers.

Application of these criteria is usually done from measurements of the parameters and visual inspection or through comparisons to disk models. Kinemetry, an approach based on harmonic expansion along ellipses of the moment maps of the line-of-sight velocity distribution, has also been used in some studies to quantify the degree of asymmetry in velocity and dispersion maps, either as main classification or in support of the criteria above. Details on disk modeling and kinemetry can



be found in the **Supplemental Text**. It is increasingly common to supplement the kinematic criteria with information on galaxy morphology and possible companions, e.g., from HST imaging, for a more complete characterization.

The outcome of the morphokinematic classification scheme depends on how well the galaxies are resolved and how sensitive the data are. It is usually adequate to provide a first-order description of the system and the basis for quantitative interpretation of the measurements. Deeper data detecting fainter extended emission and/or higher resolution (AO-assisted versus seeing-limited) set better constraints on the nature of the galaxies and can reveal additional interesting features (Section 4.5). The choice of  $v_{\text{rot}}/\sigma_0$  threshold varies from 1 to 3 between different studies, with the intermediate value of  $\sqrt{3.36}$  corresponding to equal contributions from rotation and random motions to the dynamical support of a turbulent disk. Several efforts have been devoted to assessing the reliability of kinematic classification based on mock observations of template data, encompassing nearby systems to high-resolution cosmological simulations. Low misclassification fractions of  $\sim 10\text{--}30\%$  are generally obtained for disks and major mergers alike, with the range reflecting the specific criteria employed, data resolution, and S/N (Shapiro et al. 2008, Épinat et al. 2010, Bellocchi et al. 2016). Using zoom-in simulations from the VELA suite of  $z \sim 2$  isolated galaxies and mergers over many sightlines to create  $\sim 24,000$  mock-observed data sets in 0.6 arcsec seeing, Simons et al. (2019) conclude that disks are identified with high confidence, whereas misclassification of mergers as disks varies widely but, unsurprisingly, is lowest ( $\lesssim 20\%$ ) when applying all criteria above and folding in HST-like morphological information.

**4.3.1. Disk fractions.** Recent large kinematic surveys have confirmed the findings from earlier smaller samples that up to  $z \sim 2.5$ , a large proportion of massive SFGs are fairly regular disks, albeit with higher velocity dispersions than present-day spirals. The largest and most complete surveys, comprising hundreds of SFGs on/around the MS at  $9 \lesssim \log(M_*/M_\odot) \lesssim 11.5$  with resolved kinematics from KMOS, find  $\sim 70\text{--}80\%$  of rotation-dominated galaxies (i.e., satisfying criteria 1–3 above, with  $v_{\text{rot}}/\sigma_0 > 1$ ; Wisnioski et al. 2015, 2019; Stott et al. 2016). This result is borne out by deep AO-assisted SINFONI data of 35  $z \sim 1.5\text{--}2.5$  SFGs in the same mass range (Förster Schreiber et al. 2018). Imposing all criteria reduces the disk fractions  $f_{\text{disk}}$  to  $\sim 50\text{--}60\%$ . Significant trends in the kinematic mix of SFGs are emerging from  $z \gtrsim 0.6$  IFU surveys, with lower  $f_{\text{disk}}$  at earlier epochs and, at fixed  $z$ , toward lower masses (e.g., Turner et al. 2017, Wisnioski et al. 2019). These results strengthen and extend out to  $z \sim 3.5$  findings from optical and near-IR slit spectroscopy over  $z \sim 0.2\text{--}2.5$  (e.g., Kassin et al. 2012, Simons et al. 2017). The dependence on  $M_*$  and  $z$  of the fraction of rotation-dominated galaxies is illustrated in **Figure 4** (where the curves are adjusted to match the binned data presented by Simons et al. 2017, Turner et al. 2017, and Wisnioski et al. 2019).<sup>10</sup> The trends reflect primarily those with  $v_{\text{rot}}/\sigma_0$ , with the evolution of  $\sigma_0$  largely driving the  $z$  variation and the connection between  $v_{\text{rot}}$  and galaxy mass (via the Tully–Fisher relation or TFR) dominating the  $M_*$  dependence (see Sections 4.3.2 and 4.4.2). They also account for the range in  $f_{\text{disk}}$  ( $\sim 25\text{--}75\%$ ) reported by various  $z \sim 0.5\text{--}3.5$  studies based on samples of  $\sim 10\text{--}60$  SFGs probing different mass and redshift ranges, in addition to other factors such as S/N, resolution, details and strictness of the classification procedure (e.g., Law et al. 2009, Épinat et al. 2012, Livermore et al. 2015, Mieda et al. 2016, Mason et al. 2017, Girard et al. 2018, Gillman et al. 2019).

<sup>10</sup>A sigmoid function in  $M_*$  and look-back time  $t$  is used in **Figure 4** so that the fraction is bounded and because this functional form better reproduces the data in  $t$  than in  $z$ . The curves broadly match the trends implied by the linear fits in  $t$  for different  $M_*$  bins given by Simons et al. (2017).

The variation of disk fraction and  $v_{\text{rot}}/\sigma_0$  with galaxy mass and redshift has been interpreted in a disk settling scenario (Kassin et al. 2012). Massive SFGs settled earlier into more rotationally dominated, mature disks, gradually followed by lower-mass galaxies at later times and with more massive disks being dynamically colder at all epochs. This evolution is reflected in the trends among mass, morphology, and specific angular momentum of disks (discussed in Section 4.4.4). It also finds its counterpart in the structure of the stellar component from HST imaging inferred from the projected axial ratio distributions (Section 4.2) and is qualitatively reproduced by the recent high-resolution TNG50 cosmological simulation (Pillepich et al. 2019).

Sections 4.3.2 and 4.4 focus on the properties of disks identified as described above and interpreted in an ideal disk framework, and Section 4.5 comments on deviations thereof. The mass dependence of the disk fraction implies that disk samples preferentially probe, on average, higher-mass SFGs compared to mass-selected samples.

**4.3.2. Disk turbulence.** The elevated gas velocity dispersion of  $z \sim 2$  disks is well established and implies that they are geometrically thick,<sup>11</sup> as observed in HST imaging (e.g., Elmegreen & Elmegreen 2005, 2017). At the level of beam smearing of high- $z$  observations ( $\sim 4$ – $5$  kpc in natural seeing, and  $\sim 1$ – $2$  kpc using AO), unresolved noncircular motions induced by deviations from axisymmetry of the gravitational potential (e.g., massive clumps, bars) or related to outflows may contribute to the measured  $\sigma_0$  along with local turbulent gas motions. The agreement in  $\sigma_0$  between no-AO and AO data sets (after beam smearing corrections) suggests that potential noncircular motions on  $\gtrsim 1$ -kpc scales have little impact on the measurements. For simplicity,  $\sigma_0$  is usually referred to as turbulence.

Typical dispersions measured in ionized gas are  $\sim 45 \text{ km s}^{-1}$  at  $z \sim 2$ , compared with  $\sim 25 \text{ km s}^{-1}$  at  $z \sim 0$ , varying as  $\sigma_0 \approx 23 + 10z \text{ km s}^{-1}$ ; cold atomic and molecular gas measurements at  $z > 0$  are scarcer but follow a similar evolution albeit with  $\sim 10$ – $15 \text{ km s}^{-1}$  lower dispersions (Übler et al. 2019, and references therein). The  $\sigma_0$  evolution is consistent with that of the galactic gas mass fractions in the framework of marginally stable  $Q \sim 1$  gas-rich disks in which  $v_{\text{rot}}/\sigma_0 \propto f_{\text{gas}}^{-1}$  (e.g., Genzel et al. 2011, Wisnioski et al. 2015, Johnson et al. 2018). At fixed redshift, the scatter in  $\sigma_0$  is substantial, and there is evidence that an important part of it is intrinsic to the galaxy population, but only a weak or no trend is found between  $\sigma_0$  and global galaxy parameters such as  $M_*$ , SFR,  $f_{\text{gas}}$ , mass, and SFR surface densities, or inclination (e.g., Jones et al. 2010, Genzel et al. 2011, Johnson et al. 2018, Übler et al. 2019). Reasons could include limited ranges and uncertainties in properties in a given  $z$  slice, complex dependence of  $\sigma_0$  on more than one parameter, or possible accretion-driven variations on short  $\lesssim 100$ -Myr timescales as recently proposed by Hung et al. (2019) on the basis of FIRE high-resolution numerical simulations. In high-S/N AO data of well-resolved disks, no convincing trend on spatially resolved  $\sim 1$ – $2$ -kpc scales has been seen among  $\sigma_0$ ,  $\Sigma_{\text{SFR}}$ , or even galactocentric radius in the outer disk parts (away from where beam smearing corrections become large and more uncertain; Förster Schreiber et al. 2018, Übler et al. 2019). Given the lack of clear variations, the disk dispersions are thus taken as isotropic and radially constant.

Constraining the physical driver(s) of the gas turbulence at high  $z$  thus still proves difficult. This supersonic turbulence would rapidly decay within a crossing time ( $\sim 10^7$  years) if not continuously powered, and gas accretion from the cosmic web, disk instabilities, and stellar feedback have been proposed as energy sources (see, e.g., summaries by Krumholz et al. 2018,

<sup>11</sup>For a disk of finite intrinsic thickness  $q_0 = (b/a)_0$ , the inclination  $i$  is obtained via  $\sin^2(i) = (1 - q^2)/(1 - q_0^2)$ ; for  $q_0 \sim 0.2$  suggested by axial ratio (and  $v_{\text{rot}}/\sigma_0$ ) distributions at  $z \sim 2$ , the difference in inclination correction compared with  $q_0 = 0$  amounts to  $\sim 2\%$  or less.

Übler et al. 2019). Theoretical models and numerical simulations make different predictions as to the generated amount of gas turbulence (e.g., Aumer et al. 2010; Hopkins et al. 2012; Gatto et al. 2015; Goldbaum et al. 2015a, 2016b). The impact of stellar feedback varies a lot depending on the inclusion/treatment of radiation pressure and the location where feedback is injected into the ISM, although a general conclusion is that it can maintain galaxy-wide turbulence of  $\sim 10\text{--}20\text{ km s}^{-1}$  (and is necessary to reproduce various other galaxy properties and scaling relations). In contrast, gravitational processes including gas transport and instabilities within the disks appear to more easily match the observed range of  $\sigma_0$  under the conditions prevailing at higher redshifts. Plausibly, both forms of drivers are present as in the unified model of Krumholz et al. (2018), with gravity-driving being dominant at earlier cosmic times and a gradual transition to feedback-driving at later times. Further insights will benefit from more direct estimates of cold gas masses in individual galaxies and maps of the gas, SFR, and kinematics at high spatial and velocity resolution.

#### 4.4. Mass and Angular Momentum Budget

Constraints from resolved kinematics have been used to investigate the mass budget and angular momentum of high- $z$  SFGs. At  $z \sim 2$ , it is important to account for the significant contribution of gas to the baryonic component and of the random motions to the dynamical support. In the turbulent disk framework, the circular velocity  $v_c$  (as a measure of the potential well) at radius  $r$  can be computed through  $v_c^2 = v_{\text{rot}}^2 + 2\sigma_0^2(r/R_d)$ . Corrections can be applied for deviations from  $n \approx 1$  profiles (e.g., when a massive bulge is present), and for disk truncation appreciably reducing  $R_e/R_d$  in strongly dispersion-dominated cases  $v_{\text{rot}}/\sigma_0 \lesssim 2$  (Burkert et al. 2016). The enclosed dynamical mass can be estimated, for instance, at  $R_e$ , through  $M_{\text{dyn}} = R_e v_c^2/G$ , where  $G$  is the gravitational constant. This expression is for the spherical approximation; for an infinitely thin Freeman disk, the values would scale down by  $\times 0.8$ . Other methods to derive dynamical masses have been used, including a two-pronged approach applying the rotating disk estimator neglecting pressure support for rotation-dominated disks (i.e., taking  $v_c = v_{\text{rot}}$ ) and through the virial mass estimator with the integrated dispersion for dispersion-dominated sources ( $M_{\text{dyn}} = \alpha R_e \sigma^2/G$  with  $\alpha$  in the range of  $\sim 3\text{--}5$  typically adopted). Forward modeling accounting for disk thickness and turbulence, and fitting simultaneously the velocity and dispersion profiles, self-consistently incorporates all relevant effects, though comparisons with simpler approaches as outlined above indicate overall agreement within  $\sim 0.2$  dex (e.g., Förster Schreiber et al. 2018).

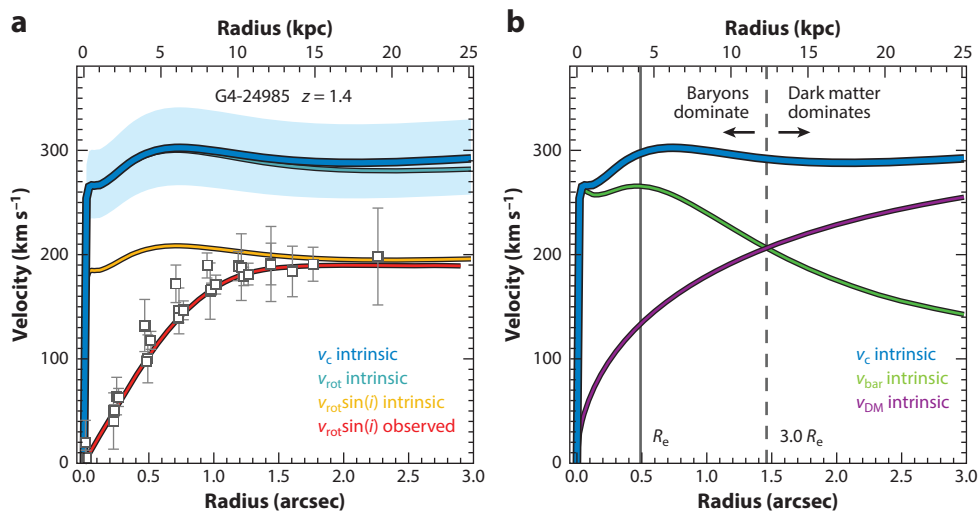
**4.4.1. Dynamical versus baryonic mass estimates.** In the most straightforward approach to constraining the mass budget, global dynamical mass estimates are compared with stellar and gas mass estimates. Studies based on near-IR IFU or slit spectroscopy data generally concur on overall elevated baryonic mass fractions  $f_{\text{bar}} = (M_* + M_{\text{gas}})/M_{\text{dyn}}$ , with large scatter, among  $z \sim 2$  SFGs (e.g., Förster Schreiber et al. 2009, Stott et al. 2016, Price et al. 2019). Modeling deep H $\alpha$  kinematic data over a wide  $M_*$  range across  $z \sim 0.7\text{--}2.7$  from the KMOS<sup>3D</sup> survey in legacy fields providing detailed constraints on galaxy stellar and size properties, Wuyts et al. (2016) found a large rise in  $f_{\text{bar}}$  derived within the central  $1 R_e$  regions from  $\sim 45\%$  at  $z \sim 0.9$  to  $\sim 90\%$  at  $\sim 2.3$  and a modest increase in stellar mass fraction  $f_* = M_*/M_{\text{dyn}}$  from  $\sim 30\%$  to  $\sim 40\%$ , reflecting the  $f_{\text{gas}}$  evolution. The scatter at fixed  $z$  is driven by positive correlations with average stellar and gas mass surface densities at  $< 1 R_e$ . These trends hold when accounting for mass incompleteness or considering only progenitors of  $z = 0 \log(M_*/M_\odot) \geq 10.7$  galaxies and are fairly robust to SED modeling assumptions or gas scaling relations among plausible choices. At  $z \sim 2$ , the  $M_{\text{dyn}}$ -based results thus leave little room for DM mass contribution ( $f_{\text{DM}}$ ) within the  $\sim 1\text{--}2 R_e$  probed by the observations. Noting that the analyses above are for a Chabrier IMF, more bottom-heavy galaxy-wide IMFs such as a Salpeter slope down to  $0.1 M_\odot$  would also be disfavored.

**4.4.2. The Tully–Fisher relation.** The TFR relates measures of galaxy mass to the full potential well; it is thus sensitive to the galactic baryonic content and can place powerful constraints on cosmological disk-formation models (Mo et al. 1998, Dutton et al. 2007, Somerville et al. 2008, McGaugh 2012, among many others). Kinematic studies agree on the existence of a TFR out to  $z \sim 3$  and on the reduced scatter about the relation when accounting for pressure support in the turbulent high- $z$  disks but with mixed outcome as to the evolution, ranging from none over  $z \sim 0$ –1 (e.g., Kassin et al. 2007, Miller et al. 2012, Tiley et al. 2019a) to significant in the sense of lower disk mass at fixed velocity for  $z \sim 0.6$ –3.5 samples (e.g., Cresci et al. 2009, Turner et al. 2017, Übler et al. 2017). The conclusions hinge on several interrelated factors including the adopted form and parameterization of the relation, galaxy sample properties, and choice of reference  $z \sim 0$  TFR (Übler et al. 2017, Tiley et al. 2019a). The range in galaxy parameters spanned by the high- $z$  data sets generally hampers reliable fits to the slope of the relation, such that the evolution is usually quantified in terms of the zero point (ZP) obtained assuming a nonevolving slope. The magnitude of the ZP offsets also depends on whether the relation is constructed from the stellar or the baryonic mass, and from  $v_{\text{rot}}$ ,  $v_{2.2}$ ,  $v_c$ , or  $S_{0.5}$ .

Exploiting the wide  $0.7 < z < 2.7$  baseline from KMOS<sup>3D</sup>, the study by Übler et al. (2017) provided the most self-consistent constraints across cosmic noon based on H $\alpha$  kinematics from IFU observations: identical analysis method, selection through uniform data quality, galaxy parameters, and stringent disk criteria, with resulting  $\log(M_*/M_\odot) > 10$  subsamples well matched in  $M_*$  and location around the MS and mass–size relations. Focusing on (fixed-slope) relations in terms of  $v_c$ , the stellar TFR shows no significant ZP evolution from  $z \sim 2.3$  to  $\sim 0.9$ , whereas the baryonic TFR ZP exhibits a negative evolution (lower  $M_{\text{bar}}$  at fixed  $v_c$ ), and both relations imply similar positive evolution since  $z \sim 0.9$  compared to published  $z \sim 0$  TFRs. In the latter redshift interval, Tiley et al. (2019a) found instead little, if any, evolution in terms of  $M_*$ – $v_{2.2}$  using matched data quality, methods, and samples over  $\log(M_*/M_\odot) \sim 9$ –11 from the KROSS (KMOS Redshift One Spectroscopic Survey) and local SAMI IFU surveys of H $\alpha$ . The persisting discrepancies around  $z \sim 1$  underscore the importance of disentangling observationally and physically driven effects in order to establish firmly the evolution and explore the residuals of the TFR across all of  $0 < z < 3$ .

**4.4.3. Outer disk rotation curves.** Constraining the mass distribution from the shape of the rotation curve (RC) alleviates the uncertainties of global M/L conversions for the baryonic components. This approach is challenging at  $z \sim 2$  with current instrumentation, as tracing emission line kinematics beyond  $\sim 1$ –2  $R_e$  requires very long integrations.

Recent results from very sensitive H $\alpha$  IFU data of a handful of massive  $z \sim 1$ –2.5 star-forming disks extending to  $r \sim 10$ –20 kpc showed significant and symmetric drops in the individual RCs beyond their peak (Genzel et al. 2017). Similar falloffs in stacked H $\alpha$  RCs reaching  $\sim 3.5$ –4  $R_e$  constructed from high-quality IFU data of  $\sim 100$  typical  $\log(M_*/M_\odot) \gtrsim 10$  star-forming disks suggested that this behavior may be widespread at high  $z$ , and on average more pronounced toward higher  $z$  and lower  $v_{\text{rot}}/\sigma_0$  disks (Lang et al. 2017). The outer slopes for these samples are nearly Keplerian and in stark contrast to the flat or rising RCs of local spirals. The falloffs can be naturally explained as the imprint of baryons strongly dominating the mass over the regions probed by the kinematics together with sizeable levels of pressure support maintained well past the RC peak. The more detailed constraints from the individual extended RCs and dispersion profiles, simultaneously fitted with turbulent disk+bulge+DM halo models, yield  $f_{\text{DM}}(R_e) \lesssim 20\%$  with the 3/6 galaxies at  $z > 2$  having the lowest fractions. In turn, the stacked RC is best matched by models with a high fraction of total baryonic disk mass to DM halo mass  $m_d \sim 0.05$ , which is in line with analysis of the angular momenta of a larger sample of  $z \sim 0.8$ –2.6 SFGs (Burkert et al. 2016) and consistent with abundance matching results once accounting for the high  $f_{\text{gas}}$  at



**Figure 6**

Example kinematic modeling of a massive  $z = 1.4$  star-forming galaxy with sensitive H $\alpha$  and CO 3–2 observations, a bulge-to-total mass ratio of  $\sim 0.25$ , and large  $v_{\text{rot}}/\sigma_0 \sim 10$  (from Übler et al. 2018). (a) Rotation curve in observed and intrinsic space. The observed, folded H $\alpha$  and CO velocity curve (white squares) extends to 18 kpc. The best-fit model curve of the circular velocity ( $v_c$ ) in intrinsic space is plotted as a blue line (with blue shading showing the  $1\sigma$  uncertainties of the inclination correction). The other lines show, successively, the effects of pressure support (i.e., the  $v_{\text{rot}}$  curve; cyan line) that are minimal in this galaxy, the effects of inclination ( $v_{\text{rot}} \times \sin(i)$ ; yellow line), and the resulting beam-smeared velocity curve in observed space (red line). (b) The relative contribution to the model  $v_c$  in intrinsic space (blue line) from the baryons and from the DM halo (green and purple lines, respectively). Baryons strongly dominate within the half-light radius while DM starts to dominate the mass budget beyond  $\approx 12$  kpc or  $\approx 3 R_e$  (vertical solid and dashed lines). Abbreviation: DM, dark matter.

high  $z$  ( $M_*/M_{\text{halo}} \sim 0.02$ ; Behroozi et al. 2013b, Moster et al. 2013). Although the exact numbers depend on details of the distribution of the mass components, the implications of low central DM fractions and an overall high disk-to-DM halo mass ratio were shown to be fairly robust to the assumptions within plausible ranges.

These findings spurred a number of follow-up studies, which reported mixed results. For instance, Tiley et al. (2019b) concluded that the averaged H $\alpha$  outer RCs at  $z \sim 0.9$ – $2.5$  are flat or rising, in contrast to Lang et al. (2017). As noted by both groups, stacking methodology matters. Rescaling the data according to the observed radius  $R_{\text{max}}$  and velocity at the RC peak as favored by Lang et al. is more sensitive to the relative concentration of baryons versus DM and, although it relies on detecting a change of slope in the inner velocity gradient, possible biases against recovery of flat or rising RCs were shown to be unlikely. Normalizing instead with the radius and velocity in observed space corresponding to  $3 R_d$  based on  $n = 1$  fits to the morphologies, as favored by Tiley et al., probes the baryonic-to-DM content on more global scales, but any spread in  $R_{\text{max}}/R_d$  from a range in Sérsic indices would smear the peak in the composite RC. Importantly, the stacked samples are different, with the Lang et al. stricter disk selection resulting in higher mass and  $v_{\text{rot}}/\sigma_0$  ranges compared to Tiley et al. Comparisons are therefore not straightforward, but given the large variations in  $f_{\text{DM}}$  with radius, the conclusion of Tiley et al. (2019b) that within  $6 R_d \approx 3.6 R_e$  high- $z$  SFGs are DM-dominated is not necessarily incompatible with them being strongly baryon-dominated within  $1 R_e$ , even when displaying a flat outer RC in high  $v_{\text{rot}}/\sigma_0$  cases (Figure 6 and see, e.g., Übler et al. 2018). Ongoing extensions to several tens of  $z \sim 0.7$ – $2.7$  disks

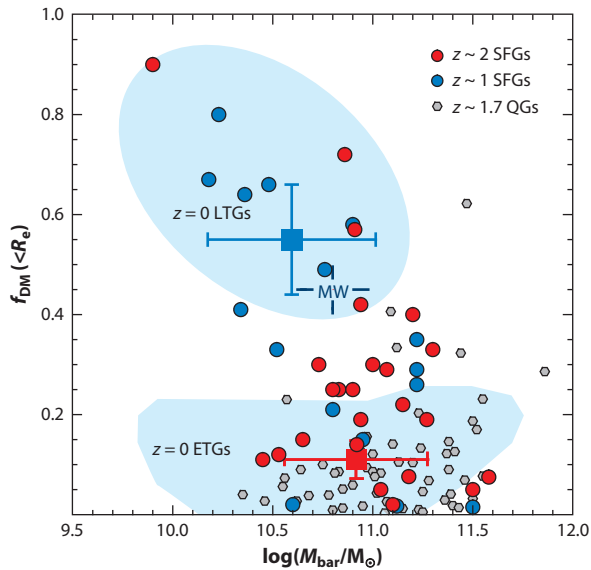
with high-quality individual kinematics data are revealing ever more clearly a dependence with galaxy mass, redshift, and measures of central baryonic mass concentration (Genzel et al. 2020), which were apparent in some previous outer RC studies. These trends echo the findings from the global mass budget (Section 4.4.1), account for the strong baryon dominance to  $r \sim 8$  kpc reported by van Dokkum et al. (2015) for 10 compact massive  $2 < z < 2.5$  SFGs from the declining composite RC inferred from integrated H $\alpha$  line widths and explain the range of conclusions from different outer RC samples.

**4.4.4. Angular momentum.** The connection between  $z \sim 2$  SFGs and their host DM halos has been further explored via measurements of the specific angular momentum  $j_d \propto v_{\text{rot}} \times R_e$ . The inferred halo scale angular momenta are broadly consistent in mean and scatter with the theoretically predicted log-normal distribution of halo spin parameters  $\lambda$ , and the  $j_d$  estimates scale approximately as  $\propto M_\star^{2/3}$  similar to the theoretical  $j_{\text{DM}} \propto M_{\text{DM}}^{2/3}$  (e.g., Burkert et al. 2016, Harrison et al. 2017, Swinbank et al. 2017). The long-made assumption that on average  $j_d/j_{\text{DM}} \sim 1$ , expected if disks retain most of the specific angular momentum acquired by tidal torques in their early formation phases and shown to hold for local spirals (e.g., Fall & Romanowsky 2013), thus appears to be borne out by observations up to  $z \sim 2.5$ . Even in a population-wide sense, this finding is not trivial given that (a) infalling baryons can lose and gain angular momentum from the virial to the disk scale (e.g., Danovich et al. 2015), (b) angular momentum can be efficiently redistributed in and out of galaxies (e.g., Dekel et al. 2009, Übler et al. 2014, Bournaud 2016), and (c)  $<15\%$  of the cosmically available baryons are incorporated into the stellar component of galaxies ( $m_{d,\star} \approx 0.02$ ; e.g., Behroozi et al. 2013b, Moster et al. 2013) and at most 30% when including gas at  $z \sim 2$  (Burkert et al. 2016). Although simulations and semianalytical models are now able to produce realistic distributions of galaxy size, specific angular momentum, and stellar-to-halo mass ratios, there is no consensus yet on how various mechanisms interact to preserve net angular momentum (e.g., Genel et al. 2015, Zavala et al. 2016, Jiang et al. 2019).

The observed scatter in specific angular momenta has an intrinsic component at all epochs. The low  $j_d$  tail encompasses massive early-type spirals and ellipticals at  $z \sim 0$ , and more dispersion-dominated (and unstable) as well as more centrally concentrated star-forming disks in the high- $z$  samples. These correlations reflect an underlying mass–spin–morphology relation that likely underpins the Hubble sequence (e.g., Obreschkow & Glazebrook 2014) and may suggest that disk settling with cosmic time (see Section 4.3) is driven at least in part by angular momentum evolution (e.g., Swinbank et al. 2017). Noting that central mass concentration increases with galaxy mass and thus disk maturity (see Sections 4.1 and 4.3), Burkert et al. (2016) found in their  $z \sim 0.7$ – $2.6$  sample of star-forming disks a significantly weaker anticorrelation between  $\lambda \times (j_d/j_{\text{DM}})$  and central stellar surface density  $\Sigma_{1\text{kpc}}$  than with the galaxy-averaged  $\Sigma_\star$  and  $\Sigma_{\text{gas}}$ ; this result suggests that accumulation of (low angular momentum) material in the galaxy centers may be decoupled from the processes that set the global disk scale and angular momentum.

**4.4.5. Interpreting the mass and angular momentum budget.** A consistent picture appears to be emerging in which  $\log(M_\star/M_\odot) \gtrsim 10$  star-forming disks at  $z \sim 2$  are typically baryon rich on the physical scales probed by the data, with lower DM mass contribution at  $<1 R_e$  among more massive, centrally denser, and higher- $z$  galaxies. These trends are qualitatively reproduced by matched populations (in  $M_\star$ , SFR, and  $R_e$ ) in recent cosmological numerical simulations (e.g., Wuyts et al. 2016, Swinbank et al. 2017, Lovell et al. 2018, Teklu et al. 2018). Although the role of the evolving gas content can be easily understood, the trends in mass fractions and ZP of the TFRs point to differences in the relative distribution of baryons versus DM on galactic scales





**Figure 7**

Location of 40 massive  $z \sim 0.7\text{--}2.7$  SFGs in a diagram of the baryonic mass versus inner ( $<R_e$ ) DM mass fraction. The  $f_{\text{DM}}(R_e)$  is derived from kinematic modeling (as shown in **Figure 6**) of high-quality H $\alpha$  and CO rotation curves (and velocity dispersion profiles) extending out to radii in the range of 10–20 kpc from the samples of Genzel et al. (2017, 2020) and Übler et al. (2018) (red and blue circles for  $z \sim 2$  and  $z \sim 1$  disks, respectively), with typical uncertainties of  $\pm 0.1\text{--}0.15$  for individual  $f_{\text{DM}}(R_e)$  estimates. Median  $f_{\text{DM}}(R_e)$  from modeling the inner region kinematics of larger SFG samples at  $z \sim 2.3$  (red square) and  $z \sim 0.9$  (blue square) from Wuyts et al. (2016) are overplotted, as well as results from quiescent galaxies at  $z \sim 1.7$  based on stellar velocity dispersions presented by Mendel et al. (2020). Approximate areas occupied by  $z = 0$  massive ETGs and LTGs (from Cappellari et al. 2013 and Martinsson et al. 2013) and the Milky Way (Bland-Hawthorn & Gerhard 2016) are indicated with blue shading and the cross-hair symbol. Abbreviations: DM, dark matter; ETGs, early-type galaxies; LTGs, late-type galaxies; QGs, quiescent galaxies; SFG, star-forming galaxy.

among SFGs of comparable masses at different cosmic epochs. These have been ascribed to a combination of (a) disk-size growth at fixed mass, where the baryons at lower  $z$  extend further into the surrounding DM halo; (b) evolving DM halo profiles, with shallower inner profiles at earlier times (e.g., less concentrated, or more cored; Martizzi et al. 2012, Dutton & Macciò 2014); and (c) efficient dissipative processes in the gas-rich environments at higher  $z$  concentrating baryons in the central regions (e.g., Dekel & Burkert 2014, Zolotov et al. 2015). The weaker coupling between  $\lambda \times (j_d/j_{\text{DM}})$  and  $\Sigma_{\text{1kpc}}$  versus  $\Sigma_*$  and  $\Sigma_{\text{gas}}$  (Burkert et al. 2016) would naturally result from inward radial gas transport through the latter processes.

The kinematically inferred low  $f_{\text{DM}}(R_e)$  of massive  $z \sim 2$  star-forming disks overlaps with the range for  $z \sim 0$  massive early-type galaxies, which are their likely descendants. This echoes evolutionary links based, for instance, on the stellar sizes and central mass densities (Sections 3.4 and 4.1) and on the fossil record (e.g., Cappellari 2016). Current  $z \sim 2$  results are summarized in **Figure 7** (following Genzel et al. 2017, Übler et al. 2018), which incorporates an expanded sample of individually modeled RCs (Genzel et al. 2020). The inverse dependence of  $f_{\text{DM}}(R_e)$  with galaxy mass (and mass concentration) is reminiscent of the trends observed in local disks captured by the unified picture of Courteau & Dutton (2015). In this picture, the outward moving transition from

a baryon-dominated center to DM-dominated outskirts (relative to a fiducial  $2.2 R_d \approx 1.3 R_e$  for  $n \sim 1$ ) in more massive systems can be tied to the disk size—circular velocity—stellar mass scaling relations, with scatter in  $f_{\text{DM}}$  attributed at least in part to size variations at fixed  $v_c$ . The differentiation in  $f_{\text{DM}}(R_e)$  at fixed mass seen between local early- and late-type galaxies (e.g., Courteau & Dutton 2015) also is present at cosmic noon (e.g., Mendel et al. 2020), which plausibly is rooted in the same processes that lead to the distinction between SFGs and quiescent galaxies in their stellar structural properties (e.g., Lang et al. 2014, van der Wel et al. 2014b).

By necessity, the kinematics of  $z \sim 2$  star-forming disks are interpreted in a simplified axisymmetric framework with circular orbital motions. Observations of local disks indicate frequent deviations from this simple assumption caused by, for instance, interactions, warps and other such dynamical instabilities, and radial motions, which are difficult to constrain at the typical resolution and S/N of high- $z$  data. Signatures of the latter are discussed in the next section. Bending instabilities, such as warping or buckling, may be expected to be suppressed or short-lived in gas-rich turbulent disks (see the discussion by Genzel et al. 2017). Minor interaction-induced perturbations may not be ruled out, but the exclusion of galaxies with potential companions wherever possible should reduce their role in disk samples. The validity of the disk framework for low-mass objects may be called into question in light of the increasing prevalence of prolate and/or triaxial systems toward lower masses and higher  $z$  suggested by statistical studies of the morphological axial ratios (Section 4.2), although this may be a lesser concern when applying the morphokinematic disk criteria (notably the requirement of kinematic and morphological major axes alignment; e.g., Franx et al. 1991). Furthermore, the generally small and spatially flat residuals in velocity and dispersion maps compared with axisymmetric disk models (resulting from the disk selection criteria employed in most studies) suggest that the potential impact of minor merger perturbations and prolateness/triaxiality is small in the kinematic analyses.

Cosmological simulations are useful to assess the validity of assumptions made in interpreting data under more realistic high-redshift environments. For instance, Wellons et al. (2020) quantified the effects of pressure gradients, noncircular motions, and asphericity in the gravitational potential on the rotation velocity and  $M_{\text{dyn}}$  estimates in high-resolution FIRE numerical simulations of a range of massive turbulent disks at  $1 \lesssim z \lesssim 3$  based on the mass particle distributions, finding that pressure support usually makes the largest impact and that when it is accounted for, kinematically derived mass profiles agree with the true enclosed mass within  $\sim 10\%$  typically over the  $r \lesssim 10\text{--}20\text{-kpc}$  range explored. Realistically replicating observables and empirical methodologies from simulations is not straightforward and subject to various limitations (numerical resolution, subgrid recipes, radiative transfer, etc.) but the informative potential is motivating a growing number of investigations to improve on both the simulation ingredients and data interpretation.

#### 4.5. Deviations from Disk Rotation

In kinematics data of  $z \sim 2$  SFGs, modest deviations from regular patterns are seen in a subset of galaxies otherwise consistent with global disk rotation. Interpreting such kinematic asymmetries is not trivial in high- $z$  data but can plausibly be ascribed to internally or externally induced in-disk inflows or to outflows. As is discussed further in the next section, the emission associated with the latter has a broad velocity distribution but low amplitude and should have a negligible effect on the single-component line profile fitting that is usually performed in extracting 2D kinematic maps, unless the outflow is particularly strong (Förster Schreiber et al. 2018).<sup>12</sup> The

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<sup>12</sup>In integrated or slit spectra, the presence of outflows can inflate the line widths and lead to overestimates of  $M_{\text{dyn}}$  based thereupon (Wisnioski et al. 2018).

gas-rich environments prevailing at  $z \sim 2$  are expected to naturally promote perturbations in the marginally stable  $Q \sim 1$  gas-rich disks, with fragmentation and efficient transport of material toward the center via, e.g., inward gas streaming and clump migration while the gas reservoirs of galaxies are continuously replenished by anisotropic accretion via streams and minor mergers. Material streaming inward can induce twists in the isovelocity contours and off-center peaks in the dispersion map at the level of a few tens of  $\text{km s}^{-1}$  [ $v_{\text{rad}} \sim 2 \times \sigma_0 \times (\sigma_0/v_{\text{rot}})$ ], and differences in magnitude and orientation of the angular momentum between inner and outer regions are expected to remain even after bulge growth slows (e.g., van der Kruit & Allen 1978, Cappellari 2016). Characteristic signatures thereof are indeed identified in some of the  $z \sim 2$  galaxies with high-S/N, high-resolution AO-assisted observations, along with morphologically identified bar- and spiral-like features in some cases (e.g., Genzel et al. 2006, Law et al. 2012a, Förster Schreiber et al. 2018). These processes may be important in bulge and SMBH buildup and concurrent disk growth through angular momentum redistribution (e.g., Bournaud et al. 2014, Dekel & Burkert 2014, Zolotov et al. 2015). The ubiquity of dense stellar cores and large nuclear concentrations of cold gas in massive  $z \sim 2$  SFGs, and the weak correlation of disk-scale angular momentum with  $\Sigma_{1\text{kpc}}$ , calls for further sensitive and high-resolution kinematics data to more directly assess the role of radial gas transport at cosmic noon versus alternative scenarios such as inside-out galaxy growth (van Dokkum et al. 2015, Lilly & Carollo 2016).

Strong kinematic distortions are generally interpreted as indicative of major merging. Assuming very simplistically that all SFGs not identified as rotation-dominated disks according to the classification scheme of Section 4.3 are major mergers, the fractions thereof would be  $\sim 25\text{--}40\%$  at  $z \sim 1\text{--}2.5$  and  $\log(M_*/M_\odot) \gtrsim 10.5$  (depending on the exact set of criteria and  $z$ ; Wisnioski et al. 2019). These fractions are comparable with those inferred from morphologies and close pair statistics in a similar mass range (e.g., López-Sanjuan et al. 2013, Conselice 2014, Rodrigues et al. 2018), and they are consistent with cosmological simulations (e.g., Genel et al. 2008, Snyder et al. 2017). Taking the major merger fraction as  $1 - f_{\text{disk}}$  is obviously an oversimplification. Shallower data are more biased toward high surface brightness regions that may partly and unevenly sample the full system and result in apparently disturbed kinematics, exacerbated for clumpy morphologies (see Förster Schreiber et al. 2018, their figure 9). A poorly resolved, low  $v_{\text{rot}}/\sigma_0$  object does not necessarily imply it is a major merger (Newman et al. 2013). More face-on disks may also be more difficult to identify because of the resulting small projected velocity gradient, reduced central dispersion peak, and possible clumps biasing the determination of morphological position angle and center (Wuyts et al. 2016). As is the case for morphologies, kinematic signatures of interactions depend strongly on the system’s orbital configuration, the properties and mass ratio of the progenitor galaxies, the sightline, and the merger stage (e.g., Bellocchi et al. 2016, Simons et al. 2019), introducing uncertainties in identifying major mergers. Despite these uncertainties, the kinematic mix among  $\log(M_*/M_\odot) \gtrsim 10$  SFGs at  $z \sim 2$  suggests a dominant timescale in a disk configuration, which is consistent with several other lines of evidence from scaling relations of galaxy properties pointing to the importance of processes other than major merger events in building up stellar mass and structure.

#### 4.6. Galactic-Scale Outflows

Galactic winds are thought to play a critical role in the evolution of galaxies by regulating their mass buildup, size growth, star formation, and chemical enrichment; by redistributing angular momentum; and by mediating the relationship between SMBHs and their host galaxies. Stellar feedback at low galaxy masses expels gas from the shallow potential wells, reducing the reservoirs

**QSO:** quasi-stellar object (quasar)

**FWHM:** full width at half maximum

fueling star formation and keeping a low galactic metal content (e.g., Dekel & Silk 1986, Davé et al. 2017). Above the Schechter mass  $\log(M_*/M_\odot) \sim 10.7$  [or  $\log(M_{\text{halo}}/M_\odot) \gtrsim 12$ ], accreting SMBHs are believed important in suppressing star formation through ejective QSO (quasi-stellar object) mode feedback driving powerful winds during high Eddington ratio phases that sweep gas out of the host galaxy and subsequent preventive radio mode feedback maintaining galaxies quenched by depositing kinetic energy into the halo that inhibits cooling alongside virial shocks (see review by Fabian 2012).

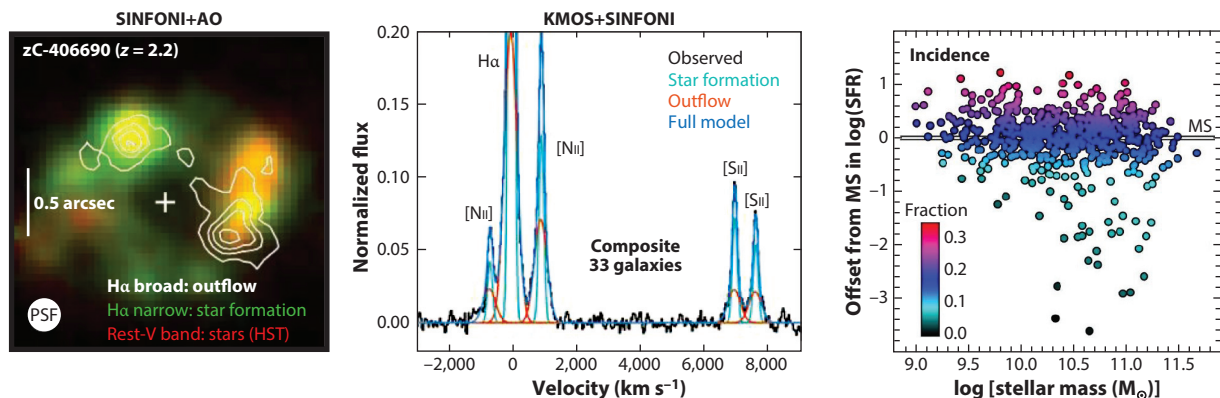
Galactic winds should be particularly effective at the peak epoch of star formation and SMBH accretion rates. The most easily accessible diagnostics at high  $z$  are rest-UV to optical interstellar (IS) absorption features and nebular emission lines, which probe neutral and warm ionized gas phases. Winds are identified through their kinematic imprint: centroid velocity offsets and broad wings of blueshifted IS absorption relative to the systemic redshift (e.g., from stellar features), redshifted Ly $\alpha$  profile (accessible at  $z > 2$ ), and broad line emission typically underneath a narrower component arising from star-forming regions in the galaxy.<sup>13</sup> Alongside understanding the physical drivers of outflows, a major goal of studies at high  $z$  is to assess their role in galaxy evolution. To this aim, population-wide censuses are essential to reveal the global and time-averaged impact of outflows, reducing biases from selection on properties that would be closely linked to the strongest activity. Such censuses have been greatly facilitated with the advent of optical and near-IR MOS and IFU instruments. IFU observations have proven particularly powerful, by (a) locating the launching sites and constraining the extent of outflowing gas, and (b) facilitating the separation between large-scale gravitationally driven and outflow-related motions that both contribute to velocity broadening in integrated spectra.

**4.6.1. Outflow demographics at  $z \sim 2$ .** Much like in the nearby Universe (e.g., Veilleux et al. 2005), SF- and AGN-driven winds at high  $z$  are distinguished on the basis of their velocities, spatial origin, and excitation properties (**Figure 8**). SF-driven outflows with velocities up to several  $100 \text{ km s}^{-1}$  are detected from shifted IS absorption and Ly $\alpha$  emission (e.g., Shapley et al. 2003, Weiner et al. 2009) and from broad FWHM  $\sim 400\text{--}500 \text{ km s}^{-1}$  emission in H $\alpha$ , [NII], and [SII] on galactic and subgalactic scales (e.g., Genzel et al. 2011; Newman et al. 2012a,b). In deep  $\sim 1\text{--}2$ -kpc-resolution IFU+AO observations, the broad emission arises from extended regions across the galaxies and is often enhanced near bright star-forming clumps. The line excitation properties are consistent with dominant photoionization by young stars and possibly modest contribution by shocks. Faster AGN-driven winds with velocities up to a few  $1,000 \text{ km s}^{-1}$  in  $z \sim 2$  galaxies hosting luminous  $\log(L_{\text{AGN}}/\text{erg s}^{-1}) > 45$  AGNs are identified from various rest-UV/optical tracers (see reviews by Fabian 2012 and Heckman & Best 2014). In near-IR observations, spatially extended broad emission with typical FWHM  $\sim 1,000\text{--}2,000 \text{ km s}^{-1}$  is detected in Balmer as well as forbidden [NII], [SII], and [OIII] emission (precluding significant contribution from high-density broad-line region gas; Nesvadba et al. 2008, Cano-Díaz et al. 2012, Genzel et al. 2014, Cresci et al. 2015). It typically originates from the center of galaxies and can extend over  $5\text{--}10 \text{ kpc}$  for luminous QSOs, and both broad and narrow component line ratios indicate high excitation.

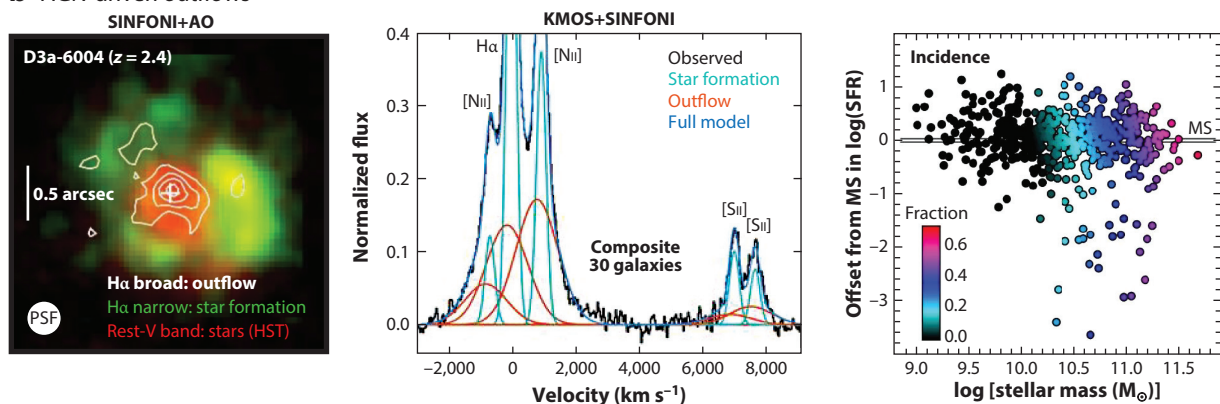
SF- and AGN-driven winds follow distinct demographic trends, most clearly revealed in a recent near-IR IFU study of a sample of  $\sim 600$  primarily mass-selected galaxies at  $0.6 < z < 2.7$ , covering a wide range in both mass and star-formation activity levels [ $9.0 < \log(M_*/M_\odot) < 11.7$  and  $-3.6 < \Delta\text{MS} < 1.2$ ; see **Figure 8**; Förster Schreiber et al. 2019]. SF-driven outflows are

<sup>13</sup>Although common, a blueshift for emission line tracers is not necessary; depending on outflow geometry and extinction by dust in the galaxy, backside receding gas can be detected.

## a Star-formation-driven outflows



## b AGN-driven outflows



**Figure 8**

Distinction between (a) star-formation-driven and (b) AGN-driven ionized gas winds at  $z \sim 1-3$ , in terms of spatial, spectral, and demographic properties (left to right). The maps show two galaxies observed with SINFONI+AO and HST at FWHM resolution of  $\sim 1.8$  kpc, with the stellar rest-optical light and narrow H $\alpha$  emission from star-forming regions shown in red and green colors, and the broad H $\alpha$ + $[\text{NII}]$  outflow emission shown in white contours. The composite spectra are constructed from near-IR IFU observations with KMOS and SINFONI, where the continuum was subtracted and large-scale orbital motions were removed based on the narrow H $\alpha$  velocity maps prior to stacking. The demographic trends are based on the fraction of individual objects exhibiting the spectral signatures of star-formation- and AGN-driven outflows. Figure based on data published by Newman et al. (2012a) and Förster Schreiber et al. (2014, 2019). Abbreviations: AGN, active galactic nucleus; AO, adaptive optics; FWHM, full width at half maximum; HST, *Hubble Space Telescope*; KMOS, K-band Multi-object Spectrograph; MS, main sequence; SINFONI, Spectrograph for Integral Field Observations in the Near Infrared.

observed at all masses, with an incidence that correlates mainly with star-formation properties, and more specifically the MS offset, specific and absolute SFR, and  $\Sigma_{\text{SFR}}$ . In contrast, the incidence of AGN-driven outflows (identified based on the combination of rest-optical line profiles and multiwavelength AGN diagnostics) depends strongly on stellar mass and measures of central stellar mass concentration, irrespective of the level and intensity of star-formation activity. The strong differentiation in resulting stacked spectra and decoupling in incidence trends suggest little cross-contamination between dominant SF- and AGN-driven winds.

Several aspects are important in interpreting demographics and comparing between studies. In both nebular emission and IS absorption tracers, the ability to detect an outflow depends on

the strength of the wind signature (along with S/N and spectral resolution of the data), such that the trends in incidence partly reflect trends in outflow properties. Slower or weaker winds are more difficult to detect, especially in nebular lines because of the blending with emission from star formation, which underscores the advantage of IFU data in enabling the removal of large-scale orbital motions of the host galaxy. IS absorption features integrate along the line of sight, are sensitive to outflowing material over a wider range and to lower gas densities, and probe material over physical scales up to tens of kiloparsecs; hence, they plausibly average over longer timescales. In turn, the emission line technique is more sensitive to denser material closer to the launching sites (as evidenced by high-resolution IFU maps), making it a more instantaneous probe of outflows. Differences in spatial scales probed, along with possibly less collimated winds in puffier higher- $z$  galaxies (Law et al. 2012c), may lead to different dependences with galaxy inclination. Given the trends with galaxy properties discussed below, results will also depend on the sample selection and parameter space coverage.

For SF-driven winds, qualitatively similar trends in incidence, or in strength and velocity width of the wind signature, with measures of star-formation activity have been found in many other studies. Quantitatively, there are some notable differences especially between studies using different techniques. For instance, among the full near-IR sample studied by Förster Schreiber et al. (2019), the global fraction of SF-driven outflows is  $\sim 11\%$  and reaches  $\sim 25\text{--}30\%$  at  $\Delta\text{MS} \gtrsim 0.5$  dex or  $\Sigma_{\text{SFR}} \gtrsim 5\text{--}10 \text{ M}_{\odot} \text{ year}^{-1} \text{ kpc}^{-2}$ ; no strong trend with galaxy inclination is found (see also, e.g., Newman et al. 2012b). These fractions are lower than the  $\gtrsim 50\%$  based on the occurrence of blueshifted IS absorption lines after accounting for anisotropic geometry (trends with inclination in these studies are stronger) and clumpiness of the outflowing gas (e.g., Weiner et al. 2009, Kornei et al. 2012). These differences in incidence are consistent with different physical scales and timescales of outflows probed by each technique and possibly reflect differences in sample selection (mass- versus UV-selected). The interdependence among SFR,  $M_*$ , and  $z$ , and the choice of criteria employed to identify/exclude AGNs, may introduce residual trends with  $M_*$  (e.g., Weiner et al. 2009, Freeman et al. 2019, Swinbank et al. 2019). In general, SF-driven outflows appear to become most prominent above  $\Sigma_{\text{SFR}} \gtrsim 0.5\text{--}1 \text{ M}_{\odot} \text{ year}^{-1}$ , suggesting a higher threshold for wind breakout that may be related to the geometrically thicker, denser, and more turbulent ISM in high- $z$  galaxies (e.g., Newman et al. 2012b, Davies et al. 2019).

Turning to AGN-driven outflows, near-IR studies considering the full galaxy population highlighted a steep increase in incidence toward higher masses, especially above  $\log(M_*/M_{\odot}) \sim 10.7$  (e.g., Genzel et al. 2014, Förster Schreiber et al. 2019, Leung et al. 2019), qualitatively tracking the behavior for AGN fractions identified in flux-limited surveys (e.g., in X-rays; see Section 3.7). The tight positive trends with measures of central stellar mass concentration (such as  $\Sigma_*$  and  $\Sigma_{1\text{kpc}}$ ; Förster Schreiber et al. 2019, see also Wisnioski et al. 2018) may not be surprising in light of the observed correlations between these properties and  $M_*$ , and the elevated fraction of AGNs among compact SFGs in  $\log(M_*/M_{\odot}) \gtrsim 10$  samples (e.g., Barro et al. 2014a, Rangel et al. 2014, Kocevski et al. 2017). Among AGNs, the frequency and/or velocities of outflows increases with  $L_{\text{AGN}}$ , which is consistent with simple expectations in which more luminous AGNs can drive more powerful winds (e.g., Harrison et al. 2012, 2016; Brusa et al. 2015; Leung et al. 2019). In terms of absolute fractions, most studies imply fairly large outflow fractions among AGNs in the range of  $\sim 50\text{--}75\%$ , except for that by Leung et al. (2019), who report a lower 17% incidence (but similar trends with galaxy and AGN properties). Leung et al. (2019) noted in their sample that the outflow fraction among AGNs is roughly constant with  $M_*$ , and so is  $L_{\text{AGN}}$ , concluding that AGNs can drive an outflow with equal probability irrespective of the host-galaxy mass and that observed trends among the full galaxy population reflect those in AGN luminosity coupled with a (mass-independent) Eddington ratio distribution. Detailed comparisons between all studies



are still hampered by the heterogeneity in sample size, selection, AGN and outflow identification, data sets (IFU versus slit spectra), and S/N but broadly support the picture that more powerful AGN-driven outflows become common in the most massive galaxies.

**4.6.2. Properties of star-formation-driven winds.** Outflow velocity, mass, momentum, and energy properties across the galaxy population are essential to constrain the physical drivers of winds and impact of stellar feedback on the evolution of galaxies (e.g., Dutton & van den Bosch 2009, Davé et al. 2017; see the sidebar titled Properties of Outflows and Their Power Sources). By necessity, many simplifications are involved in interpreting the data of high- $z$  galaxies, usually in the context of idealized models consisting of a conical or spherical geometry, with the velocity distribution, extent, and gas mass being the main parameters. In the theoretical framework, the outflow velocity is generally assumed to be close to the escape velocity, such that  $v_{\text{out}} \propto v_c$ . Energy and momentum conservation arguments lead to mass loading factors  $\eta \propto v_c^{-2}$  for energy-driven winds and  $\eta \propto v_c^{-1}$  for momentum-driven winds, where  $\eta = \dot{M}_{\text{out}}/\text{SFR}$  and  $\dot{M}_{\text{out}}$  is the mass outflow rate (e.g., Murray et al. 2005, Oppenheimer & Davé 2006). With  $v_c \propto M_{\text{bar}}^{1/3}$  or  $\propto M_{\star}^{1/3}$  (e.g., Mo et al. 1998) and  $M_{\star} \propto \text{SFR}$  on the MS,  $\eta$  is expected to follow a power law with stellar mass and SFR with index  $-2/3$  or  $-1/3$  for energy- or momentum-driven winds, respectively. There is a strong predicted differentiation in  $v_{\text{out}} \propto \Sigma_{\text{SFR}}^{\alpha}$ , with  $\alpha \sim 0.1$  for energy-driven winds and  $\alpha \sim 2$  for momentum-driven winds (e.g., Strickland et al. 2004; Murray et al. 2005, 2011). These scalings are consistent with recent cosmological zoom simulations of high- $z$  galaxies (e.g., Hopkins et al. 2012, Muratov et al. 2015).

Measurements of  $v_{\text{out}}$  rely on parameterizations of the observed line profiles, and various approaches have been followed depending on the diagnostic and the data set (e.g., based on the FWHM or full width at zero power of emission tracers, centroid or fractional absolute or cumulative absorption for IS lines). Despite these differences, studies generally find results consistent with  $v_{\text{out}}/v_c$  ratios within a factor of a few around unity, with a flat or slightly sublinear  $v_{\text{out}}-v_c$  trend (e.g., Weiner et al. 2009, Erb et al. 2012, Davies et al. 2019, Förster Schreiber et al. 2019, Swinbank et al. 2019). Given that the escape velocity  $v_{\text{esc}} \approx 3 v_c$  for realistic halo mass distributions

## PROPERTIES OF OUTFLOWS AND THEIR POWER SOURCES

- $v_{\text{out}}$ : Outflow velocity, estimated from the profile of the emission or absorption line wind tracer. Methods based on the centroid or median velocity shift relative to the systemic value probe the bulk of outflowing gas. Other methods, including the line width at a fraction of peak amplitude or of cumulative flux/absorption, probe the wind velocity distribution.
- $R_{\text{out}}$ : Outflow radial extent, most easily and directly obtained from maps of emission tracing the wind gas.
- $n_{\text{e,out}}, N_{\text{H}}$ : Local electron density and hydrogen column density of the outflowing gas.
- $\dot{M}_{\text{out}}$ : Mass of outflowing material; it is  $\propto L_{\text{br}} n_{\text{e,out}}^{-1}$  for ionized gas emission tracers, where  $L_{\text{br}}$  is the luminosity of the broad outflow-related line component, and  $\propto N_{\text{H}} R_{\text{out}} v_{\text{out}}$  for IS absorption tracers.
- $\dot{M}_{\text{out}}$ : Mass outflow rate, estimated as  $\dot{M}_{\text{out}} \times (v_{\text{out}}/R_{\text{out}})$ .
- $\eta$ : Mass loading factor, the ratio  $\dot{M}_{\text{out}}/\text{SFR}$ .
- $\dot{E}_{\text{out}}, \dot{p}_{\text{out}}$ : Outflow energy and momentum rates,  $\frac{1}{2}\dot{M}_{\text{out}} v_{\text{out}}^2$  and  $\dot{M}_{\text{out}} v_{\text{out}}$ , respectively; the ratio with stellar or AGN luminosity  $L$  and momentum rate  $L/c$  constrains the wind power source and driving mechanism.
- $L_{\text{SFR}}, L_{\text{AGN}}$ : Bolometric luminosity of the stellar population, dominated by young massive stars such that  $L_{\text{SFR}} \sim 10^{10} \text{ SFR}$ , and from the AGN, estimated from, e.g., X-ray, SED modeling, or nebular line emission.

(Binney & Tremaine 2008), these results indicate that the higher-velocity tail of the outflowing gas may escape from galaxies, and more easily so in lower-mass galaxies, but that recycling may not be negligible.

The broad H $\alpha$  emission is well suited to estimate mass outflow rates and energetics. Assuming case B recombination and an electron temperature  $T_e = 10^4$  K, the mass of ionized gas in the outflow can be estimated via  $M_{\text{out}} \propto L_{\text{br},0}(\text{H}\alpha) n_{\text{e,out}}^{-1}$ , where  $L_{\text{br},0}(\text{H}\alpha)$  is the intrinsic luminosity in the broad emission component and  $n_{\text{e,out}}$  is the local electron density, from which the mass outflow rate can be computed as  $\dot{M}_{\text{out}} = M_{\text{out}} (v_{\text{out}}/R_{\text{out}})$ , where  $v_{\text{out}}$  and  $R_{\text{out}}$  are the outflow velocity and extent, respectively (e.g., Genzel et al. 2011, Newman et al. 2012a). Calculations typically assume H dominates the mass, and a 36% mass correction for He is applied. Based on these relationships,  $\eta$  estimates in the range of  $\sim 0.1$  up to above unity were derived on galactic and subgalactic scales (e.g., Genzel et al. 2011; Newman et al. 2012a,b; Davies et al. 2019; Förster Schreiber et al. 2019; Freeman et al. 2019; Swinbank et al. 2019). Although details in assumptions and samples vary among studies, a key difference lies in the adopted value for  $n_{\text{e,out}}$ , which ranges between  $\sim 50$  and  $\sim 400$   $\text{cm}^{-3}$ . No significant or mildly positive trends of  $\eta$  with stellar mass were found in the larger samples spanning  $9.0 \lesssim \log(M_*/M_\odot) \lesssim 11$ , assuming a constant  $n_{\text{e,out}}$  (e.g., Freeman et al. 2019, Förster Schreiber et al. 2019, Swinbank et al. 2019). Estimates based on IS absorption features depend more importantly on geometrical factors, as the absorption strength traces the gas columns along the line of sight, with  $M_{\text{out}} \propto C_\Omega C_f N_{\text{H}} R_{\text{out}} v_{\text{out}}$  (where  $C_\Omega$  and  $C_f$  are the angular and clumpiness covering fractions, respectively, and  $N_{\text{H}}$  is the column density), as well as ISM chemistry and radiative transfer effects on the line profiles (e.g., Veilleux et al. 2005). Under reasonable assumptions,  $\eta \sim 1$  was found in outflow studies of SFG samples employing this technique (e.g., Weiner et al. 2009, Kornei et al. 2012). Comparing wind momentum and energy rate,  $\dot{p}_{\text{out}} = \dot{M}_{\text{out}} v_{\text{out}}$  and  $\dot{E}_{\text{out}} = 0.5 \dot{M}_{\text{out}} v_{\text{out}}^2$ , to the momentum and luminosity input from star formation,  $\dot{p}_{\text{rad}} = L_{\text{SFR}}/c$  and  $L_{\text{SFR}}$ , most results are in the ranges  $\dot{p}_{\text{out}}/\dot{p}_{\text{rad}} \sim 0.1$ – $1$  and  $\dot{E}_{\text{out}}/L_{\text{SFR}} \sim 10^{-4}$ – $10^{-3}$  and thus are consistent with momentum-driven winds powered by the star-formation activity (e.g., Genzel et al. 2011, Newman et al. 2012a, Förster Schreiber et al. 2019; but see Swinbank et al. 2019 for a contrasting result). Trends of  $v_{\text{out}} \propto \Sigma_{\text{SFR}}^{0.2-0.4}$  found in other studies from emission and IS absorption diagnostics suggest a possible mixture of momentum and energy driving (e.g., Weiner et al. 2009, Davies et al. 2019).

Estimates of  $n_{\text{e,out}}$  through the density-sensitive but weak [SiII]  $\lambda\lambda 6716, 6731$  doublet have long been hampered by S/N limitations. A first reliable broad+narrow Gaussian decomposition in very high-S/N stacked spectra (**Figure 8**; Förster Schreiber et al. 2019) yielded  $n_{\text{e,out}} \sim 380$   $\text{cm}^{-3}$  for the outflowing gas (and  $n_{\text{e,HII}} \sim 75$   $\text{cm}^{-3}$  for the narrow star-formation-dominated component). These results suggest the outflowing gas may experience compression, supported by enhanced broad component [NII]/H $\alpha$  ratios in the same stacks as well as from multiple diagnostic (total) line ratios for some bright individual star-forming clumps (Newman et al. 2012a) and samples with multiband near-IR spectra (Freeman et al. 2019). Different outflow gas densities adopted in the literature can account for many of the differences in  $\eta$  and other outflow properties, as the observables themselves (broad-to-narrow H $\alpha$  flux ratio,  $v_{\text{out}}$ , and  $R_{\text{out}}$ ) are fairly comparable. With the new evidence suggesting higher  $n_{\text{e,out}}$ , a lower range of  $\eta$  ( $< 1$ ) in the warm ionized gas phase would seem favored.

Taken at face value, low mass loading factors and the lack of evidence for an anticorrelation with galaxy stellar mass are in tension with theoretical expectations and numerical simulations, for which  $\eta \gtrsim 0.3$ – $1$  at  $\log(M_*/M_\odot) \sim 10$  and  $\eta \propto M_*^\alpha$  with  $\alpha$  in the range of  $-0.35$  to  $-0.8$  (e.g., Lilly et al. 2013, Muratov et al. 2015). The tension is compounded by the  $v_{\text{out}}$  results suggesting that some fraction of the outflowing gas may not be able to escape from the galaxy’s potential (reducing the effective  $\eta$ ). Notwithstanding all the simplifications made and large uncertainties,

the mass outflow, momentum, and energy rates discussed above almost certainly represent lower limits as they miss potentially important wind phases, as seen in local starburst galaxies in which the neutral and cold molecular phases dominate the mass and the hot phase dominates the energetics (e.g., Veilleux et al. 2005, Heckman & Thompson 2017).

**4.6.3. Properties of AGN-driven winds.** The role of ejective AGN feedback through QSO mode has been much debated in the recent observational literature. At high  $z$ , though individual luminous AGNs may drive sufficiently massive and energetic outflows to suppress star formation in their host (e.g., Cano-Díaz et al. 2012, Cresci et al. 2015, Carniani et al. 2016, Kakkad et al. 2016), QSOs are rare, such that their impact on the massive galaxy population as a whole and in the long run has remained unclear. The more recent studies based on rest-optical emission lines of larger  $z \sim 2$  samples, encompassing unbiased (mass-selected) populations and/or AGNs selected in deep X-ray surveys, both covering broader ranges in AGN luminosities [in some cases down to  $\log(L_{\text{AGN}}/\text{erg s}^{-1}) \sim 42.5\text{--}43$ ], are shedding new light on this issue (e.g., Förster Schreiber et al. 2014, 2019; Genzel et al. 2014; Harrison et al. 2016; Talia et al. 2017; Leung et al. 2019).

A first general conclusion is that with typical high velocities of  $\sim 1,000 \text{ km s}^{-1}$ , AGN-driven winds are in principle able to escape the galaxies and even the halo. The outflow velocity appears to depend on  $L_{\text{AGN}}$  but otherwise not on galaxy properties such as  $M_*$  or SFR, which is consistent with the AGN as a main power source. Double-Gaussian fits to high-S/N stacked spectra suggest dense gas with  $n_{\text{e,out}} \sim 1,000 \text{ cm}^{-3}$  from the [SII] doublet (**Figure 8**; Förster Schreiber et al. 2019), albeit with significant uncertainties because of the important blending for the broad emission of the fast AGN-driven winds and the doublet ratio reaching toward the high-density limit. Elevated [NII]/H $\alpha$  ratios of  $\sim 1\text{--}2$  in broad and narrow emission alike for a significant subset of this sample suggest an important contribution from shock excitation. Keeping in mind all the uncertainties involved, different assumptions adopted by different authors, and large scatter among galaxies, there is overall agreement that on average the momentum and energy rates of AGN-driven outflows exceed those that could be produced by star formation alone, and that they are consistent with energy-driving contributing or even dominating (Förster Schreiber et al. 2019, Leung et al. 2019), as also suggested by the  $v_{\text{out}}$  dependence on  $L_{\text{AGN}}$  (Talia et al. 2017, Leung et al. 2019). Mass outflow rates (compared to the SFRs) are found to be modest to low ( $\eta \lesssim 1$ ) on average among SFGs and possibly higher toward the sub-MS regime.

Although AGN-driven winds may expel ionized gas at modest rates compared to the SFRs (similar to the SF-driven outflows), they carry substantial amounts of momentum and energy ( $\sim 10$  times or more than the SF-driven winds). If more mass, momentum, and energy are contained in other wind phases (or if  $n_{\text{e,out}}$  estimates are lower than adopted), all estimates would increase. Measurements in other phases are still scarce at  $z \sim 2$ ; CO observations suggest  $\eta \sim 1$  in two MS SFGs hosting AGNs, one of which is a QSO (Brusa et al. 2018, Herrera-Camus et al. 2019). Even if not substantially depleting the gas reservoirs of their host, the high-velocity and energetic AGN-driven winds escaping from the galaxies may interact with halo gas, reach high temperatures with long cooling time, and help prevent further gas infall together with virial shocks. The rapid increase in the incidence of AGN-driven winds among the galaxy population at around the Schechter mass echoing the decline in specific SFR and molecular gas mass fractions (Whitaker et al. 2014, Tacconi et al. 2018) is suggestive of a connection between AGN-driven winds and quenching, although it may not be sufficient alone to establish a causal link. Given the wide range in AGN luminosities and inferred Eddington ratios for the larger samples discussed above, the results appear to be qualitatively in line with suggestions based on recent cosmological simulations that kinetic feedback from SMBHs accreting at low Eddington ratio may be more efficient

at quenching star formation through preventive feedback in the circumgalactic medium (Bower et al. 2017, Nelson et al. 2018, Pillepich et al. 2018b).

## 5. OTHER $z \sim 2$ STAR-FORMING POPULATIONS

Here, we briefly discuss specific subpopulations among SFGs that have been the focus of dedicated analyses for reasons of their extreme starburst nature and/or their role as candidate immediate progenitors to the accumulating population of quiescent galaxies at cosmic noon. Salient physical features of the latter class of galaxies are summarized as well.

### 5.1. Main Sequence Outliers and Submillimeter Galaxies

Whereas normal MS galaxies are predominantly disks, at all epochs a population of starbursting outliers exists that may well result from merging activity. At  $z \sim 2$  such starburst galaxies, defined by their SFR being more than four times higher than those on the MS, represent only 2% of the mass-selected SFGs, accounting for only 10% of the cosmic SFR density at this epoch (Rodighiero et al. 2011). Modeling the SFR distribution of SFGs at fixed mass with a double Gaussian reveals a similar, constant or only weakly redshift dependent, starburst contribution of 8–14% to the overall SFR budget (Sargent et al. 2012).

Structurally, there are indications that above-MS outliers exhibit smaller effective radii and cuspier light profiles than their exponential disk counterparts along the MS ridgeline. This is seen for nearby populations but also in rest-UV/optical and radio observations at cosmic noon, albeit with significant scatter and only when collecting samples over wide areas to sample the poorly populated high-SFR tail of the galaxy population (Elbaz et al. 2011, Wuyts et al. 2011b). Splitting the SFG population into below-, on- and above-MS subsets, Nelson et al. (2016b) find the above-MS SFGs to feature enhanced  $H\alpha$   $\Sigma_{\text{SFR}}$  at all radii. Only for  $\log(M_*/M_\odot) > 10.5$  is the enhancement particularly seen in the center. However, it should be noted that extreme outliers ( $8\times$  above the MS) have 90% of their star formation revealed only in the far-IR and often are optically thick even in  $H\alpha$  (Puglisi et al. 2017).

Beyond structural properties, a systematic increase in gas fraction (e.g., Tacconi et al. 2020), dust temperature (Magnelli et al. 2014), and ratio of total IR to rest-8  $\mu\text{m}$  luminosities (Elbaz et al. 2011, Nordon et al. 2012) is seen as one moves across the MS toward higher SFRs. Not only does the amount of obscuration by dust increase (Wuyts et al. 2011b) but the resulting effective attenuation law as imprinted in the IRX- $\beta$  relation also varies systematically with position in SFR-mass space (Nordon et al. 2013).<sup>14</sup> All of these trends between MS offset and physical diagnostics suggest that the observed scatter around the MS is real and cannot be fully attributed to measurement uncertainties associated with the various SFR tracers employed. Confirming this point more directly, Fang et al. (2018) demonstrate that independent  $\Delta\text{MS}$  measurements based on 24- $\mu\text{m}$  and UV-to-optical diagnostics correlate significantly.

Predating the terminology of an MS and orthogonal to the historical background of rest-UV/optical look-back surveys is the rare population of very luminous high- $z$  submillimeter galaxies (SMGs), first discovered in the late 1990s through 850- $\mu\text{m}$  observations with SCUBA on the JCMT ( $\gtrsim 15$ -arcsec beam; Smail et al. 1997). Since then, higher-resolution far-IR observations have refined our understanding of the nature of SMGs, identifying multicomponent

<sup>14</sup>The nonuniversality of attenuation law shapes at cosmic noon has also been reported by Salmon et al. (2016) and Reddy et al. (2018), with increasingly shallower slopes toward the more enriched and dustier regime, which is rooted in changing star-dust geometries and possibly grain-size distributions.

morphologies in some cases and very compact cores with large velocity ranges in other cases (Tacconi et al. 2006, 2008). These results point to merger-driven short-lived ( $\sim 100$  Myr) maximum starburst events. ALMA 1-mm observations demonstrated that multiplicity of single-dish sources becomes increasingly common toward the bright end, with 28% of  $>5$  mJy sources and 44% of  $>9$  mJy sources being identified as blends (Stach et al. 2018). Using spectroscopic follow-up of individual components for modest samples (Hayward et al. 2018) or a statistical approach based on photometric redshifts for samples of several dozen SMGs (Stach et al. 2018), it is further apparent that both chance alignments and physically associated components make up a significant fraction of the blends, with physically associated pairs adding up to at least 30%.

Hence, accounting for multiplicity reduces the inferred SFRs for some of the brightest SMGs, relieving some tension with models and bringing them closer to the MS. Their MS offset is further reduced when allowing for multicomponent SFHs, which have a tendency to increase the inferred stellar mass. For this reason, Michałowski et al. (2014) argue that SMGs reside predominantly at the high-mass tip of the MS rather than being positioned above, which consequently also questions their merger nature.

The rarity of above-MS outliers and SMGs can be interpreted in terms of short duty cycles preceding a quenching event. For example, Wuyts et al. (2011b) contrast the number density of  $\Delta\text{MS} > 0.5$  outliers to the growing number density of quiescent galaxies at cosmic noon, inferring timescales on the order of  $\sim 100$  Myr for the starbursting phase. Toft et al. (2014) take a different approach, in which they contrast the inferred formation redshifts of compact quiescent galaxies at  $z \sim 2$  to the redshift distribution of the  $3 < z < 6$  SMG population, finding a good match that is further underlined by their similar positions in size–mass space and consistently high characteristic velocities. Assuming an evolutionary connection, they can reconcile their relative space densities by invoking an SMG lifetime of  $\sim 42$  Myr. The relatively short timescales found in the above studies are consistent with the duration of the final merger phase and peak starburst around coalescence of dissipative major mergers (e.g., Mihos & Hernquist 1994, Hopkins et al. 2006).

## 5.2. Compact Star-Forming Galaxies

In order to reveal evolutionary connections between galaxies before and after quenching, a selection on the basis of similar structural properties (i.e., identifying SFGs in the compact corner of size–mass space, where high- $z$  quiescent galaxies reside) has become a popular approach (e.g., Barro et al. 2013, 2014a). After  $z \sim 1.8$ , the number density of these compact star-forming galaxies (cSFGs) is dropping precipitously, whereas the number density of compact quiescent galaxies is still rising. Duty cycle arguments akin to those described in the previous section yield typical lifetimes for this cSFG phase of  $\sim 500$ – $800$  Myr, which is dependent on the precise compactness and star-formation selection criteria imposed (Barro et al. 2013, van Dokkum et al. 2015). cSFGs thus represent a longer-lasting phase than that discussed in Section 5.1, which is also reflected in their larger abundance and larger range in star-formation activities, from above to on and below the MS.

A salient feature of the cSFG population is that both X-ray and line ratio diagnostics reveal a very high AGN fraction ( $\gtrsim 40\%$  based on X-rays and up to  $\sim 75\%$  when folding in line ratio diagnostics). This enhancement in AGN activity is highly significant relative to quiescent galaxies but also compared with similar-mass SFGs that are more extended (Barro et al. 2014a, Kocevski et al. 2017, Wisnioski et al. 2018). They are further found to be highly obscured, with dust cores even smaller than their stellar extent (Barro et al. 2016) and galaxy-integrated ionized gas velocity dispersions (and in one case a measurement of a stellar velocity dispersion) of several  $100 \text{ km s}^{-1}$ , which is consistent with those measured using stellar tracers in compact quiescent galaxies. The

implied dynamical masses of cSFGs are comparable with their stellar mass content (Nelson et al. 2014, Barro et al. 2014b, van Dokkum et al. 2015). Resolved gas kinematics of cSFGs have revealed that the large galaxy-integrated line widths can to a large degree be attributed to unresolved disk rotation (Barro et al. 2017b, Wisnioski et al. 2018). Although their stellar distributions are by definition compact, the ionized gas disks are often more extended (van Dokkum et al. 2015, Wisnioski et al. 2018). Even when modeled with rotating disks and accounting for inclination and beam-smearing effects, the resulting stellar-to-dynamical mass ratios of the more compact SFGs are close to unity and larger than those of extended SFGs (van Dokkum et al. 2015, Wuyts et al. 2016, Wisnioski et al. 2018). These dynamical measurements support a picture that cSFGs are in their last stretch of star formation with already dwindling gas fractions and short depletion times. Spilker et al. (2016) and Popping et al. (2017) have come to a similar conclusion based on molecular line measurements for this subpopulation.

Several lines of evidence highlight the resemblance in dynamical terms between cSFGs and the quiescent population to which they are candidate immediate progenitors. Compact quiescent galaxies at cosmic noon exhibit more flattened projected shapes than anticipated for a pressure supported population (van der Wel et al. 2011, Chang et al. 2013), their  $M_{\text{dyn}}/M_{\star}$  ratios calculated from galaxy-integrated stellar velocity dispersions using a virial mass estimator are higher for systems with flatter projected axis ratios (Belli et al. 2017b), and in four gravitationally lensed cases stellar velocity curves reveal unambiguously their rotationally supported nature (Newman et al. 2015, 2018; Toft et al. 2017), which is consistent with a highly dissipational formation process (Wuyts et al. 2010, Wellons et al. 2015).

## 6. THEORETICAL PICTURE AND ADVANCES IN NUMERICAL SIMULATIONS

Models of galaxy formation in a  $\Lambda$ CDM context have seen significant improvements over the past decade. In particular, great strides forward were made in resolving the so-called angular momentum catastrophe (the inability to reproduce the Tully–Fisher and rotation speed–angular momentum relation of observed disks galaxies; Navarro & Steinmetz 2000) and the overproduction of stars in both low- and high-mass galaxies. Cosmological galaxy-formation models still feature variations at the factor of  $\sim 2$  level in, for example, the peak stellar-to-halo mass ratio reached around  $M_{\text{halo}} \sim 10^{12} M_{\odot}$  and possibly more at lower/higher masses, but they now fall within the range of uncertainties from abundance matching estimates that traditionally serve as a benchmark. Today, we face a landscape of theoretical models that can be differentiated by the physical scales they resolve, the numerical techniques they employ, and the (astro)physics they implement. The scales that are resolved dictate which physical properties can be considered as imposed versus emerging from such models (see reviews by Somerville & Davé 2015, Naab & Ostriker 2017).

On the largest scales, semianalytic models can efficiently imprint the baryonic growth of galaxies on merger trees extracted from DM-only simulations with box sizes of 1–10 Gpc (Millennium, Millennium-XXL, Bolshoi, Las Damas). Effectively resolving individual galaxies at the halo scale, basic structural properties such as galaxy sizes are then evaluated through analytical recipes that either assume specific angular momentum conservation (Mo et al. 1998) or encode dependencies on both angular momentum and halo concentration (Somerville et al. 2018, Jiang et al. 2019) and are designed to capture processes such as disk instabilities and mergers. For the latter, simple energy conservation arguments are often augmented with calibrations based on idealized merger simulations to account for the impact of dissipative processes on the resulting bulges (Covington et al. 2011). Although intrinsically unable to track detailed structural evolution from first principles, such models have the merit of being computationally cheap (7 CPU hours to execute a single



realization producing over  $10^7$  galaxies). They are therefore the only type of models for which a full exploration of parameter space and a mapping of its degeneracies by means of Monte Carlo Markov Chains are feasible (e.g., Henriques et al. 2015).

Inclusion of hydrodynamics comes at a major computational expense but allows key processes for structural evolution to be resolved rather than prescribed. State-of-the-art full cosmological simulations (*Illustris*, *EAGLE*, *Magneticum*, *Horizon-AGN*, *Illustris TNG*, *SIMBA*) are capable of evolving populations of  $10^4$ – $10^5$  galaxies in 10–300-Mpc boxes with sufficient resolution (baryonic particle masses of  $\sim 10^6$ – $10^7$   $M_\odot$ , subkiloparsec gravitational softening lengths) to track their internal structural development and kinematics. With a temperature floor of  $10^4$  K and the reliance on subgrid recipes to infer cold gas fractions, these simulations are complemented by zoom-in simulations of more than 100 times enhanced mass and spatial resolution, which are capable of resolving Jeans mass/length scales, giant molecular cloud formation, and a self-consistent modeling of the multiphase ISM (e.g., *FIRE*, *Auriga*, *VELA*). Further down the series of Russian dolls come simulations of isolated galaxies or ISM slices in an external potential resolved down to parsec scales (e.g., *SILCC*). They are ideally suited to track the multiphase breakdown of the ISM including the chemistry of molecular gas formation and the local injection of energy and momentum by late stages of stellar evolution and its coupling to the surrounding medium (effects of peak driving versus supernovae exploding after stars migrate away from their birth clouds, ISM porosity and the possibility of feedback energy escaping through the path of least resistance, impact of the IR opacity on the effectiveness of radiation pressure, etc.).

The hydro-solvers employed in generating the above multiscale simulations range from grid-based adaptive mesh refinement to smoothed particle hydrodynamics (with refinements to better capture contact discontinuities and shock fronts; Hopkins 2015), and include hybrid moving mesh approaches (Springel 2010). The physics of gravity, hydrodynamics, cooling and heating, star formation and evolution (SNIa, SNII, AGB), chemical enrichment (tracking up to 11 individual elements), black hole growth, and stellar and AGN feedback are now routinely implemented in these models. Increasingly also the impact of other processes, such as magnetic fields, radiation pressure, cosmic rays, and even the formation and destruction of dust, are explored, albeit some of them are restricted to the highest-resolution simulations only.

Qualitatively, overcoming the hurdles posed by the angular momentum problem and the observed inefficiency of galaxy formation took the implementation of strong feedback processes. How exactly this goal is most realistically achieved through numerical and/or subgrid recipes remains a matter of intense debate that resolved observations of galaxy structure and kinematics aim to shed light upon. Implementations of stellar feedback differ in their injection velocities, mass loadings, and directionality, and whether or not wind particles are temporarily decoupled from hydrodynamic interactions to prevent numerical overcooling. Likewise, AGN feedback as a term covers a considerable range of implementations, starting from the choice of black hole seeding, whether or not boosting factors are applied to conventional Bondi accretion, and the directionality and continuity/stochasticity with which gas particles are being heated or receive kinetic kicks. Different choices are made regarding which gas particles this thermal/kinetic energy is imparted to and whether (e.g., *Illustris TNG*) or not (e.g., *EAGLE*) different prescriptions are applied in the high versus low accretion rate regime. Consequently, predictions on key wind properties are still in flux, with, for example, TNG winds being faster but of lower mass loading than those in its *Illustris* precursor. This illustrates the continued need for empirical guidance.

Last but not least, significant work on the interface between simulations and observations is enabling ever more consistent comparisons. This starts with the basic question of what constitutes a galaxy's stellar mass, and relatedly, what observers are measuring. Pillepich et al. (2018a) illustrate

how aperture-based masses (as opposed to total stellar masses integrated out to the virial radius) can significantly alter our view on the stellar mass function and SMHM relation, particularly for the most massive galaxies featuring extended wings but even so at the knee of the SMHM relation. Bringing the models yet more into the observational realm, postprocessing with advanced radiative transfer techniques (SKIRT, Sunrise, Powderday) is enabling mock observations accounting for the effects of light weighting, dust extinction, and reprocessing, including also ionized and molecular gas line emission. These can aid refined calibrations of observational diagnostics and SED modeling techniques and are adopted in feasibility studies for upcoming observing facilities.

## 7. SUMMARY AND OUTLOOK

This article highlights some of the key insights emerging from increasingly complete population censuses and increasingly detailed studies of individual galaxies back to the cosmic noon epoch. Many global and resolved properties tracing the stars, gas, and kinematics are well probed down to  $\log(M_*/M_\odot) \sim 10$  (or below). Current results draw a consistent broad picture (see Summary Points) and raise the next questions for future work (see Future Issues for a selection). The knowledge gained from these observations has transformed—in some aspects, profoundly—our view of galaxy evolution. The emerging picture is encapsulated in the equilibrium growth model summarized in Section 1.1 and discussed by Tacconi et al. (2020) in relation to the evolution of the

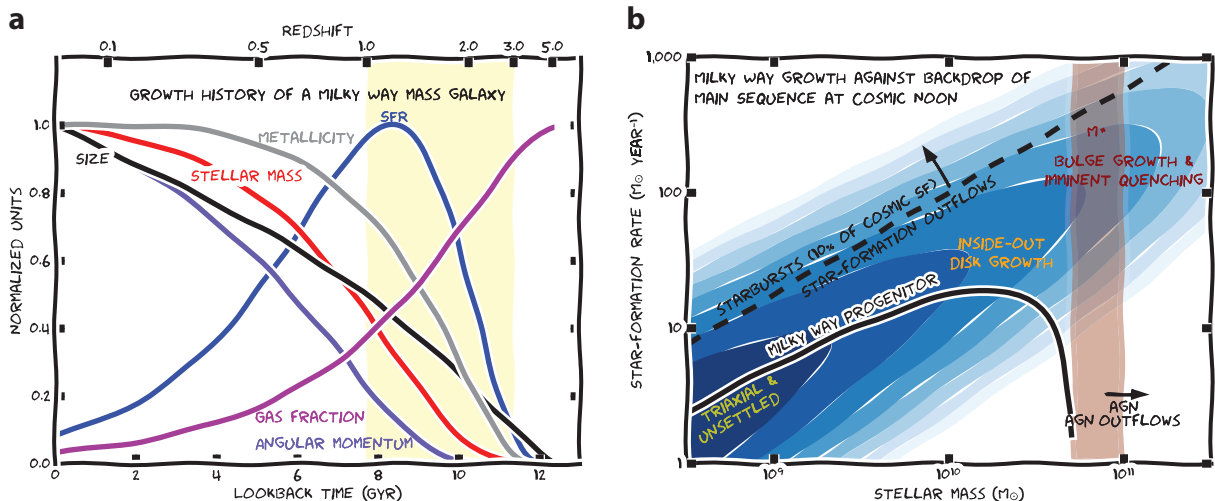
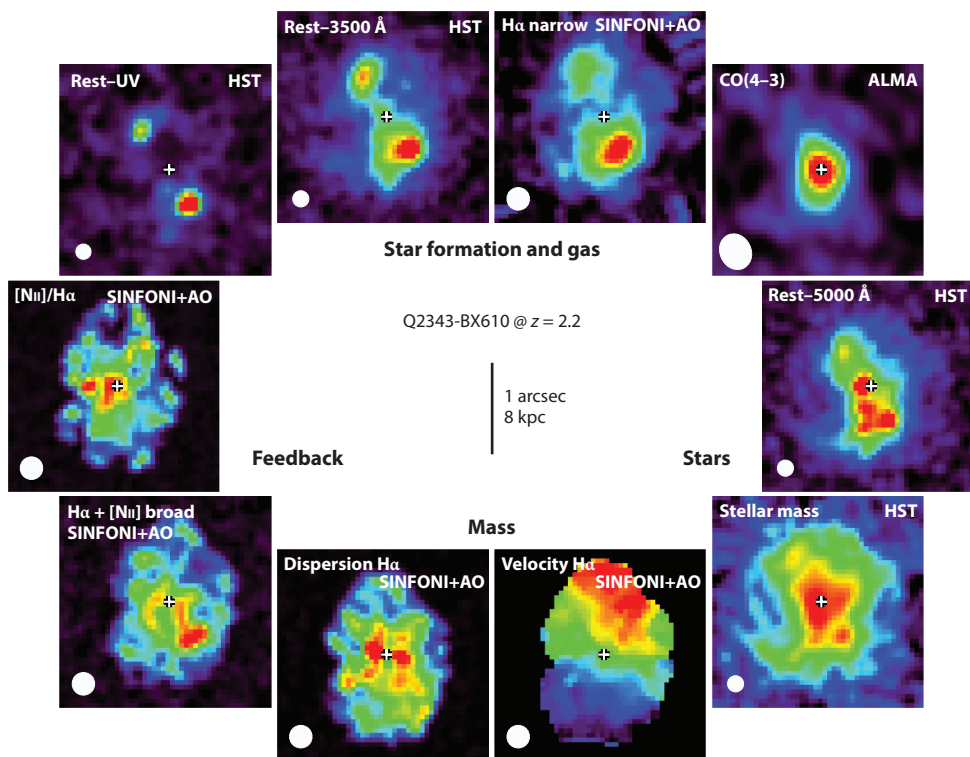


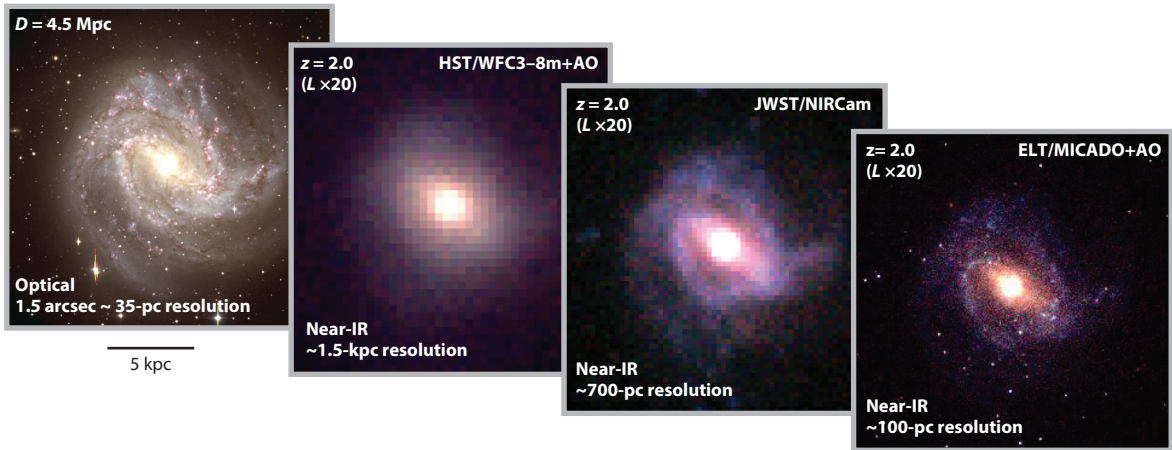
Figure 9

(a) Evolutionary history of a Milky Way-mass progenitor galaxy. Tracks of different global properties are plotted as follows: gas mass fraction  $f_{\text{gas}}$  (magenta), SFR (blue),  $M_*$  (red), gas-phase metallicity (gray), rest-optical  $R_e$  (black), and stellar angular momentum  $\propto R_e M_* v_{\text{rot}}$  (purple). Each curve is normalized to a maximum of unity to highlight the relative rate of variations between the properties with look-back time. The stellar mass growth is derived from abundance-matching following Hill et al. (2017), and the other curves are computed from evolving scaling relations at the corresponding  $M_*(z)$  (Speagle et al. 2014, van der Wel et al. 2014b, Übler et al. 2017, Tacconi et al. 2018). Though simplistic (e.g., the progenitor is assumed to remain on the MS and other relationships all the time), the plot illustrates how current empirical censuses and scaling relations allow us to investigate the average evolution of individual galaxies. (b) The same evolutionary track of a Milky Way-mass progenitor presented in the  $M_*$ –SFR diagram, against the backdrop of the  $z \sim 2$  SFG population [blue shades mark logarithmic steps in number density; based on Speagle et al. (2014) and Tomczak et al. (2014)]. Markers indicate the structural/dynamical state, mode of star formation, and where feedback processes become increasingly apparent. The vertical red bar marks the characteristic mass  $M^*$ , which has remained approximately constant since cosmic noon. Abbreviations: AGN, active galactic nucleus; SFG, star-forming galaxy; SFR, star-formation rate.



**Figure 10**

State-of-the-art observations detailing the evolutionary state and probing the baryon cycle of a  $z = 2.2$  massive MS galaxy ( $M_{\star} \sim 10^{11} M_{\odot}$ ). The maps show, clockwise from the top left, the rest-frame UV and U band emission dominated by unobscured continuum light from young massive stars; H $\alpha$  emission from moderately unobscured HII regions; CO(4–3) emission revealing the cold molecular gas fueling largely obscured star formation; rest-frame  $\sim 5000$ -Å light tracing the bulk of stars; the stellar mass distribution; H $\alpha$  velocity field and dispersion map tracing gravitational motions; broad low-amplitude emission in H $\alpha$ + [NII] revealing high-velocity outflowing gas; and [NII]/H $\alpha$  ratio sensitive to the excitation and physical conditions of the nebular gas. The FWHM resolution is shown by the white ellipse in each panel. Despite a clumpy appearance in UV/optical stellar light and H $\alpha$ , the kinematics and stellar mass map reveal a massive rotating yet turbulent disk hosting a dense bulge-like component. The bulge may still be growing out of the massive central molecular gas reservoir, which may be replenished through inward gas streaming along a bar or spiral arms as hinted at by the inner isovelocity twist, double-peaked central dispersion, and  $\sim 5000$ -Å morphology. The weak [NII]/H $\alpha$  radial gradient in the outer disk could indicate a shallow metallicity gradient, which is consistent with efficient metal mixing within the turbulent gas disk and/or through galactic outflows. The elevated [NII]/H $\alpha \sim 0.7$  at the center signals the presence of a (low-luminosity) AGN. Ionized gas is being driven out of the galaxy through star-formation feedback near the location of the brightest UV/optical/H $\alpha$  clump, as well as through AGN-driven feedback near the nucleus. Figure based on data presented by Förster Schreiber et al. (2011, 2018) and Tacchella et al. (2018), and obtained from the ALMA archive (program 2013.1.00059.S, PI: M. Aravena). Abbreviations: AGN, active galactic nucleus; ALMA, Atacama Large Millimeter/submillimeter Array; AO, adaptive optics; FWHM, full width at half maximum; MS, main sequence; SINFONI, Spectrograph for Integral Field Observations in the Near Infrared.



**Figure 11**

Illustration of the gain in angular resolution from current to future facilities. For this simple illustration, optical imaging of the nearby M83 spiral galaxy (at a distance of 4.5 Mpc, based on data presented by Larsen & Richtler 1999) is redshifted to  $z = 2$  and boosted up in luminosity by a factor of  $\sim 20$  (following the main sequence evolution), but no other evolution is considered (e.g., in size or gas fraction). The left panel shows the original color-composite map at a resolution corresponding to 35 pc. Successive panels to the right are simulated color-composite images for observations with HST and AO-assisted instruments on 8-m-class telescopes at a resolution of  $\sim 1.5$  kpc, with the JWST/NIRCam imager at a resolution of  $\sim 700$  pc, and with the ELT/MICADO first-light instrument reaching a diffraction-limited resolution of  $\sim 100$  pc (pixel sampling is adjusted for each instrument). Simulations with the SimCADO software (Leschinski et al. 2016) indicate that compact cluster-like sources with luminosities comparable with those of bright super star clusters in nearby starburst galaxies can be detected and characterized with on-source integrations of a few hours. Such objects at  $z \sim 2$  might be progenitors to today's metal-rich globular cluster population (e.g., Shapiro et al. 2010). Abbreviations: AO, adaptive optics; ELT, Extremely Large Telescope; HST, *Hubble Space Telescope*; JWST, *James Webb Space Telescope*; MICADO, Multi-AO Imaging Camera for Deep Observations; NIRCam, Near-Infrared Camera; WFC3, Wide Field Camera 3.

characteristic timescales of the processes controlling galaxy growth including cosmic accretion, merging, galactic gas depletion and star formation, internal dynamics, and gas recycling.

The state of the art in our knowledge of the properties of  $z \sim 2$  SFGs is illustrated in **Figures 9** and **10**. The censuses and scaling relations allow a depiction of the evolutionary and dynamical state of SFGs in relation to the MS. Coupled with the assumption that mass ranking of galaxies is conserved, this cross-sectional view of the galaxy population at different epochs can be translated to tracks representing the average evolution of individual galaxies. The outcome of such an approach is shown in **Figure 9** for a galaxy reaching the stellar mass of the Milky Way by the present day. Cosmic noon is the main formation epoch of stars in  $z \sim 0$  galaxies of masses similar and up to  $\sim 2\times$  higher than the Milky Way [ $\log(M_*/M_\odot) \sim 10.7\text{--}11$ ], which account for as much as  $\sim 25\%$  of the local stellar mass budget. In turn, resolved mapping is now possible for various tracers of the baryon cycle from gas and star formation to metal enrichment and feedback, of the dynamical state, and of processes leading to the buildup of galactic components and their imprint on the distribution of stars. Such comprehensive sets at the currently best achievable  $\sim 1$ -kpc resolution (unlensed) are still limited to small numbers of  $z \sim 2$  SFGs; **Figure 10** shows one example.

The outlined questions, among others, frame the observational (and theoretical) landscape for the next decade, with exciting progress anticipated from developments on the instrumentation scene. NOEMA and ALMA are leveraging our knowledge about the stellar component and ionized gas with that of the cold molecular gas. The combination of the multi-IFU KMOS and the new sensitive AO-assisted ERIS (Enhanced Resolution Imager and Spectrograph) single-IFU at the VLT will expand samples with kinematics, star formation, and ISM conditions from near-IR

observations and resolve them on subgalactic scales down to  $\sim 1$  kpc. JWST at near- and mid-IR wavelengths will open up an unprecedented window on the earliest stages of galaxy evolution, charting the progenitor populations of cosmic noon galaxies. The giant leap in resolution afforded by diffraction-limited instruments on the next generation of 25–40-m-class telescopes, such as the first-light imager and spectrometer MICADO (Multi-AO Imaging Camera for Deep Observations) and the IFU HARMONI (High Angular Resolution Monolithic Optical and Near-infrared Integral field spectrograph) at the ELT, will be the next game changer (**Figure 11**). With unparalleled sharp views of the galaxy population on the scales of individual giant molecular cloud and star-forming complexes, the era of extremely large telescopes will undoubtedly dramatically boost our knowledge and change our approach to studying galaxy evolution across all times.

## SUMMARY POINTS

1. Two key observational aspects have driven major advances in our understanding of how galaxies evolved since cosmic noon by providing unparalleled comprehensive views of distant galaxies: (a) the concentration in legacy cosmological fields of photometric and spectroscopic surveys across the electromagnetic spectrum, and (b) the growing samples with stellar structure, star formation, and gas kinematics resolved on subgalactic scales. Mass selection is routinely used, allowing more complete population-wide censuses of physical processes driving galaxy evolution.
2. Scaling relations among galaxy stellar mass, SFR, metallicity, gas content, size, structure, and kinematics are in place since at least  $z \sim 2.5$ , indicating that regulatory mechanisms start to act on galaxy growth within 2–3 Gyr of the Big Bang. There is significant evolution in population properties. Compared with  $z \sim 0$ , typical SFGs at  $z \sim 2$  were forming stars and growing their central SMBH  $\sim 10\times$  faster from  $\sim 10\times$  larger cold molecular gas reservoirs. Disks are prevalent but smaller, more turbulent, and thicker than today's spirals. Quenching was underway at high masses through mechanisms that appear to largely preserve disk structure.
3. Resolved stellar light, star formation, and kinematics on scales down to  $\sim 1$  kpc point to spatial patterns—more pronounced in higher-mass SFGs—from dense and strongly baryon-dominated core regions with possibly suppressed star formation to more actively star-forming outskirts. Whether these patterns reflect inside-out growth/quenching scenarios or carry the imprint of strong radial gradients in extinction and efficient dissipative processes in gas-rich disks is open. The detection of large nuclear concentrations of cold gas and kinematic evidence of radial inflows in the most massive galaxies support the latter scenario, in which case massive but highly obscured stellar bulges may still be rapidly growing.
4. Outflows traced by warm ionized and neutral high-velocity gas act across a wide swath of the galaxy population. SF-driven winds dominate below the Schechter mass and are more ubiquitous and/or stronger at higher star-formation levels but may largely remain bound to the galaxy. AGN-driven winds dominate at higher masses, with rapidly rising incidence and/or strength with stellar mass and central concentration thereof. Improved constraints suggest dense, possibly shocked-compressed ionized material in both outflow types, leading to modest subunity mass loading factors in the warm ionized phase. The high duty-cycle AGN-driven winds are sufficiently fast to escape their massive host

and heat halo gas, tantalizingly suggesting that a preventive form of AGN feedback contributes to quenching.

## FUTURE ISSUES

1. What is the origin of scatter in galaxy scaling relations (among  $M_*$ , SFR, size, gas content, metallicity, etc.)? Is any scatter around the observed relations attributed to short-term stochasticity (i.e., the equivalent of “weather”) or an imprint of a long-term differentiation in growth histories among SFGs of the same mass at a given epoch? If the latter, what (halo) property other than mass is most appropriate to describe the SFG population as a two-parameter family?
2. What is the physics responsible for setting the gas turbulence? The redshift evolution of  $\sigma_0$  can be understood in the framework of marginally stable disks with gas fractions that are dwindling with cosmic time. Yet, at fixed redshift, no clear correlation with galaxy properties is emerging that would unambiguously identify the main driver of turbulence. Is this because of the limited dynamic range sampled, significant contributions from unresolved noncircular motions, or other observational factors? Results from strongly lensed galaxies indicate elevated dispersions on scales down to a few 100 pc, but samples are still small and limited in galaxy mass coverage. Tighter constraints on spatial variations and anisotropy (as observed in nearby disks) will be helpful in addressing these questions.
3. What is the origin of the high baryon fractions and concentrations of SFGs? A robust trend of increasing baryon fractions with redshift up to  $z \sim 2.5$ , and a correlation with increasing surface density, are emerging from disk modeling of IFU kinematics. Several lines of empirical evidence, supported by theoretical work, point to the important role of efficient transport of material from the halo to the disk scale and further inward to the bulge in the gas-rich high- $z$  disks. More direct constraints are needed on gas inflows onto and within galaxies and on the relative importance of radial transport versus inside-out growth in setting the structure of galactic components and, possibly, contributing to star-formation quenching.
4. Where do massive  $z \sim 2$  SFGs form their last stars before they get quenched? Balmer decrement maps for individual galaxies and bolometric UV+IR SFR maps accounting for potential gradients in dust temperature will be required to address whether half-SFR sizes at the tip of the MS are smaller than, equal to, or larger than the half-stellar mass sizes inferred from multiwavelength HST imagery.
5. What are the total mass loading and energetics of galactic-scale winds and the breakdown into multiphase components? Much of our knowledge about wind properties and demographics is based on the warm ionized and neutral phase. A more holistic view on wind properties and their impact on galaxies will strongly benefit from the combination of multiphase tracers, which is still limited to small numbers of more extreme objects and very few normal MS SFGs at high  $z$ . A few pilot programs suggest that, akin to what is seen in nearby starbursts, the bulk of the mass flow may be in the molecular phase,



highlighting the importance of cold molecular gas kinematics to fully capture their role in galaxy evolution and baryon cycling.

6. What are the exact mechanisms responsible for the shutdown of star formation in massive galaxies? The increase in the prevalence of massive bulges, dense cores, and powerful AGN and AGN-driven outflows at high galaxy masses, where the specific SFR and cold gas mass fractions drop, suggest they likely play a role in galaxy quenching. The evidence of an association with quenching, however, remains to date largely circumstantial, and further observational constraints are needed to pin down the mechanism(s) at play and establish causality.
7. How do galaxies below  $\sim 10^{9-9.5} M_{\odot}$  fit into the emerging picture anchored in the properties of higher-mass populations? Low-mass galaxies are still poorly explored because of current observational limitations. If an increasing proportion of the low-mass population has prolate/triaxial structure, how can we interpret their kinematics until we can fully resolve them? Do scaling relations break down at these masses?

## DISCLOSURE STATEMENT

The authors are not aware of any affiliations, memberships, funding, or financial holdings that might be perceived as affecting the objectivity of this review.

## ACKNOWLEDGMENTS

We are grateful to our many colleagues and friends for stimulating, critical, and inspiring discussions throughout the years, which have all contributed to shape the present work. We thank the members of the SINS/zC-SINF, KMOS<sup>3D</sup>, PHIBSS, and 3D-HST teams, for their input and involvement in various aspects covered in this article. We give special thanks to Ralf Bender, Michele Cirasuolo, Ric Davies, Sandy Faber, Marijn Franx, Reinhard Genzel, Dieter Lutz, Trevor Mendel, Sedona Price, Alvio Renzini, Mara Salvato, Alice Shapley, Taro Shimizu, Linda Tacconi, Hannah Übler, Pieter van Dokkum, and Emily Wisnioski for discussions and comments that were extremely useful while writing this manuscript, and for input for figures. We are also grateful to Mark Swinbank, Marianne Girard, and Chris Harrison for sharing information in advance of its publication. SFGs at cosmic noon is a vast topic that rests on a much richer body of work than can be included in a single article within the given space allocation—we have strived to provide useful references through which further work can be found.

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